Circuit Topology and Small Signal Modeling of Variable Duty Cycle Controlled Three-Level LLC Converter

Hussain Humaira, Seung-Woo Baek, Hag-Wone Kim * and Kwan-Yuhl Cho

Department of Control and Instrumentation Engineering, Korea National University of Transportation, Chungju (KS001) 27469, Korea; humairabd@hotmail.com (H.H.); bsw@ut.ac.kr (S.-W.B.); kycho@ut.ac.kr (K.-Y.C.)
* Correspondence: khw@ut.ac.kr; Tel.: +82-043-841-5322

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Abstract: With a view to regulate the output voltage with fixed frequency, without using any additional component or complex modulation technique, a topology of a variable duty controlled three-level LLC converter is proposed and an equivalent small signal model for Electric Vehicle (EV) charger applications is deduced in this paper. The steady state equations of each operating region are derived in time domain. Based on an Extended Describing Function (EDF) approach, a small signal equivalent circuit is modeled which includes both frequency and duty controlled terms. The equivalent circuit is further simplified to derive a transfer function of duty control to output voltage. The transfer function is verified through simulation software. Analyzing the transfer function, a voltage controller is designed and implemented with a PI compensator. The simulation results of the proposed control schemes are illustrated and discussed. The topology is compared to a conventional frequency control topology and the merits of the proposed topology are presented.

Keywords: LLC Converter; Duty Control; Extended Describing Function; Small Signal Modeling

1. Introduction

Electricity based transportation methods are being researched all over the world for the past two decades [1] in order to find alternatives to fuel consumption [2,3]. Electric Vehicle (EV) charger systems have been attracting great attention of researchers, manufacturers and governmental agencies as concerns for energy conservation and environmental issues are growing everyday [4–6]. In the architecture of such a charger, a DC-DC converter is an essential element. From the DC link voltage supplied by the Power Factor Corrector (PFC), the DC-DC converter charges the battery through the transformer [7,8]. LLC converters have been a widespread choice for the DC-DC converter stage in EV chargers [9–12]. This type of converter is capable of achieving Zero Voltage Switching (ZVS) at the switches and Zero Current Switching (ZCS) at the rectifier diodes at almost all load conditions. It also offers a wide gain range and undergoes low conduction losses or electromagnetic interference [13–15].

An extensive amount of research has been conducted on frequency modulated LLC converter for simpler control and calculation [16–19]. However, frequency variation is conducted over a wide range resulting loss of ZVS [20] and introduces ripple [21] at certain load conditions. In [22], an additional three-level buck converter has been used to regulate the output voltage. A T-type three-level unit along with a LLC converter is applied with switch multiplexing technique [23]. The RMS value of current flowing through the secondary diodes have been reduced in [24], however, several control modes are required to regulate the converter at rated voltage. Recently, pulse-width or duty cycle modulation are gaining interest for wide gain range [25,26], enhanced light load efficiency [27,28] and
suppressed inrush current [29]. The output can be well-regulated by adding a duty cycle in the input of the resonant tank and no loss of ZVS occurs in case of duty control at any load condition. Since the switching frequency is fixed in the duty controlled converter, the magnetic components optimization is also convenient.

The reported applications of three-level LLC converters are limited in number [30–40] compared to conventional two-level converters. The voltage stress is half of the input voltage in the case of three-level converters and is advantageous for high switching frequency and higher efficiency [30]. If the switching frequency is chosen equal to resonant frequency and the ratio of resonant inductor to magnetizing inductor is smaller, voltage gain tolerance will be minimum [31]. The modulation technique in [32] with six switches is complex. Variation of output with change in frequency has been reported in [33]. The voltage is not equal to half for all loads and voltage gain in [34]. The lagging leg in [35] complicates the design and the current stress across that switch is high. Even though the converter in [36] uses fixed frequency scheme, the voltage stress in two lagging leg switches is equal to half of the input voltage. In [37], the converter is frequency controlled and calculation of additional dead time zones are required. The three-level LLC in [38] split the resonant capacitor and application of variable duty cycle is not suitable in this topology. There are eight inverter switches [39] and switching sequences can lead to complex control schemes. Nevertheless, the waveforms are highly nonlinear and the real time calculation is far more complex than frequency controlled LLC converters. A combined sliding mode control and PI control mode have been adopted in [40] for full bridge LLC converters based on an averaged large signal model. But the transfer function for PI control had to be derived through system identification method due to nonlinear behavior of the circuit. To linearize the circuit and implement a control strategy, a small signal modeling approach can be undertaken.

Although the state plane average method [41] is widely applied for PWM converters, it provides a solution up to half of the switching frequency only. Again, the sample data approach method in [42] offers a numerical way to design the compensator, which is difficult to apply. Extended Describing Function (EDF) is the preferred choice for small signal modeling approach for series/parallel resonant converters [43–45]. It is applied from the input to the output through the resonant tank. The modeling is based on first harmonic approximation of the state variables and then a set of functions is generated relating the input, state variables and control variables. The EDF method applied for LLC converter in [43,44] leads to an equivalent circuit model of fifth order. The complex terms in the functions can be withdrawn by separating the circuit into sine and cosine part and introducing cross coupled terms. In [45], the equivalent circuit is reduced to a simplified third-order circuit but for variable switching frequency control only.

In this paper, a three-level duty controlled circuit topology is proposed. The steady state waveforms are expressed by equations in time domain. The steady state behavior is observed through simulation results. With the aim of linearizing the circuit and developing a duty control to output voltage transfer function, an equivalent small signal model approach has been modeled applying the reduced third order EDF concept. Upon deriving the transfer function, it is verified by simulation. An output voltage PI controller is designed by compensating the derived transfer function. The simulation results are obtained for output by varying the reference voltage and load resistance. Lastly, a comparison between steady state wave forms of frequency control and duty control method is presented and a conclusion is drawn.

2. Duty Cycle Controlled Three-Level LLC Converter

The proposed converter consists of a dc source, two capacitors at the input, followed by the clamping diodes and a resonant tank. The rectifier along with the capacitive filter and load are separated by an isolating transformer. The circuit schematic and equivalent circuit are given in Figure 1a,b, respectively.

The input dc source voltage is split in half by $C_1$ and $C_2$. The voltage is clamped by the diodes $D_1$ and $D_2$. The half bridge configurations consist of four switches from $S_1$ to $S_4$ in series. A square voltage
is generated at the input of the resonant tank, \( V_T \), whose amplitude is equal to the half of the input dc source voltage i.e., \( V_{dc}/2 \). The resonant tank consists of a resonant inductor \( L_r \), resonant capacitor \( C_r \), and a magnetizing inductor \( L_m \) in series. The tank is followed by an isolating transformer of turns ratio \( n \). The output side contains diode rectifiers \( D_3-D_6 \), a capacitive filter \( C_f \) and a resistive load \( R_L \).

Figure 1. Proposed three-level LLC converter: (a) circuit schematic; and (b) equivalent AC circuit.

The resonant frequency \( f_r \) and the magnetizing frequency \( f_m \) of the converter are given by:

\[
\begin{align*}
\omega_r &= \frac{1}{\sqrt{L_r C_r}}, \quad f_r = \frac{\omega_r}{2\pi} \\
\omega_m &= \frac{1}{\sqrt{(L_r + L_m) C_r}}, \quad f_m = \frac{\omega_m}{2\pi}
\end{align*}
\]

In a duty controlled converter, the switching frequency is fixed and, in the proposed converter, the switching frequency is chosen equal to the resonant frequency. The normalized parameters of the converter are given by,

\[
Z_o = \sqrt{\frac{L_r}{C_r}}, \quad Z_1 = \sqrt{\frac{L_r + L_m}{C_r}}, \quad R_{dc} = \frac{8}{\pi^2} R_L \quad m = \frac{L_m}{L_r}, \quad Q = \frac{Z_o}{R_{dc}}
\]

According to Figure 1b, generalized state space equations are given below:

\[
\begin{align*}
V_{L_m} (t) &= L_m \frac{di_{L_m}}{dt} (t) \\
i_L (t) &= C_r \frac{dv_{C_r}}{dt} (t) \\
i_{L_m} (t) &= \frac{1}{L_m} \int nV_o dt + i_{L_m} (t_0) \\
V_T (t) &= L_r \frac{di_L}{dt} (t) + nV_o + V_{C_r} (t)
\end{align*}
\]

2.1. Operation of the Proposed Duty Control

The voltage and the current waveforms in the proposed three-level duty controlled topology mainly operate at six different modes. The positive half cycle is comprised of six operating regions
(t_0-t_3) and the remaining three are in the negative half cycle having similar equations as the positive cycle, only opposite in polarity. The passive elements, switches and the diodes are assumed to be ideal and the short dead time is ignored. In this paper, the switches S_1 and S_4 are duty cycle controlled (∼0.5) and the corresponding switches S_2 and S_3 are constantly driving signals at alternating cycles. One switching period is given by T_s = 1/f_r. Compared to the conventional frequency controlled LLC converter, the duty controlled converter has an additional operating region, which leads to a complex analysis of the circuit parameters. The switching sequences and the key operational waveforms are depicted in Figure 2.

![Figure 2. Operational Waveforms in duty controlled three-level LLC converter.](image)

### 2.2. Steady State Operation of Operation Modes

Analyzing the state space equations and incorporating the initial conditions, the steady state equations of each operating region can be obtained. The steady state equations are discussed below and the respective equivalent circuit is presented in Figure 3a–c.

![Figure 3. Equivalent steady-state circuit during: (a) Mode 1; (b) Mode 2; and (c) Mode 3.](image)

**Mode 1 (T_1): [From t_0 to t_1]**

As shown in Figure 2, at t_0, switches S_1 and S_2 turn on. A square wave voltage V_T is produced at the input of the tank. Both I_Lr and I_Lm start linearly increasing from their negative value and reach a positive value by time t_1. The output voltage is clamped by L_m and transferred to the secondary.
The output rectifier maintains ON state. The conduction period of this mode is equivalent to \(dT_s/2\). The derived steady state equations of this mode in time domain are given below:

\[
I_{Lr}(t) = \frac{1}{Z_1} (V_T - nV_o - V_{Cr}(t_0)) \sin(\omega_r t) + I_{Lr}(t_0)\cos(\omega_r t) \\
I_{Lm}(t) = \frac{nV_o}{L_m} t + I_{Lm}(t_0) \\
V_{Cr}(t) = (V_T - nV_o) + (Z_0 l_{Lr}(t_0) \sin(\omega_r t)) - (V_T - nV_o - V_{Cr}(t_0)) \cos(\omega_r t)
\]  

(4)

where \(I_{Lr}(t_0), I_{Lm}(t_0)\) and \(V_{Cr}(t_0)\) are the resonant current, magnetizing current and resonant capacitor voltage at \((t_0)\) time and also are the initial conditions of the positive half cycle. The equivalent circuit is shown in Figure 3a.

Mode 2 \((T_2)\): [From \(t_1\) to \(t_2\)]

The converter operation reaches its second stage at time \(t_1\) when only switch \(S_2\) is conducting and \(S_1\) is turned off. Contrary to frequency controlled LLC converter where the tank input voltage remains positive in the positive half cycle, and negative in the next cycle, the square wave voltage drops to zero, consequently \(I_{Lr}\) starts to decrease. \(I_{Lm}\) is still increasing linearly. The energy difference between \(I_{Lr}\) and \(I_{Lm}\) is transferred to the secondary side. In this mode also, the output rectifiers maintain ON state. The steady state equations of this mode are the following:

\[
I_{Lr}(t) = \frac{1}{Z_1} (-nV_o - V_{Cr}(t_1)) \sin(\omega_r t) + I_{Lr}(t_1)\cos(\omega_r t) \\
I_{Lm}(t) = \frac{nV_o}{L_m} T_2 + I_{Lm}(t_1) \\
V_{Cr}(t) = (-nV_o) + (Z_1 I_{Lr}(t_1) \sin(\omega_r t)) + (nV_o + V_{Cr}(t_1)) \cos(\omega_r t)
\]  

(5)

Mode 3 \((T_3)\): [From \(t_2\) to \(t_3\)]

\(I_{Lr}\) continues to decrease and at time \(t_2\) it is equal to \(I_{Lm}\), which leads the converter to operate in its third operating interval. From \(t_2\) to \(t_3\), the resonant current \(I_{Lr}\) circulate around the tank and is equal to \(I_{Lm}\). Both the input voltage and the output voltage are zero. The output rectifiers are in OFF state and no energy is transferred to the output. The equations expressing the behavior of the waveform in this interval are:

\[
I_{Lr}(t) = -\frac{V_{Cr}(t_2)}{Z_1} \sin(\omega_m t) + I_{Lr}(t_2)\cos(\omega_m t) \\
I_{Lm}(t) = I_{Lr}(t) \\
V_{Cr}(t) = V_{Cr}(t_2)\cos(\omega_m t) + Z_1 I_{Lr}(t_2) \sin(\omega_m t)
\]  

(6)

The initial values of the operating waveforms are:

\[
I_{Lm}(t_3) = -I_{Lm}(t_0) \\
I_{Lr}(t_3) = -I_{Lr}(t_0) \\
I_{Lm}(t_2) = I_{Lr}(t_2) \\
V_{Cr}(t_3) = -V_{Cr}(t_0)
\]  

(7)

2.3. Power Transfer Stage

The difference in energy between \(I_{Lr}\) and \(I_{Lm}\) during the time from \(t_0\) to \(t_2\) is transferred to the secondary side as output. The output rectifier current can be expressed as:
\[ I_o = \frac{2}{T_s} \int_{t_0}^{t_2} (i_{Lr} (t) - i_{Lm} (t)) \, dt \]
\[ = \frac{2}{T_s} \left[ \int_{t_0}^{t_1} (i_{Lr} (t) - i_{Lm} (t)) \, dt + \int_{t_1}^{t_2} (i_{Lr} (t) - i_{Lm} (t)) \, dt \right] \]
\[ = \frac{2}{T_s} \left[ \frac{1}{Z_0 \omega_r} (V_T - nV_o - V_{Cr} (t_0)) (1 - \cos \omega_r T_1) + \frac{1}{\omega_r} I_{Lr} (t_0) \sin \omega_r T_1 + \frac{nV_o r^2}{2Lm} T_1^2 - I_{Lm} (t_0) T_1 \right. \\
\left. + \frac{1}{Z_1 \omega_r} (nV_o - V_{Cr} (t_1)) (1 - \cos \omega_r T_2) + \frac{1}{\omega_r} I_{Lr} (t_1) \sin \omega_r T_2 + \frac{nV_o T_2^2}{2Lm} - I_{Lm} (t_1) T_2 \right] \quad \text{(8)}

As evident from Equation (8), the steady state solution is complex, non-linear and cumbersome to calculate. However, deriving the solution is not within the scope of this paper. From the next section onward, equivalent small signal modeling of the duty controlled LLC converter is discussed.

3. Equivalent Small Signal Circuit Modeling

3.1. Modeling with Extended Describing Function

In steady state operation the waveforms of the converter can be approximated as sinusoidal [41]. The resonant current, magnetizing current and the resonant voltage can be expressed in the form of their fundamental harmonic in the following manner splitting into sine and cosine parts:

\[ i_{Lr} = i_s (t) \sin \omega_s t + i_c (t) \cos \omega_s t \]
\[ i_{Lm} = i_{ms} (t) \sin \omega_s t + i_{mc} (t) \cos \omega_s t \]
\[ V_{Cr} = v_{Cs} (t) \sin \omega_s t + v_{Cc} (t) \cos \omega_s t \]

where, \( \omega_s \) is the angular switching frequency.

The derivatives of \( i_{Lr}, i_{Lm}, V_{Cr} \) are:

\[ \frac{di_{Lr}}{dt} = \left( \frac{di_s}{dt} - \omega_s i_c \right) \sin \omega_s t + \left( \frac{di_c}{dt} + \omega_s i_s \right) \cos \omega_s t \]
\[ \frac{di_{Lm}}{dt} = \left( \frac{di_{ms}}{dt} - \omega_s i_{mc} \right) \sin \omega_s t + \left( \frac{di_{mc}}{dt} + \omega_s i_{ms} \right) \cos \omega_s t \]
\[ \frac{dV_{Cr}}{dt} = \left( \frac{dv_{Cs}}{dt} - \omega_s v_{Cc} \right) \sin \omega_s t + \left( \frac{dv_{Cc}}{dt} + \omega_s v_{Cs} \right) \cos \omega_s t \]

where, \( i_s, i_c, i_{ms} \) and \( i_{mc} \) are the sine and cosine component of the fundamental approximation of resonant current and magnetizing current respectively.

The input and the output waveforms of the inverter are approximated by the DC components whereas the resonant tank waveforms can be approximated by fundamental approximation.

\[ V_T = v_{sq} = f_1 (v_g, d) \sin \omega_s t \]
\[ \text{sgn}(i_{Lr} - i_{Lm}) \nu_o = f_2 (i_s - i_{ms}, v_{Cr}) \sin \omega_s t + f_3 (i_c - i_{mc}, v_{Cr}) \cos \omega_s t \]
\[ | i_{Lr} - i_{Lm} | = f_4 (i_s - i_{ms}, i_c - i_{mc}) \]
\[ i_g = f_5 (i_s, d) \]

where \( d \) is the input duty cycle, \( v_g \) is the input dc voltage signal and equal to \( V_{dc} / 2 \) in the three-level LLC Converter, \( i_g \) is the input current signal and \( v_o \) is the output voltage signal.

The functions \( f_1 \sim f_5 \) are termed as EDF terms [43,44]. The EDF terms are obtained by applying Fourier transform to the nonlinear equations from Equation (3) and are shown in the following:
\[ f_1(d, v_g) = \frac{4}{\pi} \sin\left(\frac{\pi}{2}d\right)v_g \]
\[ f_2(i_s - i_{\text{ms}}, v_C f) = \frac{4}{\pi} \left(\frac{i_s - i_{\text{ms}}}{\pi} \right)v_{C f} \]
\[ f_3(i_c - i_{\text{mc}}, v_{C f}) = \frac{4}{\pi} \left(\frac{i_c - i_{\text{mc}}}{\pi} \right)v_{C f} \]
\[ f_4(i_s - i_{\text{ms}}, i_c - i_{\text{mc}}) = \frac{2}{\pi} i_p \]
\[ f_5(i_s, d) = \frac{2}{\pi} \sin\left(\frac{\pi}{2}d\right)i_s \]

(12)

In small signal modeling, the modulation frequency is lower than the switching frequency and thus can be considered to operate in steady state condition. Substituting the Equations (10)–(12) in (3) and equating the coefficients of dc, sine and cosine terms the following harmonic balance is established

\[
L_r \left(\frac{di_s}{dt} - \omega_s i_c\right) + v_{C_s} + L_m \left(\frac{di_{\text{ms}}}{dt} - \omega_s i_{\text{mc}}\right) = \frac{4}{\pi} \sin\left(\frac{\pi}{2}d\right)v_g
\]

\[
L_m \left(\frac{di_{\text{ms}}}{dt} - \omega_s i_{\text{mc}}\right) + v_{C_s} + L_m \left(\frac{di_{\text{mc}}}{dt} + \omega_s i_{\text{ms}}\right) = 0
\]

\[
L_m \left(\frac{di_{\text{mc}}}{dt} + \omega_s i_{\text{ms}}\right) = \frac{4}{\pi} \left(\frac{i_c - i_{\text{mc}}}{i_p}\right)v_{C f}
\]

\[
C_r \left(\frac{dv_{C_s}}{dt} - \omega_s v_{C_s}\right) = i_s
\]

\[
C_r \left(\frac{dv_{C_s}}{dt} + \omega_s v_{C_s}\right) = i_c
\]

\[
i_s = \frac{2}{\pi} \sin\left(\frac{\pi}{2}d\right)i_s
\]

(13)

Thus, the output equation can be written as:

\[
v_o = \frac{2}{\pi} i_p R_L
\]

\[
C_f \frac{dv_{C_f}}{dt} = \frac{2}{\pi} i_p \frac{v_{C f}}{R_L}
\]

(14)

When the proposed LLC converter is operating at steady state the derivative terms are considered equal to zero. In addition, when all the switches are operating at a duty cycle of 50\%, the steady state solution is similar to typical two level converters and is given by:

\[
M = \frac{2n V_o}{V_g} = \frac{1}{\sin\left(\frac{\pi}{2} \omega_n\right)} \left| \frac{j \omega_n m}{j \omega_n (m + 1 - \frac{1}{\omega_n^2}) + \frac{\pi^2}{8} Q \frac{1}{\sin\left(\frac{\pi}{2} \omega_n\right)} (1 - \omega_n^2) m \omega_n^2} \right|
\]

(15)

where \( \omega_n \) is the normalized frequency given by \( \omega_s / \omega_r \). The circuit is linearized and perturbed around the point \( \{ \hat{v}_g, \hat{d}, \hat{\omega}_s, R_L \} \) by replacing as the following,

\[
v_g(t) = V_g + \hat{v}_g(t)
\]
\[d(t) = D + \hat{d}(t)
\]
\[\omega_s = \Omega_s + \hat{\omega}_s
\]

(16)

where \( V_g, D \) and \( \Omega_s \) are the quiescent values of the input voltage, duty and switching frequency waveforms and \( \{ \hat{v}_g, \hat{d}, \hat{\omega}_s \} \) are the small ac variations respectively. The EDF method is applied from the input to the output including the resonant tank. The inverter receives an input voltage from a dc
source $v_g$ and generates a high frequency square wave $v_{sq}$. The rectifier transforms this ac current to dc current. If switching frequency is near or equal to resonant frequency, the higher order harmonics are discarded by the resonant tank. Therefore the input and output of the converter is dominated by dc component whereas the tank is dominated by fundamental component. The average input current is directly proportional to the duty cycle $d$ and indirectly proportional to the switching frequency since the resonant current varies the switching frequency as can be seen from Equation (13). The square wave voltage $v_{sq}$ is perturbed to yield the duty controlled voltage source $\hat{v}_{in}$ \cite{44},

$$v_{in} = \frac{4}{\pi} \sin \left( \frac{D\pi}{2} \right) \Omega_s \frac{\theta_g}{2} + \frac{V_g}{2} \cos \left( \frac{D\pi}{2} \right) \Omega_s d \tag{17}$$

The inductances of the tank is also characterized by their respective complex switching frequency impedance and complex controlled voltage source due to perturbation of switching frequency. The same can be modeled for the resonant capacitor. The resonant capacitor can be replaced with an equivalent inductor when the modulation frequency is very small than the switching frequency and the current source parallel to the capacitor is replaced by an equivalent voltage source \cite{45}. The resonant inductor, magnetizing inductor and resonant capacitor can be replaced by an equivalent series inductor $L_e$. Although the tank waveforms are dominated by modulation frequency which again dependent on the switching the frequency, the duty controlled input current produces a duty controlled output at the output of the resonant current. The average component of the rectified current can be expressed as the average value of the resonant current \cite{43,44}. In \cite{43,44}, the complex terms were withdrawn by separating the sine and cosine terms and introducing cross coupled terms. The model is further reduced to a simplified third order in \cite{45}. The equivalent inductance can be represented by $L_e$ and can be expressed as,

$$L_e = \left\{ L_r + L_m \left( 1 - \omega_n \right) \right\} \Omega_s + \frac{1}{\Omega_s C_r} \tag{18}$$

The tank impedance at switching frequency is given by $Z$ where $Z$ is given by,

$$Z = \Omega_s \left\{ L_r + L_m \left( 1 - \omega_n \right) \right\} - \frac{1}{\Omega_s C_r} \tag{19}$$

The steady state voltage across the resonant capacitor in the sine and cosine part are given by $V_{cs}$ and $V_{cc}$, and can be expressed by the resonant current divided by the capacitance, such as

$$V_{cs} = \frac{I_s}{\Omega_s C_r}, V_{cr} = \frac{I_s}{\Omega_s C_r} \tag{20}$$

The switching frequency dependent voltage source of the resonant inductor and resonant capacitor and can be combined into one source as $G_s \hat{w}_s$ and $G_c \hat{w}_s$ in the real and imaginary part respectively where,

$$G_s = L_r I_s + \frac{V_{cs}}{\Omega_s}, G_c = L_r I_s + \frac{V_{cc}}{\Omega_s} \tag{21}$$

The resonant current $I_s$ and $I_c$ are split into the magnetizing current $I_{ms}$, $I_{mc}$ and the remaining flows into the output of the tank as $I_{Ts}$ and $I_{Tc}$ such as,

$$I_s = I_{ms} + I_{Ts}, I_c = I_{mc} + I_{Tc} \tag{22}$$
where,

\[ I_{T_s} = \frac{2V_g}{\pi} \frac{\omega_n^2 L_n \left( L_n + 1 - \frac{1}{\omega_n^2} \right)}{\omega_n^2 L_n \left( L_n + 1 - \frac{1}{\omega_n^2} \right)^2 + \left( \frac{\pi^2}{8} Q \left( 1 - \omega_n^2 \right) L_n \right)^2} \]

\[ I_{T_c} = \frac{2V_g}{\pi} \frac{\omega_n^2 I_n^2 \left( \frac{\pi^2}{8} Q \left( 1 - \omega_n^2 \right) L_n \right)}{\omega_n^2 L_n \left( L_n + 1 - \frac{1}{\omega_n^2} \right)^2 + \left( \frac{\pi^2}{8} Q \left( 1 - \omega_n^2 \right) L_n \right)^2} \]

and,

\[ I_{ms} = \frac{I_{T_s} R_{eq}}{\Omega L_m} I_{mc} = -\frac{I_{T_c} R_{eq}}{\Omega L_m} \]

where, \( R_{eq} = R_{ac} \). The cross coupling coefficients between the output of the inverter and input of the rectifier side for the real and imaginary part can be expressed as the following,

\[ k_s = \frac{2I_{T_s}}{\pi I_p}, k_c = \frac{2I_{T_c}}{\pi I_p} \]

\[ k_{rs} = k_{rc} = -\frac{4 n V_o I_{T_s} I_{T_c}}{\pi I_p I_p} \]

\[ R'_s = \frac{4 n V_o}{\pi I_p} \frac{I_{T_s}^2}{I_p^2} \sin \left( \frac{\pi}{2} \omega_n \right) \]

\[ R'_c = \frac{4 n V_o}{\pi I_p} \frac{I_{T_c}^2}{I_p^2} \sin \left( \frac{\pi}{2} \omega_n \right) \]

where, \( I_p = \sqrt{I_{T_s}^2 + I_{T_c}^2} \).

The equivalent circuit of small signal model of three-level LLC including both frequency and duty controlled terms are given in Figure 4.

**Figure 4.** Equivalent small signal model for both duty and frequency controlled LLC converter.
3.2. Equivalent Circuit for Duty Control to Output

In order to derive duty control to output voltage transfer function the model is Figure 4 must be further simplified by keeping the terms containing \( \hat{d} \) and \( \hat{v}_o \) and discarding frequency controlled terms since the converter operates with a fixed frequency in a duty controlled converter. Again, since the fixed frequency is equal to the resonant frequency the term \( \omega_n \) becomes one, and from Equation (23) subsequently the parameter \( I_{TC} \) dependent on this term becomes zero. This results into \( I_{ms} \) being zero since it is dependent on \( I_{TC} \) as shown in Equation (24). Again, due to the same dependency on \( I_{TC} \), the terms \( k_c, k_{rs}, k_{rc}, R'_s \) become zero from Equation (25). From Equation (15) it is apparent that at resonant frequency, the value of the magnetizing inductor, \( L_m \) doesn’t affect the gain of the converter.

In addition, the output current is dependent on the average value of the resonant current. In order to simplify the analysis, the controlled voltage sources associated with \( L_m \) are discarded. At resonant frequency the impedance \( Z \) can be expressed as,

\[
Z = \Omega_s \left\{ L_r + L_m (1 - \omega_n) \right\} - \frac{1}{\Omega_s C_f}
\]

where, \( \Omega_r \) is the resonant frequency.

At resonant frequency, the impedance \( Z \) will also become zero. Consequently, the cosine loop is decoupled and only the upper loop is taken into consideration. Therefore the model depicted in Figure 4 is further reduced as shown in Figure 5.

**Figure 5.** Simplified equivalent circuit for deriving duty control to output voltage transfer function.

Applying KVL to both the loops at the right and left, the following equations are obtained:

\[
-\frac{V_g}{2} \cos \left(\frac{D\pi}{2}\right) \Omega_s \hat{d} + sL_e \hat{i}_c + 2k_s \sin \left(\frac{D\pi}{2}\right) \Omega_s \hat{V}_o = 0
\]

\[
k_s \hat{i}_c \left(\frac{sC_f R_L + 1}{sC_f}\right) = \hat{v}_o
\]

By solving the Equation (27), the transfer function of variable duty cycle control to output voltage is derived as the following:

\[
\frac{\hat{v}_o}{\hat{d}} = \frac{(s + 1) \left\{ k_s \frac{V_g}{2} \Omega_s R_L C_f \cos \left(\frac{D\pi}{2}\right) \right\}}{s^2 L_e C_f + s \left\{ 2k_s^2 \sin \left(\frac{D\pi}{2}\right) R_L C_f \Omega_s \right\} + 2k_s^2 \sin \left(\frac{D\pi}{2}\right) R_L C_f \Omega_s}
\]
Evidently from Equation (28), the transfer function is of second order unlike the frequency controlled converter which is of third order and the output is dependent on the values of \( D, \Omega_s, R_L, C_f \) and \( V_g \). The obtained transfer function is verified through simulation and an output voltage controller is designed shown in the following in section.

4. Simulation Verification & Controller Design

The proposed circuit schematic was simulated using the PSIM simulation software. The parameters of the converter are given in Table 1.

4.1. Simulation Results of Steady State Characteristics

The simulation results are obtained to observe the dependency of the steady state operations with variable duty and load. The simulation results at different duty cycle and load conditions are shown in Figure 6. As can be seen from Figure 6a–c the currents \( I_{Lr} \) and \( I_{Lm} \) increases linearly till \( t_1 \) and \( I_{Lr} \) decreases from that time onward. The shape of \( V_{Cr} \) is sinusoidal and reaches a positive/negative peak at the end of each positive/negative half cycle. When the duty cycle is increased in Figure 6b the interval \( T_2 \) and \( T_3 \) decreases since the interval \( T_1 \) is directly proportional to the duty cycle term and each half cycle is the sum of the three intervals. Again in Figure 6c, at low load condition, the resonant current drops to the \( I_{Lm} \) quickly compared to high load condition and circulates around the resonant tank. All the key waveforms of the converter are dependent on both duty cycle and load which increases the non-linearity of the equations. In addition, as can be seen from Figure 6, ZVS is achieved in all conditions of duty and load.

The simulation is also carried out to check the output sensitivity for resonant and magnetizing parameters. The parameters from Table 1 are increased and decreased in order to observe the change in output as a result of degradation of parameters. The duty is kept to 0.3 with respect to \( S_2 \) and \( S_3 \) and the value of load resistance is 30 ohm. When the parameters are as given in Table 1, the value of peak resonant current \( I_{Lr} \) is 11.9 A, the peak magnetizing current \( I_{Lm} \) is 1.5 A, the peak resonant capacitor voltage \( V_{Cr} \) is 113.8 V whereas the output voltage is 114.6 V. The increase in values resonant parameters will result in a lower resonant frequency and decrease in values will cause the opposite. When the resonant inductor \( L_r \) is replaced by a value of 26.565 \( \mu \)H and that of resonant capacitor \( C_r \) is changed to 105 nF and the magnetizing inductor is kept at 170 \( \mu \)H, the peak \( I_{Lr} \) is decreased to 11.1 A and the peak of \( V_{Cr} \) is decreased to 103.42 V resulting in a output voltage of 110 V. Again, when \( L_r \) is decreased to 24.12 \( \mu \)H and \( C_r \) is decreased to 95 nF, the output scenario is reversed. The peak \( I_{Lr} \) is increased to 12.2 A whereas the peak of \( V_{Cr} \) rises to 125.86 nF. The output voltage is increased to 119.43 V. The peak of \( I_{Lm} \) decreases to 1.45 A and increases to 1.63 A in respective cases. When only \( Lm \) is changed keeping the resonant parameters same as Table 1, the peak value of is increased to 1.6 A for the inductor value 161.5 \( \mu \)H and decreased to 1.4 A for the inductor value 178 \( \mu \)H. However, the output voltage is not affected by the slight change in the value of magnetizing inductor. The waveforms remain undistorted in every case and is shown in Figure 7a–d respectively.

<table>
<thead>
<tr>
<th>Components</th>
<th>( V_{dc} )</th>
<th>( L_r )</th>
<th>( C_r )</th>
<th>( L_m )</th>
<th>( f_s = f_r )</th>
<th>( C_1, C_2 )</th>
<th>( n )</th>
<th>( C_f )</th>
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<tr>
<td>Value</td>
<td>500</td>
<td>25.3</td>
<td>100</td>
<td>170</td>
<td>100</td>
<td>470</td>
<td>1</td>
<td>68</td>
</tr>
<tr>
<td>Unit</td>
<td>V</td>
<td>( \mu )H</td>
<td>nF</td>
<td>( \mu )H</td>
<td>kHz</td>
<td>( \mu )F</td>
<td>-</td>
<td>( \mu )F</td>
</tr>
</tbody>
</table>

Table 1. Simulation parameters of the proposed three-level LLC Converter.
Figure 6. Simulation results for steady-state operations of three-level LLC converter operating on input voltage at 500 V: (a) $D = 0.3$, $R_L = 30 \Omega$; (b) $D = 0.5$, $R_L = 30 \Omega$; (c) $D = 0.5$, $R_L = 100 \Omega$. 

(Images of graphs showing waveforms and numerical data)
Figure 7. Simulation results for (a) $L_r = 26.565 \, \mu\text{H}, C_r = 105 \, \text{nF}, L_m = 170 \, \mu\text{H}$; (b) $L_r = 24.12 \, \mu\text{H}, C_r = 95 \, \text{nF}, L_m = 170 \, \mu\text{H}$; (c) $L_r = 25.3 \, \mu\text{H}, C_r = 100 \, \text{nF}, L_m = 161.5 \, \mu\text{H}$; (d) $L_r = 25.3 \, \mu\text{H}, C_r = 100 \, \text{nF}, L_m = 178 \, \mu\text{H}$. 
4.2. Simulation Verification of Small Signal Control to Output Transfer Function & Controller Design

The transfer function obtained in Equation (28) is verified by simulation through MATLAB software with the same parameters as given in Table 1, which generates a numerical transfer function. The bode plot of the analytical and numerical transfer functions are shown in Figure 8.

\[
C(s) = 0.000062754 \left( \frac{s + 0.0000295}{s} \right),
\]

(29)

Figure 8 shows a good match between the obtained analytical and simulation transfer function. Both the transfer functions are of second order and contains two poles and one zero. The phase margin of the analytical transfer function is 90.2° at 90 kHz and that of the numerical transfer function is 90.1° at 50 kHz. Both the bode plots show an infinite gain margin which states that the loops are stable. However, the bandwidth is very high to implement a voltage controller. Setting the desired phase margin 70 and overshoot <10%, a PI compensator is designed by adding an extra zero to the root locus plot and further tuning. The transfer function of the compensators for the analytical and simulated transfer functions are given below:

\[
B(s) = 0.000062754 \left( \frac{s + 0.0000295}{s} \right),
\]

The uncompensated and compensated systems are plotted in Figure 9. The bode plot of the compensated system shows a reduced bandwidth at the desired phase margin for both the analytical and simulation transfer function. The compensated transfer function is implemented as a simple PI controller transfer function to regulate the output voltage with a duty controlled signal. In a 3 level LLC converter, the expression for the gain is given by \(2V_o/V_{dc}\) and since the operating frequency is equal to the resonant frequency, the gain cannot be higher than 1. Thus, the applied reference voltage must be equal to half or less than half of the input DC source voltage.

After the implementation of the PI compensator the simulation results are generated. Firstly the controller results are verified for a fixed reference voltage. The simulation result of control signal, reference voltage, output voltage and output current is shown in Figure 10. For a 500 V input dc source, a 200 V reference was used as a reference voltage at 20 A load. The control signal is at first clamped at 0.5 which drops to 0.4 in accordance with the reference voltage.

The controller results are also checked for parameter sensitivity. Since slight variation in \(L_m\) doesn’t affect the output voltage, only resonant parameters are varied and shown in Figure 11. The \(L_r\)
and $C_r$ values are changed to 26.565 $\mu$H and 105 nF respectively for the controller results shown in Figure 11a. Again, the values are altered to 24.12 $\mu$H and 95 nF for the output presented in Figure 11b. In both the cases, the output can follow the reference.

A step value of 20 V was added to 200 V reference after 0.005 s to the converter when the input voltage source was of 500 V. The duty control signal increases and reaches to 0.4 simultaneously with the output voltage to follow the applied reference voltage of 200 V. As the step reference value is added after 0.005 s the duty controlled signal output follows the voltage reference. The duty cycle increased at 0.005 s from 0.4 to 0.44 and so does the output current. The controller can be further verified for varied load resistance for different input DC voltage for the controlled converter. The simulation results for added reference voltages and load resistances are presented in Figure 12.

Figure 12b shows the simulation output of added load resistance after 0.005 s from the beginning of simulation which results in a slight drop in the output voltage at that instant. For both Figure 12a, and Figure 12b, the output follows the reference input.

Figure 9. Bode plot of PI tuned compensated system compared to the uncompensated system.

Figure 10. Simulation results for duty controlled scheme for fixed reference voltage.
Figure 11. Controller outputs for (a) $L_r = 26.565$ µH, $C_r = 105$ nF, $L_m = 170$ µH; (b) $L_r = 24.12$ µH, $C_r = 95$ nF, $L_m = 170$ µH.

Figure 12. Controller outputs for (a) Varied reference voltage; (b) Varied load resistance.
The reduced small signal model is of second order, unlike the three order model for switching frequency control to output voltage and can be implemented with a simple PI compensator. To further validate the simplicity of the voltage regulation through duty control, the steady state waveforms are compared with the frequency controlled waveforms. The parameters of the converter are the same as listed in Table 1 and the value of the load resistance is kept at 50 Ω. In order to obtain a desired output voltage of 120 V, input and output signals of both duty control and frequency control method in steady state are presented in Figure 13.

![Figure 13](attachment:image.png)

**Figure 13.** Steady state waveforms of (a) Frequency controlled; (b) Duty controlled three-level LLC Converter.

Figure 13a shows the converter waveforms when the switching frequency is varied. To achieve a voltage as low as 120 V, the converter has to operate at a very high switching frequency. In this case, the switching frequency is required to regulate the voltage at 120 V is 340 K which is 3.4 times the resonant frequency of the tank. The high switching frequency can cause switching loss and decrease in efficiency. Moreover, the parameters of the converter should be suitably optimized for frequency control for operating at wide range of frequencies. Further control methods have to be applied to obtain the desired output voltage at a reduced switching frequency. As the lighter load condition is approached, the required switching frequency becomes higher which ultimately results in large switching loss without ZCS of the rectifier diodes $D_3 \sim D_6$. However, the duty control method is rather simple to implement and the desired output voltage can be achieved. The waveforms of duty controlled converter are presented in Figure 13b. In this case, the duty cycle value is tuned at 0.27 with respect to the switches $S_2$ and $S_4$. If the load is further decreased, the applied duty cycle must be of greater value to get a lower output voltage than $V_{dc}/2$. Although both the duty control and frequency controlled outputs are dependent on load condition, the desired voltage for duty controlled converter can be achieved at the fixed switching frequency, only by adjusting the duty cycle. Thus the control is much simpler and causes minimum switching loss. The soft switching conditions are maintained at almost all load conditions. The optimization of parameters of the converter is not also as crucial as for
the frequency controlled three-level LLC converter. Therefore, the proposed topology can be regarded as a superior method in terms of simple and convenient control.

5. Conclusions

The proposed circuit topology of three-level duty controlled LLC converter is presented in this paper for a potential EV charger application. The modulation technique is rather simple and no additional component or circuitry has been required for controlling the output. The operating regions are discussed and the steady state equations of the respective regions are derived. The steady state waveforms are observed through simulation for different duty cycle and load resistance values. Using the EDF concept, a linearized small signal equivalent circuit is modeled for duty control to output voltage and a reduced second-order transfer function is derived. The transfer function is verified through simulation and compensated to design a voltage controller. The controller outputs are obtained for varying step reference voltage and load resistance to validate the controller design. Finally, the steady state conditions between the variable frequency control and variable duty cycle control are presented. Contrary to the frequency control method, the voltage can be regulated by changing the duty cycle only while the converter operates at the resonant frequency, making the proposed topology much simpler to implement.

Author Contributions: H.H. derived the model, generated the simulation results and prepared the original draft. S.-W.B. assisted in implementation of results and preparing the draft. H.-W.K. proposed the idea and supervised the paper. K.-Y.C. contributed in idea development and discussion of the results.

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Conflicts of Interest: The authors declare no conflict of interest.

Abbreviations

The following abbreviations are used in this manuscript:

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
</tr>
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<tbody>
<tr>
<td>$V_{dc}$</td>
<td>Input dc source voltage</td>
</tr>
<tr>
<td>$V_T$</td>
<td>Primary side voltage</td>
</tr>
<tr>
<td>$C_1, C_2$</td>
<td>Input side capacitors</td>
</tr>
<tr>
<td>$D_1, D_2$</td>
<td>Clamping diodes at the input</td>
</tr>
<tr>
<td>$D_3 \sim D_6$</td>
<td>Rectifier diodes</td>
</tr>
<tr>
<td>$S_1 \sim S_4$</td>
<td>Switches</td>
</tr>
<tr>
<td>$\omega_r$</td>
<td>Resonant angular frequency</td>
</tr>
<tr>
<td>$\omega_s$</td>
<td>Switching angular frequency</td>
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<td>Angular frequency due to magnetizing inductor</td>
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</tr>
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<td>Voltage gain</td>
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<td>Resonant inductor</td>
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<td>Resonant capacitor</td>
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<td>Magnetizing inductor</td>
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<tr>
<td>$L_{m}$</td>
<td>Magnetizing inductor</td>
</tr>
<tr>
<td>$C_f$</td>
<td>Output capacitor</td>
</tr>
</tbody>
</table>
$R_L$  
Load resistance

$T_s$  
Switching period

$Z_0$  
Normalized tank impedance at resonant frequency

$Z_1$  
Normalized tank impedance at magnetizing frequency

$R_{ac}$  
AC equivalent resistance

$m$  
$m_L/m_r$

$Q$  
Quality factor

$I_{Lr}$  
Resonant inductor current

$I_{Lm}$  
Magnetizing inductor current

$V_{Cr}$  
Voltage across resonant capacitor

$V_{Lm}$  
Voltage across magnetizing inductor

$V_o$  
Output voltage

$I_o$  
Output current

$V_{sec}$  
Secondary side voltage

References


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