Short-Term Load Forecasting for Electric Vehicle Charging Stations Based on Deep Learning Approaches

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Abstract: Short-term load forecasting is a key task to maintain the stable and effective operation of power systems, providing reasonable future load curve feeding to the unit commitment and economic load dispatch. In recent years, the boost of internal combustion engine (ICE) based vehicles leads to the fossil fuel shortage and environmental pollution, bringing significant contributions to the greenhouse gas emissions. One of the effective ways to solve problems is to use electric vehicles (EVs) to replace the ICE based vehicles. However, the mass rollout of EVs may cause severe problems to the power system due to the huge charging power and stochastic charging behaviors of the EVs drivers. The accurate model of EV charging load forecasting is, therefore, an emerging topic. In this paper, four featured deep learning approaches are employed and compared in forecasting the EVs charging load from the charging station perspective. Numerical results show that the gated recurrent units (GRU) model obtains the best performance on the hourly based historical data charging scenarios, and it, therefore, provides a useful tool of higher accuracy in terms of the hourly based short-term EVs load forecasting.

Keywords: short-term load forecasting; electric vehicles; deep learning; gated recurrent units

1. Introduction

Since the second industrial revolution, the steady supply of electricity load is a basic requirement for maintaining the normal functioning of modern society. Load forecasting can be divided into three categories on the basis time interval [1], long-term load forecast (1 year to 10 years ahead), medium-term load forecast (1 month to 1 year ahead), and short term load forecast (1 hour to 1 day or 1 week ahead). In recent years, the wide adoption of renewable energy has become an emerging pathway due to the shortage of fossil fuel such as petroleum, and the global warming due to the excessive carbon emissions [2]. One of the effective ways to solve the problem of fossil fuel shortage and environmental pollution is to popularize the electric vehicles (EVs) to replace traditional internal combustion engine (ICE) based vehicles. EVs are powered by electricity [3], which greatly reduces the consumption of petroleum resources and does not generate any environmentally polluting gases during the whole life cycles. With the fast development of the EV industry, it is bound to bring new changes to the power field due to the large capacity of the battery and stochastic charging behaviors of the users. In this regard, accurate short-term load forecasting is a key measure to the intelligent control of EV charging systems.
Power load forecasting models can be categorized into traditional statistical models and artificial intelligence models, traditional forecasting methods include time series method [4], autoregressive integrated moving average [5], regression analysis [6], Kalman filtering [7], etc. Artificial intelligence methods include artificial neural networks [8], support vector machines [9], and deep learning methods [10]. Before the 21st century, due to the strong adaptive, self-learning and generalization ability of artificial neural networks (ANN), it had become a hot research topic for adopting ANN approaches in load forecasting. The article [11] reviewed the application of ANNs for load forecasting and proved that ANNs have effectiveness for load forecasting in terms of accuracy and efficiency.

In recent years, thanks to the breakthrough of computing hardware and successful applications such as Alpha-go, the deep learning methods have been obtained wide attractions and used in image semantic segmentation [12], image classification [13], target detection [14], natural language processing [15] and many other science and engineering fields. The network structure constructed by the deep learning methods is more complex with a large number of hidden layers and/or recurrent structure, which endowing stronger learning and self-adaptive ability than ANN methods. Therefore, attentions have also been paid in the field of load forecasting.

With the diversification of modern power grid systems, an increasing number of factors, including weather, holidays, real-time electricity prices, and even the degree of urban development and human being behaviors, have various impacts on load demand. Traditional load forecasting methods are unable to provide forecasting models with sufficient predictive accuracy. Therefore, deep learning methods are widely used in the field of load forecasting. Vermaak and Botha [16] used Recurrent neural networks (RNN) for the first time to establish a short-term load forecasting model. However, the conventional RNN would suffer from the gradient vanishing problem and the long short term memory (LSTM) network is an effective approach to relieve the issue. More recently, Marino et al. [17] proposed an LSTM architecture to forecast the load of individual residences [18]. Kong et al. [19] combined the energy consumption of a residence with the behavior of a resident, converted the behavior patterns of energy consumers into a sequence of input features to the network, thanks to which the accuracy of the load forecasting was improved. Some other studies [20–23] also used LSTM to load forecasting, whereas Lu et al. [24] used gated recurrent units and achieved more accurate results. Li, Y. et al. [25] used the niche immunity lion algorithm and Convolutional neural networks (CNN) for the one step short-term EVs charging load forecasting, where competitive forecasting accuracy could be obtained. Experimental results of these studies show that deep learning models have higher accuracy in load forecasting than the traditional methods.

Unlike normal industrial or household loads, EV loads are unique in both periodicity and fluctuation. The significant penetration of EVs would pose a large pressure to the power system particular the distributed network, which calls for more powerful tools for establishing accurate prediction models. In this paper, four deep learning models are preliminarily used to forecast EV charging station load, where real-world EV charging station datasets are adopted in the numerical study. The rest of the paper is organized as follow: Section 2 briefly describes three deep learning models; Section 3 introduces the dataset and proposes the data pre-processing method as well as load forecasting framework; the experimental results are shown in Section 4, followed by Section 5 concludes the paper and outlooks the future research.

2. Deep Learning Models

The concept of deep learning was proposed by Hinton et al. [10] in 2006, originated from the study of ANN. A multilayer perceptron with multiple hidden layers formulates a deep learning structure, combining low-level features to form more abstract high-level representation attribute categories or features and to discover distributed feature representations of data. Deep learning models have strong learning and generalization ability and would be competitive in complex forecasting tasks. This chapter nominates three structures of the recurrent neural network, aiming to investigate the comparative performance of the methods on the given EV load forecasting problem.
2.1. Recurrent Neural Networks

The load of EV charging is influenced by many factors including temperature, holiday, real-time electricity price, etc. These factors are difficult to quantify, resulting in low accuracy of the traditional model for EV charging station load forecasting. Thanks to the dynamic nature and instinctive structure, RNN models can better capture the characteristics of input data, whose structure is shown in Figure 1.

![Basic recurrent neural networks structure.](image)

Figure 1. Basic recurrent neural networks structure.

For time \( t \):

\[
S_t = \phi(Ux_t + WS_{t-1} + b_1)
\]

\[
o_t = \phi(VS_t + b_2)
\]

\[
\hat{y}_t = \phi(o_t)
\]

where \( x_t \) and \( S_t \) denote the input and the hidden unit, while \( o_t \) is the output at \( t \). Moreover, \( V, W, U \) are network connection weights. In addition, \( b \) is bias, and \( \hat{y}_t \) is the model predicted output, \( \phi \) is activation function, and tanh is well adapted as activation function shown as follows:

\[
tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}
\]

2.2. Long-Short Term Memory

The conventional RNN can only memorize the short time series data. With the increasing amount of data and time steps, it will lose the important information of long term input, causing a vanishing gradient or exploding gradient problem. To tackle this, the LSTM method was proposed in Reference [18], whose structure is shown in Figure 2. LSTM processes the input time series by iteratively passing the transfer function, and adds the input gate \( (\Gamma_i) \), forget gate \( (\Gamma_f) \) and output gate \( (\Gamma_o) \) for maintaining valuable information of history data. The input gate determines the update of the hidden layer information, whereas the forget gate determines whether the updated information contains the information of the last moment. The output gate determines which part of the information will be selected. Eventually, the formulation of updating the cell states and parameters are as follows:

\[
\Gamma_f = \sigma(\omega_f [o_{t-1}, x_t] + b_f)
\]

\[
\Gamma_i = \sigma(\omega_i [o_{t-1}, x_t] + b_i)
\]

\[
\Gamma_o = \sigma(\omega_o [o_{t-1}, x_t] + b_o)
\]

\[
\tilde{S}_t = \tanh(\omega_s [o_{t-1}, x_t] + b_s)
\]

\[
S_t = \Gamma_i \cdot \tilde{S}_t + \Gamma_f \cdot S_{t-1}
\]
where \( o_{t-1} \) is the output at \( t-1 \) time slot, and \( x_t \) is the input at current moment, \( \tilde{S}_t \) is the new candidate values, and \( S_t \) is the memory from the current block, \( S_{t-1} \) is the memory from the previous block, \( w \) is the weights, \( b \) is the bias, and symbol * is the element-wise multiplier. \( \sigma \) is another activation function as follows:

\[
\sigma(x) = \frac{1}{1 + e^{-x}}
\]

(11)

Figure 2. Basic long short-term memory structure.

2.3. Gated Recurrent Units

Gated Recurrent Units (GRU) is a featured and efficient variant of LSTM. It maintains the effect of LSTM while making the structure simpler, which introduced by Cho et al. [26]. The GRU combines the input gate and the forget gate of LSTM into an update gate \( \Gamma_u \), and the output gate in LSTM is named a reset gate \( \Gamma_r \) in GRU. \( \Gamma_{u-1} \) determines how to combine the new input with the previous memory, and \( \Gamma_{r-1} \) determines how much of the previous memory can pass through. GRU model structure is shown in Figure 3.

Figure 3. Basic gated recurrent units structure.

The formulation for updating the cell states of GRU and parameters as follows:

\[
\Gamma_{r-1} = \sigma(W_{r-1}[S_{t-1}, x_t] + b_{r-1})
\]

(12)

\[
\Gamma_{u-1} = \sigma(W_{u-1}[S_{t-1}, x_t] + b_{u-1})
\]

(13)
\[
\tilde{S}_t = \tanh(W_s[\Gamma_{r-1} \ast S_{t-1}, x_t] + b_s) \\
S_t = (1 - \Gamma_{u-1}) \ast S_{t-1} + \Gamma_{u-1} \ast \tilde{S}_t \\
\hat{y}_t = \sigma(W_o S_t).
\]

(14) \hspace{1cm} (15) \hspace{1cm} (16)

The symbols share the same meaning as LSTM except for \(\Gamma_{u-1}\) and \(\Gamma_{r-1}\). GRU has fewer parameters and thereby benefit from a faster training speed than LSTM.

3. Data Analysis and Forecasting Process

For deep learning method applications, sufficient data to be fed in the model structure is a crucial issue and the key to the successful utilization. In this paper, the big data platform of a company which has a large proportion of EV charging stations in Shenzhen China, one of the largest on-road EV population cities, has been accessed and the data for EV charging loads were obtained and used. This section proposes the preliminaries of data processing methods and the model data formation. Moreover, load forecasting models are addressed.

3.1. Introduction of the Dataset

The dataset provided by a company composes the charging load data of a large charging station from April 2017 to June 2018. Given the complicated practical application, the dataset includes a number of data types, whereas only three featured data types are valuable for the forecasting model. These specific types are charging time, charging quantity and real-time electricity price and have been adopted as input respectively. The Liuyue station has many charging piles. Due to that the vehicle entering the charging station has a strong randomness in time, the selection of the charging pile is also random. The quality of the raw data is low, and it is therefore of importance for data pre-processing to the forecasting model.

3.2. Data Pre-Processing

The data process in this paper is divided into three stages including outlier processing, time interval processing and normalized processing, which are shown as below:

3.2.1. Outlier Processing

The occurrence of an outlier is due to unavoidable interference factors, resulting in errors and missing data in the raw dataset. Corresponding techniques are introduced in this section. For missing data, a load value is first judged in Equation (17), and the mean value of the front and rear data values is used once targeted as a missing outlier according to Equation (18). If the value is larger than the set threshold, the previous data is used instead with the same data type. As for the case where null is present for a certain type of data, the column data is deleted or completed with 0.

\[
\max \left| \frac{y_{dt} - y_{dt-1}}{y_{dt}} \right|, \left| \frac{y_{dt} - y_{dt+1}}{y_{dt}} \right| \leq \varepsilon_a
\]

(17)

where \(y_{dt}\) is the load value of the \(d^{th}\) day on time \(t\), \(\varepsilon_a\) is the set threshold, the corresponding outlier data will be replaced by \(y_{dt}\) denoted below:

\[
y_{dt} = \frac{y_{dt-1} + y_{dt+1}}{2}.
\]

(18)

For the error data, a similar operation will be implemented: if the range of the data value exceeds the set threshold, the mean value of the previous and afterwards data values are used instead, being similar to the missing data.
3.2.2. Time Interval Processing

The Python Data Analysis Library e.g., pandas is a NumPy-based tool created for solving data analysis tasks. Pandas incorporates a large number of libraries and standard data models to provide the tools for efficiently processing large scale data sets. In our case, pandas was used to integrate all the charging pile data according to the time point and split them into 24 time points every single day. To get the charging station load data 24 h a day, 10944 rows of data are generated in final.

3.2.3. Normalized Processing

In order to normalize the data, a min-max scaling method is used to scale the data values of input data down to the range of [0,1], which can significantly accelerate the network model training process. The detailed process is shown as follows:

\[ y = \frac{x - x_{\text{min}}}{x_{\text{max}} - x_{\text{min}}}. \] (19)

3.3. Load Forecasting Based on Models

In this section, the short-term forecasting framework is given in Figure 4. The framework starts with data pre-processing for the inputs. In this case, the following input features are used:

1. The charging load sequence of 24 points per day from April 2017 to June 2018 is denoted as C.
2. The charging time sequence of 24 points per day from April 2017 to June 2018 is represented as T.
3. The sequence of real-time electricity prices for peak and valley periods is denoted as E. The real-time electricity price has a greater impact on the charging load. Most electric vehicles will choose to charge during the valley price period (23:00–7:00), and the charging load will reach the peak within one day. The charging load is usually at a minimum during the peak price (9:00–12:00, 14:00–17:00, 19:00–21:00).
4. The corresponding binary holiday marks H involving the weekday and the weekend are 1 and 2 respectively, and a special holiday is 3.

![Deep learning model based forecasting framework.](image-url)
Due to that, the deep learning models are sensitive to data scale, in this study, we adopt min-max normalization for C, T, E, and the holiday marks H are encoded by one-hot encoder. Eventually, the inputs of the models are 4-dimensional vectors shown as below:

$$\mathbf{X}_t = [C_t, T_t, E_t, H_t].$$  \hspace{1cm} (20)

Before establishing the deep learning load forecasting model, we save the data as a file in .csv format and read it in the form of (samples, time steps, features), where the input charge is the charging load at time $t-1$, and the charging load at time $t$ is taken as the training label, which is shaped like (samples, 1). The model and the label are fed into the network to obtain the pre-training model, the model dimension conversion diagram is shown in Figure 5.

![Model dimension conversion diagram](image)

**Figure 5.** Model dimension conversion diagram.

In the training process of the deep learning model, the choice of loss function and optimizer is very important. In this study, we chose mean squared error (MSE) as a loss function, as follows:

$$MSE = \frac{1}{N} \sum_{i=1}^{N} (\hat{y} - x)^2$$  \hspace{1cm} (21)

where $N$ is the number of samples, $\hat{y}$ is forecasting value, and $x$ is actual value.

An effective optimizer is crucial in the deep learning model. In this paper, several optimizers including Adam [27], classic stochastic gradient descent (SGD), Adagrad [28], Adadelta [29] and RMSProp [30], and Adam achieved better results than other optimizers. Therefore, Adam was selected as the optimizer for the training process.

4. Experimental Results and Discussion

In this section, the preprocessed data was fed into four deep learning models for performance evaluation and comparison. All training processes for EV charging load forecasting were implemented in a desktop PC with 3.0 GHz Intel i7 and 64GB of memory, of which the GPU is Gefore Nvidia GTX-1080Ti and all codes are run by Keras library with Tensorflow [31] backend.

4.1. Model Evaluation

To access the effectiveness of the models, two widely used metrics were employed including normalized root mean squared error (NRMSE) and normalized mean absolute error (NMAE) [32]. Given that our data is based on a charging station when all the charging posts of the charging station...
are not used, the charging load is 0. In this regard, MAPE cannot be used as an evaluation index due to that the denominator is 0. The indicator is normalized because the charging load fluctuates greatly, and it is easier to observe the error size in order to obtain the percentage.

\[
NRMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (\hat{y} - x)^2}
\] (22)

\[
NMAE = \frac{1}{N} \sum_{i=1}^{N} |\hat{y} - x|
\] (23)

4.2. Experimental Results

During the training process, the dataset was divided into a training set and a test set, with the ratio selected as 0.7/0.3. As shown in Figure 6, the training set and the test set loss function of the four models can all converge within a limited epoch.

![Figure 6. Loss curve of the four models.](image)

The comparison between the predict values and the actual values of the four models is given by the Figures 7 and 8. Figure 7 demonstrates the results in the testing stage, and Figure 8 illustrates the results in training stage, where the REP denotes real error points. The error denotes the actual value minus the predicted value. Figures 9 and 10 illustrate the results in summer and winter. It can be seen from the figures that the overall charge in summer is high, and there are some no charging periods in the winters. Such difference directly affects the model performance. It can be seen from the figure that the GRU model has the best performance in this case. In Figure 8, the peak load reached at 5 p.m. of the day, and the REP is larger than other points. In Figure 7, the peak load reached at 11 a.m., the REP is also the largest. Although all four models show poor predictive effects on peak loads, the GRU model achieves a comparatively lower REP.

During the experimental process, we modified the number of hidden layers and nodes of the four models, and the performance comparisons are shown in Table 1. It can be seen from the Table 1 that the training process of the deep neural networks (DNN) model was the fastest, costing 68.88 s, whereas the NRMSE and NMAE of the one hidden layer GRU model were minimum, which were as small as 1.48% and 0.47% in training stage respectively, 2.89% and 0.77% in testing stage. However, the multi-hidden layers model had the poorest performance compared to the one hidden layer; it can be seen from the results that although the number of network layers increased, if the other parameters are not changed, not only the training speed is lowered, but also the accuracy is lowered.
Figure 7. Predictions and real error points (REP) of the test stage.

Figure 8. Predictions and REP of the training stage.

Figure 9. Predictions and REP of the summer scenario.
Figure 10. Predictions and REP of the winter scenario.


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<th>Test-NRMSE (%)</th>
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5. Conclusions and Future Works

The dramatic increase of EVs exerts significant pressures to the power system operators, and an accurate load forecasting model is a key solution to this problem. In this paper, four deep learning methods were used to predict the short-term charging load of real-world EV charging stations. The results show that the models have demonstrated effectiveness on the dataset, and the one hidden layer GRU model has the best performance compared with the other three models. However, these results cannot prove which model has an absolute advantage in the application. The major reason is that only limited data of one EV charging station was used in the model training, and the number of EVs only accounts for a small part of the actual vehicle numbers. In the future, with the increasing number of EVs and on-line charging behaviors, the amount of data obtained will increase accordingly. Therefore, more influencing factors and an increased amount of data will be considered to increase the dimensions and quality of the features and the quantity of the inputs. New models are promising to improve the prediction speed as well as the forecasting accuracy.

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