

Article **Simulation of an Asian Dust Storm Event in May 2017**

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Abstract: Dust particles in the atmosphere play an important role in air pollution, climate change, and biogeochemical cycles. Some of the dominant sources of dust in mid-latitude regions are in Asia. An intense dust storm engulfed Northern China at the beginning of May 2017, and PM_{10} mass concentrations of 1500–2000 µg m⁻³ were measured near the dust source region. We combined numerical simulations, air quality monitoring data, and satellite retrievals to investigate dust emission and transport during this event. We found that the event was closely related to cold front activity, characterized by increased wind speed, which increased dust emission. We improved the dust scheme using a local dust size distribution to better simulate the dust emission flux. We found that accurate parametrization of the dust size distribution was important to effectively simulate both dust emission and ambient particle concentration. We showed that using a local dust size distribution substantially improved the accuracy of the simulation, allowing both the spatial distribution of pollution caused by the dust storm and temporal variability in the pollution to be captured.

Keywords: WRF-Chem; dust emission; Asian dust storm; particle-size distribution

1. Introduction

Atmospheric aerosols play a vital role in air quality and climate change, and also negatively affect human health [\[1,](#page-10-0)[2\]](#page-10-1). Mineral dust particles emitted in arid and semiarid regions are some of the most abundant aerosol particles in the troposphere. After being emitted into the atmosphere, dust aerosols usually undergo cross-regional transport because they have relatively long lifetimes in the atmosphere [\[3\]](#page-10-2). High concentrations of dust in the atmosphere generally cause rapid deterioration in visibility in the affected region, particularly the source region and in areas downwind [\[4\]](#page-10-3). Dust in the atmosphere can perturb the radiative energy balance of the Earth–atmosphere system by absorbing and scattering both long-wave and short-wave radiation [\[5](#page-10-4)[,6\]](#page-10-5). Dust in the atmosphere also affects boundary layer meteorology [\[7\]](#page-10-6). It has been found in many previous studies that mineral dust in the atmosphere has a high enough surface area for heterogeneous reactions involving trace gases to occur to affect chemical and physical processes on the regional or even global scale [\[8\]](#page-10-7). The deposition of mineral dust is a major source of several important nutrients, including iron and phosphorus, and mineral dust deposited from the atmosphere affects global biogeochemical cycles [\[9\]](#page-10-8).

It has been estimated that annual mineral dust emissions range from 1000 to 3000 Tg y $^{-1}$ [\[10\]](#page-10-9). East Asia (which contains the Gobi Desert, Hobq Desert, Kumutage Desert, Mu Us Desert, and Taklimakan Desert) is one of the main sources of dust in mid-latitude regions. It was previously found that dust emissions in East Asia could be ~800 Tg y⁻¹, almost 50% of global annual dust emissions [\[11\]](#page-10-10). Anthropogenic regional climate change is causing desertification and is causing the area covered by

desert and sand to increase by 2460 km² y⁻¹ [\[12\]](#page-10-11). Frequent dust storms occur in China in spring, particularly in Northern and Western China [\[13\]](#page-10-12).

Numerical modeling is a systematic approach to identifying regions that supply dust to the atmosphere and to evaluating the effects of dust storms in different areas. It is essential to use such models to estimate dust emission rates to allow dust transport and the environmental and climate effects of dust to be assessed. Dust emission intensity is strongly dependent on meteorological conditions and land surface characteristics [\[14\]](#page-10-13). Large-scale dust emission rate estimates rely on an appropriate dust emission parameterization scheme being used. Several dust emission flux estimation parameterization schemes have been proposed. Shao and Dong (2006) summarized several widely used dust emission flux estimation parameterization schemes and found that the development of such schemes is related to the integration of monitoring and satellite remote sensing data.

There are still many uncertainties related to dust emission estimates, but the uncertainties are different for different regions [\[15,](#page-10-14)[16\]](#page-10-15). Dust concentrations in East Asia are usually underestimated by regional and global models, suggesting that these models need to be modified. The Goddard Global Ozone Chemistry Aerosol Radiation and Transport (GOCART) model, developed by the Georgia Institute of Technology, is one of most widely used dust emission schemes and has been embedded into many regional and global chemical transport models [\[17\]](#page-10-16), including the Weather Research and Forecasting coupled with the Chemistry model (WRF-Chem). This scheme is based on a complex parameterization that assumes that dust uplift is mainly initiated by saltation bombardment. It has performed well when estimating dust emission fluxes in several regions around the world [\[18\]](#page-10-17). However, it has clear limitations when applied to East Asia. For example, it has been found in previous studies of Asian dust storms that the GOCART dust emission scheme markedly underpredicts particle concentrations and aerosol optical depths compared with in situ observations and satellite retrievals. Subsequent estimates of long-range transport and the environmental effects of dust have therefore suffered from large uncertainties [\[19\]](#page-10-18). Many atmospheric processes involving dust particles (including diffusion, dry deposition, interactions with clouds, and transport) are dependent on the particle size because the particle size determines the atmospheric lifetime of a particle [\[20\]](#page-10-19). Assumptions related to the particle size distribution in a dust emission parameterization scheme have been found to play important roles in determining the atmospheric concentrations of dust [\[21\]](#page-10-20). Dust particles in different regions are characterized by distinct particle size distributions, which may vary widely [\[22\]](#page-10-21). Current dust emission schemes are based on measurements made in regions outside Asia. For example, the widely used GOCART scheme uses a particle size distribution based on measurements made in the Midwest USA and in the Sahara [\[23\]](#page-11-0). Using a local dust particle size distribution may allow dust emission fluxes and transport in Asia to be better characterized.

An intense dust storm engulfed Northern China at the beginning of May 2017, dramatically decreasing air quality in many cities, including Beijing. Most monitoring stations in Beijing measured PM₁₀ concentrations >1000 μ g m⁻³ on 4 May 2017. The Gansu, Hebei, Shandong, Heilongjiang and Jilin Provinces were also blanketed by this dust storm. We investigated dust emission and transport during this dust storm by combining numerical simulations, air quality monitoring data, and satellite retrievals. The dust emission flux was simulated more effectively than would otherwise have been possible by improving the GOCART dust scheme using a local particle size distribution. We compared our estimated dust emission fluxes with observed dust emission fluxes. Here, we describe the in situ observational data, remote sensing data, and regional chemical transport model, and we describe how the numerical simulations were configured and designed. We validated the model results using available measurements and analyzed the emission characteristics and transport pathways during the May 2017 dust storm. We also evaluated the improved GOCART scheme and assessed the importance of accurately parameterizing the dust size distribution.

2. Data and Methods

2.1. Data

Several observations were used to validate the ability of the model to reproduce the meteorology of and particle pollution caused by the dust storm. We collected ground-based hourly meteorological data, including 2 m air temperatures and 10 m wind speeds from the Integrated Surface Hourly Data archive held by the National Climatic Data Center. The hourly air quality index, acquired from online ambient air monitoring data released by the Ministry of Environmental Protection, was used to obtain PM_{10} concentrations to compare with our simulations. Data from two monitoring stations in the dust source regions (Baotou Station in the Inner Mongolia Autonomous Region and Yinchuan Station in the Ningxia Hui Autonomous Region) were used to validate the simulated dust emission fluxes. Particle concentrations were continually measured at these stations during the study period using an E-Sampler (Met One Instruments, Grants Pass, OR, USA). Satellite data for 550 nm aerosol optical depths (AODs) were retrieved from the moderate resolution imaging spectroradiometer (MODIS) dataset. These data had a horizontal resolution of 1°, and were used to verify the simulated spatial distributions of the dust.

2.2. Numerical Simulation

The WRF-Chem model (version 3.6.1), which simulates trace gases, particulates, and meteorological fields [\[24\]](#page-11-1), was used. Carbon Bond mechanism software version Z (CBMZ) for gas-phase chemistry and the model for simulating aerosol interactions and chemistry (MOSAIC) were used in the simulations. The MOSAIC aerosol scheme was applied using a sectional approach [\[25,](#page-11-2)[26\]](#page-11-3). The dust particle size distribution was divided into discrete dry particle diameter size bins 0.039–0.156, 0.156–0.625, 0.625–2.5, and 2.5–10.0 µm. The GOCART dust emission scheme [\[17\]](#page-10-16) was coupled with the CBMZ and MOSAIC. As mentioned by Ginoux et al. (2001), the GOCART scheme calculates the dust emission flux from the surface, F (µg m $^{-2}$ s $^{-1}$), using the equation

$$
F = C S s_p u_{10m}^2 (u_{10m} - u_t) (u_{10m} \ge u_t), \qquad (1)
$$

where *C* is an empirical proportionality constant equal to 1 µg s² m⁻⁵, *S* is the source function (based on the erodibility of soil by wind), *Sp* is the fraction of each erodible dust size class (including clay, silt, and sand fractions, which have different particle size ranges), *u10m* is the horizontal wind speed at 10 m (from meteorological data), and *u^t* is the threshold velocity (which will depend on both the particle size and soil moisture content).

The dust size distributions in the default GOCART scheme generally markedly overestimated the diameters of dust particles derived from Chinese desert regions. We therefore modified the size distribution for Asian dust sources using available measurements for East Asia based on recommendations made in a previous publication [\[27\]](#page-11-4). The dust emission mass fluxes were calculated, then the GOCART module allocated all newly emitted dust into size bins according to specific ratios. The ratios for the eight size bins, 0.039–0.078, 0.078–0.156, 0.156–0.312, 0.312–0.625, 0.625–1.25, 1.25–2.5, $2.5–5.0$, and $5.0–10.0$ μm, were 9.4×10^{-4} , 1.8×10^{-3} , 3.7×10^{-3} , 7.5×10^{-3} , 6.6×10^{-2} , 3.23×10^{-1} , 2.41×10^{-1} , and 3.55×10^{-1} , respectively.

The simulation domain covered North China, including the Gobi Desert, which was the main source of dust during the event simulated in this study (Figure [1\)](#page-3-0). We used 280×230 grid points at a horizontal resolution of 20 km \times 20 km and 30 vertical layers, with the top layer at 50 hPa. The initial meteorological fields and lateral boundary conditions were taken from the National Center for Environmental Prediction final analysis dataset, with a spatial resolution of $1° \times 1°$ and a temporal interval of 6 h. The initial chemical composition and boundary conditions were taken from the default profiles of the WRF-Chem model, and were the same used by McKeen et al. (2002) based on averages of mid-latitude aircraft profiles acquired in several field studies over the eastern Pacific

Ocean. The simulation period was 20 April to 10 May 2017, and the first ten days were the spin-up time. The domain settings and configuration options are shown in Table [1.](#page-3-1)

Figure 1. Map showing the erodibility distribution and dust source regions in the model domain. The blue dot and diamond mark the air quality monitoring station and weather station locations, respectively.

Domain Setting	
Horizontal grid	281×231
Grid spacing	$20 \text{ km} \times 20 \text{ km}$
Vertical layers	30 eta levels
Map projection	Lambert conformal conic
Configuration Options	
Longwave radiation	RRTMG
Shortwave radiation	RRTMG
Cumulus parameterization	Grell-Deveny
Land surface	unified Noah
Boundary layer	YSU
Microphysics	Lin et al.
Photolysis	Fast-J
Dust emission estimation	GOCART
Gas-phase chemistry and aerosol scheme	CBMZ and MOSAIC

147 **Table 1.** Model domain settings and configuration options used in the study. **Table 1.** Model domain settings and configuration options used in the study.

Notes: RRTMG—rapid radiative transfer model for general circulation models; YSU—Yonsei University; 148 Notes: RRTMG—rapid radiative transfer model for general circulation models; YSU—Yonsei GOCART—Goddard Global Ozone Chemistry Aerosol Radiation and Transport; CBMZ—Carbon Bond mechanism version Z; MOSAIC—model for simulating aerosol interactions and chemistry.

The simulation was evaluated by comparing various datasets with our simulation results. calculated using the equation was evaluated various datasets with our simulation results. The simulati The normalized mean bias (NMB) was used to evaluate the model performance. The NMB was

$$
\text{NMB} = \frac{\sum_{i=1}^{N} (Sim - Obs)}{\sum_{i=1}^{N} Obs},
$$
\n(2)

where *N* is the number of valid datapoints, *Sim* are the simulated meteorological fields or particle concentrations, and *Obs* are the observed meteorological fields or particle concentrations.

3. Results and Discussion 157 **3. Results and Discussion**

3.1. Synoptic Conditions during the Dust Storm Event 158 *3.1. Synoptic Conditions during the Dust Storm Event*

The synoptic weather evolution before and during the dust storm are shown in Fig[ure](#page-4-0) 2, and the PM_{10} concentratio[n](#page-5-0) time series for before and during the dust storm are shown in Figure 3. Temporal variations in the PM_{10} concentrations at the two stations near the dust source region indicated that the dust storm became more intense on 4 and 5 May 2017. For example, the PM_{10} concentrations on 5 May at Yinchuan Station, downwind of the Gobi Desert, reached 1600 μ g m^{−3}. The PM₁₀ concentrations at Baotou in the Inner Mongolia Autonomous Region were >1500 μ g m^{−3} for more than 30 h on 4 and 5 May 2017. The PM₁₀ concentrations at both sites are usually <200 μg m^{−3}, based on PM₁₀ concentrations measured before 4 May and after 6 May 2017. The dust storm at the beginning of May 2017 therefore dramatically decreased the air quality and quickly increased the PM_{10} concentrations in the dust source region and surrounding areas.

Figure 2. Weather charts for (a) 1 May 2017 (before the dust storm) and (b) 4 May 2017 (during the dust 171 dust storm). The charts are available at https://web.kma.go.kr/eng/weather/images/analysischart.jsp. storm). The charts are available at [https://web.kma.go.kr/eng/weather/images/analysischart.jsp.](https://web.kma.go.kr/eng/weather/images/analysischart.jsp)

Dust emissi[on](#page-10-12)s are, to a large extent, determined by weather condition[s \[1](#page-11-5)3,28]. The weather charts shown in [Fi](#page-4-0)gure 2 indicate that the atmospheric pressure gradient before the dust event was quite weak before 2 May 2017 but became more intense during the dust storm. A strong pressure gradient was measured at the surface around 40–50° N and 100–110° E on 4 and 5 May. This pressure-affected area gradually moved south following a trough in the upper atmosphere with well-developed baroclinicity, creating a dynamic environment.

Figure 3. Time series of the PM_{10} concentrations measured at the Yinchuan and Baotou Stations between 1 and 10 May 2017.

181 *3.2. Analysis of Meteorological Conditions and Model Validation 3.2. Analysis of Meteorological Conditions and Model Validation* 181 *3.2. Analysis of Meteorological Conditions and Model Validation*

Meteorological conditions, particularly the wind speed near the surface, affected the dust emission intensity. The model performance was evaluated by comparing the observed wind speed and 2 m air in the model performance was evaluated by comparing the observed wind speed and 2 m air temperature at three automatic weather stations (Bayan Mod $(104.5^{\circ} \text{ E}, 40.75^{\circ} \text{ N})$), Hails $(106.38^{\circ} \text{ E},$ 41.45° N), and Jartai (105.75 $^{\circ}$ E, 39.78 $^{\circ}$ N)) with the corresponding simulations between 1 and 10 May 2017. The data are sho[w](#page-5-1)n in [Fi](#page-6-0)gures 4 and 5 .

Figure 4. Modeled and observed wind speeds at the automatic weather stations (a) Bayan Mod, (b) Hails, and (c) Jartai. 189 Hails, and (**c**) Jartai. (**b**) Hails, and (**c**) Jartai.

Figure 5. Modeled and observed air temperatures at the automatic weather stations (a) Bayan Mod, 192 (**b**) Hails, and (**c**) Jartai. (**b**) Hails, and (**c**) Jartai.

The model generally simulated the observed wind speed and temperature variations at all three The model generally simulated the observed wind speed and temperature variations at all three stations well. On 1 and 2 May (before the dust storm), the observed and simulated wind speeds at all the speeds of all three stations in the dust source region were $\lt 5$ m s^{−1}. As shown in Figure [4,](#page-5-1) the wind speeds at all three stations increased quickly from 3 May and reached maximum hourly wind speeds as $\frac{1}{2}$ high as 15 m s^{−1}. The air temperature decreased markedly in the period 1–5 May. These are typical characteristics of a mesoscale cold front. It has previously been suggested that dust storms in Asia care cold 199 are generally accompanied by mesoscale cold fronts [29]. Our analysis of the dust storm in May 2017 are generally accompanied by mesoscale cold fronts [\[29\]](#page-11-6). Our analysis of the dust storm in May 2017 200 matched this pattern in terms of the meteorological setting and characteristics. matched this pattern in terms of the meteorological setting and characteristics.

We also assessed the mean spatial distribution and temporal variations in the wind-blown dust emission intensity in Northern China over the period 1–10 May 2017. The highest emission flux was found around the Gobi Desert (Figure [6\)](#page-7-0). However, the Taklimakan Desert in Xinjiang Province was also an important dust source region. The strong cold front and rapidly increasing wind speed affected Inner Mongolia and Mongolia more than other regions, and caused dust to be emitted from the Gobi Desert. This was the main contributor to the dust storm event at the beginning of May 2017. Calculations using our optimized GOCART dust emission scheme gave dust emission rates up to 20 μg m⁻² s⁻¹ for particles with diameters <20 μm. However, the corresponding emission fluxes for most parts of the Taklimakan Desert were <5 µg m⁻² s⁻¹, confirming that the Gobi Desert was the dominant source of dust emissions during the dust storm event.

The dust emission rate for the Gobi Desert fluctuated during the event because the wind speed varied markedly during the evolution of the synoptic weather system. For example, the dust emission flux at the Bayan Mod Station in the source region started to increase on 2 May and reached a maximum of 325 µg m⁻² s⁻¹ on 4 May. The emission flux on 5 May remained at ~200 µg m⁻² s⁻¹ because of the relatively high surface wind speeds in the region. The wind speed decreased once the cold front had passed over the Gobi Desert, and the dust emission flux was <10 μ g m $^{-2}$ s $^{-1}$ from 6 to 10 May.

202 **Figure 6.** (**a**) Simulated spatial distribution of the mean emission rates for particles with diameters $<$ 20 μ m, and (**b**) simulated time series for the emission rate of particles with diameters $<$ 20 μ m at the extensition of Particles with a particle of particles with diameters $<$ 20 μ m at the 204 automatic weather station at Bayan Mod over the period 1–10 May 2017. automatic weather station at Bayan Mod over the period 1–10 May 2017. **Figure 6.** (**a**) Simulated spatial distribution of the mean emission rates for particles with diameters

3.3. Importance of Using A Local Dust-Size Distribution

We compared the simulated dust aerosol concentrations for 4 May (during the most intense phase of the dust storm) with MODIS satellite observations (MYD04 level-2 aerosol products, shown in Figure [7\)](#page-8-0). The satellite images showed that the dust storm affected parts of Northern China (Gansu, Inner Mongolia, Ningxia, and Shanxi Provinces) but that the dust storm was most intense over the Beijing–Tianjin–Hebei region and Northeastern China. A simulation using the default configuration did not effectively represent the high AODs found over Northern China, even in and downwind of the dust source region. However, the spatial dust distribution and high AODs were represented well in simulations performed using the optimized GOCART dust emission scheme modified using the local particle size distribution. The optimized parameterization also captured the high dust load over the central and southern parts of the Hebei Province and the three northeastern provinces of China. The optimized scheme simulation results were therefore markedly better than the original scheme simulation results. This was probably because smaller dust particles in the optimized scheme decreased the dry deposition flux and increased the dust lifetime in the atmosphere.

236 atmosphere.

Figure 7. Spatial patterns of the 550 nm atmospheric optical depths (AODs) derived from (a) MODIS data, (**b**) the corresponding Weather Research and Forecasting model coupled with Chemistry model simulations using the default Goddard Global Ozone Chemistry Aerosol Radiation and Transport (300) and Transport 241 (GOCART) dust emission scheme, and (**c**) the optimized GOCART dust emission scheme at 13:00 (GOCART) dust emission scheme, and (**c**) the optimized GOCART dust emission scheme at 13:00 local 242 local time on 4 May 2017. No valid data were available for the gray area in (**a**). time on 4 May 2017. No valid data were available for the gray area in (**a**).

Only the simulations performed using the optimized GOCART dust emission scheme based on the local dust size distribution adequately characterized the distribution pattern and AODs for the event from the satellite data. Differences between the particle mass concentrations predicted using the standard and optimized GOCART simulations were evaluated by comparing the simulation results with PM_{10} mass concentrations measured in situ at the two stations in the dust source region (Figure [8\)](#page-9-0). The dust storm intensified strongly from 4 May, and the dust emission intensity started to decrease from 6 May, according to the PM_{10} mass concentration time series for the Yinchuan and Baotou Stations. The standard GOCART dust emission scheme using the default particle size distribution markedly underestimated the PM_{10} mass concentrations at both stations. Specifically, the simulated PM_{10} mass concentrations were ~300 μg m^{−3}, but the observed PM₁₀ mass concentrations were >1500 μg m^{−3}. The simulated PM₁₀ mass concentrations at the Yinchuan Station reached >1300 μ g m⁻³ when the local particle size distribution was used in the optimized GOCART scheme, and these concentrations were consistent with the observed concentrations. The NMB between the simulated and observed PM₁₀ mass concentrations therefore decreased from 57% in the non-optimized scheme to only 2% in the optimized scheme. The optimized dust scheme using the local dust size distribution gave much better simulation results (with a NMB of 40%) than did the non-optimized dust scheme (which gave a NMB of 77%).

It has been found, in previous studies, that the dust particle size distribution strongly influences dust emission, dust dispersion and transport in the atmosphere, and dry deposition rates. These processes determine ambient dust concentrations [\[30\]](#page-11-7). Field measurements of dust size distributions have been made in various parts of the world, and dust in Asia has generally been found to be smaller than dust in Africa and South America [\[27\]](#page-11-4). We found that including more small particles increased the emission rate for dust particles with diameters $\langle 10 \mu m$. Smaller particles tend to have longer lifetimes in the atmosphere, are more likely to undergo long-range transport, and more strongly affect downwind areas than do larger particles.

Figure 8. Temporal variations in the observed PM₁₀ concentrations at the Yinchuan and Baotou Stations, and the corresponding simulated values predicted using the default and modified dust size 263 distributions for the period 1–10 May 2017. distributions for the period 1–10 May 2017.

4. Conclusions studies, that the dust particle size distribution structures in particle size distribution strongly influences of \mathcal{L} **4. Conclusions**

We combined numerical simulations, air quality monitoring data, and satellite retrievals to investigate dust emission and transport during an intense dust storm in Northern China in May 2017. We found that the dust event was closely associated with a cold front, which rapidly increased the wind speed and increased the dust emission rate. PM_{10} mass concentrations near the dust source region reached 1500–2000 µg m⁻³ during the dust event, and this caused air quality over Northern China to deteriorate. We improved the dust parameterization scheme using the local dust size distribution in our simulations to better estimate the dust emission flux. Accurate parametrization of the dust size distribution played an important role when simulating both dust emission and regional transport. We compared our simulations with available in situ measurements of ambient particle mass concentrations and satellite-retrieved pollution distributions, and we found that using the local dust size distribution allowed better characterization of the spatial distribution of and temporal variations in the dust storm event than when the local dust size distribution was not used. Only one case study was considered, but it indicated the importance of the dust size distribution when modeling dust concentrations in the atmosphere. Sensitivity tests suggested that the dust size distribution also affected dust loading estimates and estimates of other climate effects. The results indicated that it is important to use accurate dust size distributions in future simulations, especially of dust storm events in Asia.

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