Article

Carbon Footprint of Residents’ Housing Consumption and Its Driving Forces in China

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Abstract: A large population size and rapid economic growth have resulted in a huge amount of housing consumption in China. Therefore, it is critical to identify the determinants of housing carbon footprint (CF) and prepare appropriate carbon mitigation measures. By employing the IPCC accounting method, input-output analysis and the stochastic impacts by regression on population, affluence, and technology (STIRPAT) model, this study aims to study the spatio-temporal patterns and identify the driving factors of housing CF. The results show that regional disparities and urban-rural differences existed during the period 2012–2017. The results of the extended STIRPAT model show that population scale and energy consumption per unit building area are the two dominant contributors to the housing CF increments in all areas. While, family size only shows significant negative impact in eastern and western regions, the per capita disposable income only induces higher housing CF in rural areas, and energy structure had a remarkable positive impact in urban area of western region and all rural areas. Policy recommendations are proposed to mitigate the overall housing CF, including: controlling population growth and promoting urbanization benefits; encouraging green consumption; optimizing household energy consumption structure, and; enhancing residential building energy management.

Keywords: housing consumption; carbon footprint; urban-rural difference; regional disparity; STIRPAT model; Urban governance

1. Introduction

Climate change has become a global issue and has received considerable attention from various stakeholders [1]. As the largest emitter, China’s total CO2 emission reached 9825.8 Mt in 2019, accounting for 28.8% of the global CO2 emission [2]. In order to respond climate change, China promised to peak its carbon dioxide emission by 2030 and achieve carbon neutrality by 2060 [3].

As an important source of China’s CO2 emission, household sector has become a key sector for mitigation efforts [4,5]. Globally, around 72% of GHG emissions are driven by household consumption [6]. The carbon footprint (CF) refers to the total amount of CO2 emission that is directly and indirectly caused by an activity or is accumulated over the lifecycle of a product or service [7,8]. Household CF includes direct CF from energy consumption and indirect CF embodied in production and delivery of services related to final household consumption. According to China National Bureau of Statistic, household consumption can be classified into eight consumption categories, including: food; clothing; household facilities and services; medical and pharmaceutical products; transportation;
and communication; education and entertainment; housing; and others [7]. Previous studies estimated household CF at different levels using various methods. For instance, Qu et al. [9] estimated China’s household CF at national, regional and urban/rural levels based on a survey of 17,406 households and found significant disparities in China’s household CF between urban and rural areas. Bin and Dowlatabadi [10] proposed the consumer lifestyle approach to estimate household CF in US, in which they found that more than 80% of GHG emissions in US were related with household consumption. Jesper et al. [11] used an input-output analysis (IOA) method to calculate the household CF in Denmark, showing that Danish household consumption increased by 58% over the period 1966–1992 while household CF only increased by 7%. Yang and Liu [12] used a social practice model to evaluate the impact of individual differences on urban household CF at the city level. They uncovered that nearly 20% of the general public were responsible for 60%–70% of the overall urban CF and increasing income and ownership of real estate contributed to increased household CF.

CF from housing consumption has become an important component of household CF [13,14]. Housing consumption refers to all the related residential expenses, including rent, water, electricity, fuel and property management [15]. Fossil fuel-based carbon emission from housing operations exceeded 900 Mt in 2018 (central heating is not included) [16]. Meanwhile, rapid economic growth and housing marketization exacerbate housing inequality between urban and rural residents and among different regions [17]. China is facing a great pressure to satisfy its huge housing needs from its 1.4 billion residents. However, housing consumption has received less attention. Previous studies focused only on direct or indirect CF from housing consumption or the whole building sector. For example, Wang [18] developed a national building energy accounting model by splitting the energy balance sheet. This model was later extended to the provincial level [19]. The Tsinghua University Building Energy Research Center [20] evaluated China’s building sector CF by developing the China Building Energy Model. Zhang et al. [21] used a process-based life cycle assessment (LCA) approach to evaluate the trends of China’s building sector CF from 2000 to 2016 and found that urban residential activities have become the main source of CF. While, the construction scale, building structure type, and material production efficiency are the three important driving factors.

Academically, the stochastic impacts by regression on population, affluence, and technology (STIRPAT) model is frequently used to identify the driving forces of CO₂ emissions [22]. In this regard, Dietz and Rosa first proposed the STIRPAT model based on the impacts of population, affluence, and technology model [23-24]. This model could disaggregate the influential factors into many proxy variables [25-27]. By using one extended STIRPAT model, Wang et al. [28] found that the key factors influencing energy-related CO₂ emission in Guangdong, including population, economic growth, technological progress, urbanization, industrialization, service level, energy consumption structure and foreign trade. Liddle and Lung [29] examined the impact of population age structure by dividing population into key age groups and found that elderly people had a negative influence on CO₂ emission. Yang et al. [30] examined the influence of population-related factors on CO₂ emission in Beijing and found that the shrinking household size and increasing mobile population were main driving forces that promoted the growth of CO₂ emissions. In addition, some researchers applied this method to identify the factors affecting the CF of household consumption. Yao et al. [31] quantified the contribution of driving factors of the CF from Chinese household consumption during 1991–2010 and pointed that population growth, urbanization and improved consumption level were the main driving forces leading to a higher CF of household consumption. However, few studies focused on the factors affecting the CF of housing consumption.

In summary, three research gaps exist and should be filled. First, few researches target both direct and indirect housing CFs from a holistic point of view. Second, previous studies focused on household CF or house building CF, but ignored China’s CF caused by
housing consumption. In particular, urban-rural CF differences and regional CF disparities should be uncovered. Finally, few studies focused on the factors influencing the CF of housing consumption.

To fill such research gaps, this study aims to investigate the spatio-temporal patterns and driving forces of China’s housing consumption CF for the period of 2012–2017, so that appropriate policy implications could be provided. Both the IPCC method and the IOA method are applied to account China’s total housing consumption CF. In addition, an extended STIRPAT model is employed to uncover the factors influencing the CF of housing consumption. This study is conducted at the provincial level so that both regional disparity and urban-rural heterogeneity can be uncovered.

This paper is organized as below. After this introduction section, Section 2 presents the research methods, as well as accounting boundary and data sources. The research results are presented in Section 3, and policy implications are discussed in Section 4. Finally, Section 5 draws research conclusions.

2. Methods and Data

2.1. Accounting Boundary

In order to calculate CF caused by housing consumption, a research boundary should be first established. In this study, the accounting boundary was set based on the definition of housing expenditure (including household energy expenditure, household water expenditure, housing rent expenditure, housing maintenance expenditure, property management expenditure, housing mortgage expenditure, etc.) addressed by National Bureau of Statistics of China [15], shown in Figure 1. In this study, direct CF is defined as the carbon emission from energy consumption of residential buildings and indirect CF is defined as the embodied carbon emission from non-energy consumption of housing products and services.

2.2. Direct CF of Housing Consumption

Direct CF of housing consumption includes consumption of electricity and heat. Although CF caused by heat and electricity consumption is usually recognized as indirect carbon emission from industrial carbon emission [32], it is normally regarded as direct CF from household energy consumption [10,33]. Direct CF of housing consumption can be calculated by Equation (1):
\[ E_{\text{direct}}(y) = \sum C_i(y) \times CEF_i(y) \]  

where \( i \) represents energy source type \( i \) (including fossil fuel, electricity and heating), \( y \) represents the investigated province; \( E_{\text{direct}} \) represents direct CF of housing consumption, \( C \) represents the final consumption of energy type \( i \), \( CEF \) represents the carbon emission factor.

According to GHG accounting method developed by Intergovernmental Panel on Climate Change (IPCC) [34], \( CEF \) of fossil fuels can be calculated by Equation (2),

\[ CEF_{\text{fossil}} = LCV \times CC \times O \times EEF \times \frac{44}{12} \]  

where \( LCV \) represents the lower calorific value, \( CC \) represents the carbon content, \( O \) represents the oxidation rate, \( EEF \) represents the effective emission factor, 44 represents the molecular mass of carbon dioxide, 12 represents the atomic mass of carbon.

The values of \( CEF \) of electricity are obtained from the report of the average carbon dioxide emission factor of China’s regional power grid released by NDRC (National Development and Reform Commission) [35], listed in Table S1.

By obtaining the carbon emission factors based on the provincial energy balance sheets, \( CEF \) of heating can be calculated by Equation (3),

\[ CEF(\gamma) = \sum_{k} C_k(\gamma) \times EF_k(\gamma) \]  

where \( \gamma \) represents the investigated province; \( C_k \) represents the final consumption of energy type \( k \) consumed for heat production, \( EF_k \) represents the carbon emission factor of energy type \( k \), \( P \) represents the heat production.

2.3. Indirect CF of Housing Consumption

Input-output analysis [36] is a main method to calculate indirect carbon emission. This method is adopted for calculating the indirect emission of residential housing consumption. Equation (4) shows how to calculate such an emission:

\[ Ce = D \times (I - A)^{-1} \times F \]  

where \( Ce \) represents indirect household carbon emission, \( D \) represent the vector of direct emission intensity of industrial sectors, \( A \) represents direct intermediate input coefficient matrix, \( I \) represents an identity matrix with the same rank as \( A \), \( F \) represents the matrix of residential final consumption.

Since the Input-Output Tables are only available for years 2012 and 2017, according to the research of Mi et al. [37], this study used Equation (5) to obtain indirect carbon emission data for consecutive years,

\[ CEF_{\text{indirect}} = \begin{cases} D_i(I - A_i)^{-1}F_i & (i = 2012,2017) \\ \frac{4}{5}D_i(I - A_i^{-1})^{-1} + \frac{1}{5}D_i(I - A_i)\times F_i & (i = 2013) \\ \frac{3}{5}D_i(I - A_i^{-2})^{-1} + \frac{2}{5}D_i(I - A_i)\times F_i & (i = 2014) \\ \frac{2}{5}D_i(I - A_i^{-3})^{-1} + \frac{3}{5}D_i(I - A_i)\times F_i & (i = 2015) \\ \frac{1}{5}D_i(I - A_i^{-4})^{-1} + \frac{4}{5}D_i(I - A_i)\times F_i & (i = 2016) \end{cases} \]  

where \( E_{\text{indirect}} \) represents indirect household carbon emission, \( D_i \) represents represent the vector of direct emission intensity of industrial sectors in year \( i \), \( A_i \) represents direct intermediate input coefficient matrix in year \( i \), \( I \) represents an identity matrix with the same rank as \( A_i \), \( F_i \) represents the matrix of residential final consumption in year \( i \).
According to the China Statistical Yearbooks, the IO sectors could be matched with eight household expenditures categories. Therefore, indirect CF of housing consumption could be calculated by integrating the results from the indirect emissions of different industrial sectors, based on the matching relationships between the eight consumption categories and corresponding industrial sectors in the input-output tables [7,32] (listed in Table S2).

2.4. STIRPAT Model

Ehrlich and Holdren [23] developed the IPAT model to explore the effects of demographic, economic and technological activities on carbon emissions, which is shown in Equation (6):

$$ I = P \times A \times T $$

where $I$ denotes environmental impact, $P$ is population, $A$ is affluence, and $T$ is technology. However, the linear hypothesis of this model limits its application. In order to solve this problem, Dietz and Rosa [24] developed the STIRPAT model, considering the stochastic impacts of population, affluence, and technology. The STIRPAT model is expressed in Equation (7):

$$ I = \alpha \times P^b \times A^c \times T^d $$

where $\alpha$ refers to the constant term; $b$, $c$ and $d$ represent coefficients of $P$, $A$ and $T$, respectively. After taking logarithms, this model is transformed to Equation (8):

$$ \ln I = \ln \alpha + b(\ln P) + c(\ln A) + d(\ln T) $$

In STIRPAT model, relevant variables could be added into the original model due to its flexibility [30]. $P$ is replaced by population scale and family size; $A$ is replaced by income level. $T$ is replaced by residential housing energy intensity and energy structure. The extended STIRPAT model can be illustrated in Equation (9):

$$ \ln C_{it} = \alpha_0 + \alpha_1 \ln POP_{it} + \alpha_2 \ln FS_{it} + \alpha_3 \ln INC_{it} + \alpha_4 \ln ECM2_{it} + \alpha_5 \ln ES_{it} + \varepsilon_{it} $$

where $i$ represents one province or municipality; $t$ refers to the year; $\alpha_0$ denotes the constant term; $\alpha_1$, $\alpha_2$, $\alpha_3$, $\alpha_4$ and $\alpha_5$ are coefficients of $POP$, $FS$, $INC$, $ECM2$ and $ES$; $\varepsilon_{it}$ is the residual error. $C$ represents housing consumption CF; $POP$ represents population scale; $FS$ represents family size; $INC$ represents per capita disposable income; $ECM2$ represents energy consumption per unit building area; and $ES$ represents energy structure.

Prior to the correlation test among the variables, each variable is undergone the logarithmic processing to eliminate the influence of dispersion of data [38]. Then, the diagnostic check is performed to test whether there is multicollinearity or not [39]. Tables S3 and S4 list the descriptive statistical results and correlations of all variables. VIF (variance inflation factor) values are listed in Table 1, which are all less than 10, indicating that there is no multicollinearity [39]. Further, in order to guarantee the validity and accuracy of the regression of panel data and improve the stability and reliability of the regression coefficients, static panel regression is used to estimate the regression model [40]. The whole process is carried out using STATA 14.0 statistical software.

Table 1. Variance inflation factors.

<table>
<thead>
<tr>
<th>Variables</th>
<th>VIF</th>
</tr>
</thead>
<tbody>
<tr>
<td>ln INC</td>
<td>2.219</td>
</tr>
<tr>
<td>ln ES</td>
<td>1.742</td>
</tr>
<tr>
<td>ln ECM2</td>
<td>1.507</td>
</tr>
<tr>
<td>ln FS</td>
<td>1.440</td>
</tr>
<tr>
<td>ln POP</td>
<td>1.239</td>
</tr>
</tbody>
</table>
Mean VIF  1.629

2.5. Data Sources

Due to the availability of data, Hong Kong, Macao, Taiwan, and Tibet are not included in this study. Therefore, in total 30 Chinese provinces are included in this study. In order to further uncover regional disparity of housing CF, these thirty provinces are classified into three regions, namely, the eastern region, central region, and western region [41], as shown in Figure 2.

The direct housing energy consumption data are from energy balance sheets of 30 provinces. Since household consumption statistical data, include fuel consumption for transportation, all the gasoline and 95% diesel should be excluded as transportation energy consumption [18]. Considering China’s real energy quality, the carbon contents, oxidation rates and low calorimetric data of most fuels are obtained from China 2005 GHG Inventory [42], and others are supplemented by 2006 IPCC Guidelines for National Greenhouse Gas Inventories [34] and the Statistical System of Energy and Resource Consumption of Public Institutions [43], which are listed in Table S5. In particular, by considering different combustion efficiencies in different sectors [42], the emission factors of coal are calculated by using different oxidation rate values from relevant sectors (heating and household housing consumption), rather than the default values recommended by IPCC, which are listed in Table S6. Further, the emission factor of raw coal is calculated by considering different proportions of coal types (bituminous coal, anthracite coal, brown coal) in different sectors (see Table S7).

All the provincial sectoral emissions data are obtained from CEADS (China emission accounts and datasets) [44–46]. Residential housing expenditure data in different provinces are obtained from provincial statistical yearbooks [47]. In order to eliminate the impact of inflation, the original data related to current prices are transferred into 2012 constant prices. All the price indices are obtained from the China Bureau of Statistics.

Detailed variables in the STIRPAT model are listed in Table 2, including indicator explanations, units, and data sources.
Table 2. Description of variables used in this study.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Variables Explanation</th>
<th>Unit</th>
<th>Data Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>Population (POP)</td>
<td>Provincial resident population Scale</td>
<td>$10^4$ persons</td>
<td>China Statistical Yearbook</td>
</tr>
<tr>
<td>Family size (FS)</td>
<td>Population divided by total number of households.</td>
<td>Persons of per household</td>
<td>China Population and Employment Statistics Yearbook</td>
</tr>
<tr>
<td>Income (INC)</td>
<td>Per capita disposable income</td>
<td>Yuan</td>
<td>China Statistical Yearbook</td>
</tr>
<tr>
<td>Energy consumption per unit building area (ECM2)</td>
<td>Energy consumption for operation of residential building divided by residential building floor areas</td>
<td>tce/m²</td>
<td>China Energy Statistical Yearbook, China Rural Statistical Yearbook, China Urban-Rural Construction Statistical Yearbook</td>
</tr>
<tr>
<td>Energy Structure (ES)</td>
<td>The share of coal in total household energy consumption</td>
<td>%</td>
<td>China Energy Statistical Yearbook</td>
</tr>
</tbody>
</table>

1 The accounting method of residential building areas refers to the research of Liu et al. [48].

3. Results

3.1. CF of Housing Consumption at National Level

Figure 3 shows China’s CFs of housing consumption at national level during 2012–2017. CF of housing consumption kept an increasing trend. Compared to indirect CF, direct CF of housing consumption is obviously large, which accounts for more than 75% in both urban and rural areas.

As for the differences between urban and rural areas, urban CF of housing consumption kept higher than rural CF for every study year and the gap between urban and rural areas became widening. However, as Figure 4 shows, from a per capita point of view, the gap between urban and rural areas became narrow, implying that rapid urbanization highlights the urban-rural difference in total CF of housing consumption in recent years.

![Figure 3. China’s total CFs of housing consumption in urban and rural areas for 2012–2017.](image-url)
3.2. Total CFs of Housing Consumption at Provincial Level

Figure 5a shows the CFs of housing consumption of urban areas at provincial level in 2012. It is clear that regional disparities exist at provincial level. The top three provinces with the highest CFs driven by housing consumption include Inner Mongolia (64.7 Mt), Liaoning (57.9 Mt), and Heilongjiang (54.7 Mt), while the top three provinces with the lowest CFs driven by residential housing consumption include Ningxia (4.6 Mt), Qinghai (4.0 Mt), and Hainan (1.9 Mt). In particular, with the lowest provincial housing CF, Hainan’s housing CF was only 2.91% of that of Inner Mongolia in 2012.

Figure 5b shows the CFs of housing consumption of urban areas at provincial level in 2017. The housing CFs in most provinces increased during 2012–2017, except in Yunnan, Guangdong, Sichuan, Hubei and Jilin where the housing CFs decreased by 17.78%, 14.18%, 7.47%, 4.37% and 3.47%. Compared with provincial housing CFs in 2012, the top three provinces with the highest housing CFs kept the same, as well as the lowest ones, indicating that regional disparities still existed during the study period.

Figure 5c shows the CFs of housing consumption in rural areas at provincial level in 2012. It is clear that the housing CFs in rural areas in eastern provinces were normally higher than their central and western counterparts. The top three provinces with the highest housing CFs include Shandong (40.6 Mt), Hunan (35.7 Mt), and Hebei (33.6 Mt), while the top three provinces with the lowest housing CFs include Shanghai (2.5 Mt), Ningxia (2.1 Mt), and Hainan (1.3 Mt).

Figure 5d shows the CFs of housing consumption in rural areas at provincial level in 2017. The rural housing CFs increased in most provinces, especially those in Eastern China. The CFs of housing consumption in Hebei increased from 33.6 Mt in 2012 to 55.1 Mt in 2017, which experienced the largest increase among all the provinces. However, housing CFs in several provinces decreased. In particular, such a value in Hunan decreased by 26.7% from 2012 to 2017, with the largest proportional decrease among all the provinces.

In terms of the differences between urban and rural areas, provincial housing CF in urban areas was higher than that of rural areas in most provinces, except for Yunnan, Hunan and Guizhou. Due to the need of winter heating in Liaoning, Inner Mongolia and Heilongjiang, the gaps between urban and rural housing CFs in these provinces are more than 45 Mt, leading to that these provinces had the largest urban-rural gaps among all the provinces. In addition, spatial distribution patterns of housing CFs in urban and rural areas were consistent, except for Heilongjiang, Liaoning, and Inner Mongolia.
3.3. Per Capita CF of Housing Consumption at Provincial Level

Figure 6a shows per capita CF of housing consumption in urban areas at provincial level in 2012. Provinces with high per capita housing CF are mainly those with long-time winter heating. Such values in Heilongjiang, Jilin, Inner Mongolia and Xinjiang accounted for more than 50% of their provincial total housing CFs. The detailed results are shown in Table S8. Especially, CF from heating in Xinjiang accounts for 66.8% of its total housing CF, the highest among all the investigated provinces. In addition, Inner Mongolia has the highest per capita housing CF, which is 4.5 tones, almost 1.7 times of the following province.

Figure 6b shows per capita CF of housing consumption in urban areas at provincial level in 2017. Compared with 2012, most provinces experienced slight changes, except for Hainan, Jiangsu and Ningxia, where the per capita CF of housing consumption increased significantly by 107.98%, 62.73%, and 37.82%, respectively. Notably, the per capita housing CF in Inner Mongolia was still the highest in 2017.

Figure 6c shows per capita CF of housing consumption in rural areas at provincial level in 2012. Provinces with high per capita housing CF are mostly in the eastern region. Especially, such a value achieved 2.45 t per capita in Beijing, the highest among all the investigated provinces.

Figure 6d shows per capita CF of housing consumption in rural areas at provincial level in 2017. Such values in most provinces increased, except for Beijing, Shanghai, Hunan and Gansu. For instance, significant increases occurred in Hainan, Jilin, and Xinjiang, with more than 100% increases.

In terms of such CF differences between urban and rural residents, per capita CF of housing consumption in urban areas was higher than that in rural areas in most provinces in 2012, except for Beijing, Fujian, Zhejiang, Jiangsu and Hunan, which are almost located in the eastern region. In addition, the per capita housing CF in rural areas in nine prov-
inces (including Hebei, Shandong, Guangdong, Hainan, Anhui, Hubei, Chongqing, Guizhou and Yunnan) exceeded that of urban areas in 2017. The spatial pattern indicates that inequality between urban and rural areas still exists, as well as between different regions.

In particular, per capita CF gaps between urban and rural areas decreased in most provinces due to the huge increase of per capita CF of housing consumption in rural areas, indicating that it is of great importance to pay more attention to energy conservation and carbon emission reduction in the rural areas of these provinces.

Figure 6. China’s provincial per capita CFs of housing consumption in 2012 and 2017
Note: Provinces with per capita CF of housing consumption of more than 3 tones CO₂ have the same color.

3.4. Estimation Results from the STIRPAT Model

Table 3 lists the results from the application of this STIRPAT model.

The coefficients of POP are significantly positive at 1% level in all the regions. This means that the population increase can induce more residential need and result in more carbon emissions. Along with the rapid urbanization, a large number of residents are migrating from rural areas to urban areas, which may cause higher housing CFs in urban areas.

The coefficients of FS are significantly negative at 1% level in urban areas of eastern and western regions, indicating that the shrinking family size leads to growth of housing CFs. The China’s seventh census shows that China’s average family size continued to downsize, from 3.10 in 2010 to 2.62 in 2020. Housing consumption is a household-based consumption and the shrinking family size will increase total households under a stable population scale, which may promote the expansion of the scale of housing consumption and boost related carbon emissions [30]. Meanwhile, the downsizing of each household may lead to the reduction of scale effect in housing consumption.

The coefficients of INC are significantly positive at 1% or 10% level in all rural areas. This is because urban residents and rural residents are at different income levels, leading to different household consumption structure and habits. In rural areas, the increased income of rural residents would lead to increased expenditure on housing consumption.
(e.g., purchase more appliances and change energy-consuming behaviors), and greater demand for energy consumption, which eventually may cause higher housing CFs. Compared to rural residents, Chinese urban residents have higher income which is enough to cover their housing consumption. Along with the increasing income, urban residents may have more interests in other consumptions rather than housing consumption. This indicates that it is crucial to promote low carbon products and green consumption, such as encouraging energy efficient home appliances and clean energy application, especially in rural areas.

The coefficients of ECM2 are significantly positive at 1% level in all regions, indicating that energy efficiency level of residential buildings significantly affects the housing CF and that technological improvement has a strong impact on housing CF. In practice, this means that innovative measures, such as encouraging green building design, setting up national green building standards and renovating existing residential buildings, are effective on carbon emission mitigation.

The coefficients of ES are significantly positive in rural areas of eastern and central regions and both rural and urban areas of western region at 1% and 5% level, implying that a high proportion of coal consumption leads to a high housing CF. This is consistent with the conclusion of Shao et al. [38], in which they found that China’s high carbon energy structure impeded the reduction of its total carbon emission. Meanwhile, the coefficients of ES are totally insignificant in other areas, indicating that regional disparity should not be neglected in carbon mitigation policy. For instance, rural areas and western region should be the key areas of energy structure optimization.

### Table 3. Modelling results in urban and rural areas at regional level.

<table>
<thead>
<tr>
<th>VARIABLES</th>
<th>Eastern Region</th>
<th>Central Region</th>
<th>Western Region</th>
</tr>
</thead>
<tbody>
<tr>
<td>ln CF</td>
<td>ln CF</td>
<td>ln CF</td>
<td>ln CF</td>
</tr>
<tr>
<td>ln POP</td>
<td>0.940 ***</td>
<td>1.065 ***</td>
<td>0.920 ***</td>
</tr>
<tr>
<td></td>
<td>(0.043)</td>
<td>(0.030)</td>
<td>(0.064)</td>
</tr>
<tr>
<td>ln FS</td>
<td>−1.235 ***</td>
<td>0.129</td>
<td>−0.053</td>
</tr>
<tr>
<td></td>
<td>(0.414)</td>
<td>(0.222)</td>
<td>(0.219)</td>
</tr>
<tr>
<td>ln INC</td>
<td>−0.048</td>
<td>0.705 ***</td>
<td>−0.061</td>
</tr>
<tr>
<td></td>
<td>(0.151)</td>
<td>(0.103)</td>
<td>(0.109)</td>
</tr>
<tr>
<td>ln ECM2</td>
<td>0.528 ***</td>
<td>0.654 ***</td>
<td>0.949 ***</td>
</tr>
<tr>
<td></td>
<td>(0.079)</td>
<td>(0.099)</td>
<td>(0.048)</td>
</tr>
<tr>
<td>ln ES</td>
<td>0.025</td>
<td>0.072 ***</td>
<td>−0.025</td>
</tr>
<tr>
<td></td>
<td>(0.020)</td>
<td>(0.025)</td>
<td>(0.027)</td>
</tr>
<tr>
<td>Constant</td>
<td>−3.547 *</td>
<td>−13.293 ***</td>
<td>−5.679 ***</td>
</tr>
<tr>
<td></td>
<td>(1.969)</td>
<td>(1.182)</td>
<td>(1.086)</td>
</tr>
<tr>
<td>Observations</td>
<td>66</td>
<td>66</td>
<td>48</td>
</tr>
<tr>
<td>R-squared</td>
<td>0.911</td>
<td>0.963</td>
<td>0.940</td>
</tr>
</tbody>
</table>

Figures in parentheses are progressive t statistics. *, **, *** denote statistical significance levels at 10%, 5% and 1%, respectively.

4. Policy Implications

China’s CFs caused by housing consumption increased from 1201.6 Mt in 2012 to 1457.0 Mt in 2017. With China’s large population size and rapid economic growth, it is critical to prepare appropriate mitigation polices so that effective emissions reduction from housing consumption can be achieved. Considering the Chinese realities, especially regional disparities and urban-rural differences, several policy recommendations are proposed below.
4.1. Controlling Population Growth and Promoting Urbanization Benefits

The result shows that rapid growth of population and urbanization are significant factors leading to the increase of housing CF, while family size plays an important role in reducing the housing CF in urban areas. In this regard, the government should control the total population, encourage large households in urban areas [33], and promote the construction of compact cities with higher population densities [49]. However, before implementing these approaches, it is important to consider broader socio-economic consequences, e.g., labor source for rural areas, physical and mental health risks for highly urbanized communities, etc. [50]. Additionally, considering regional disparity, urbanization strategies should be adopted carefully by considering the local conditions. The eastern region, with the highest level of urbanization, should strengthen regional coordination and achieve a mutually beneficial regional model based on carbon mitigation cooperation [51]. Moreover, considering the urban-rural difference, it is of the same significance to promote integrated urban and rural development and implement rural revitalization strategy.

4.2. Encouraging Green Consumption

The result shows that income growth will significantly increase carbon emissions of housing consumption in rural areas. Income indirectly affects the level or compositions of consumption. Therefore, it is necessary to accelerate the decoupling of CFs of housing consumption from income growth through guiding residents to change their behaviors toward green consumption. Green consumption is a new type of consumption, which will reduce damage to the environment through moderate consumption control [52]. China’s national government should set up appropriate economic instruments to guide residents to change their consumption behaviors. In this regard, carbon and resource taxes are effective to internalize environmental externalities. Carbon cap and trade can also stimulate residents to involve in green consumption. Additionally, capacity-building programs should be enhanced to raise the general public’s awareness on green consumption and change their housing consumption behaviors so that they can fully engage in relevant mitigation efforts. Useful activities, including regular workshops, pamphlets, TV and radio promotions, and outdoor billboards, should be initiated to help increase residents’ awareness. Moreover, it is important to enhance the supply of green products, which requires support of low-carbon emission R & D, and the release of related energy efficiency standards so that more innovative low carbon technologies and products can be incubated and applied with competitive advantages in the market.

4.3. Optimizing Energy Structure

Since energy intensity of household consumption plays an important role in the CO$_2$ emission reduction of housing consumption, it is necessary to improve energy efficiency and reduce CO$_2$ emission intensity. Thus, one effective measure is to promote the application of clean and renewable energy. In this regard, it was proved to be effective to support the “Coal-to-Gas” and “coal-to-electricity” campaign that had achieved both environmental benefits and carbon emission reduction [53]. Meanwhile, financial subsidies should be provided so that low income residents can afford the relatively higher costs of clean energy sources [54] and the construction of household renewable energy infrastructure (e.g., household photovoltaic power station and biogas digester [55,56]). Additionally, more efforts should be made by energy supply and production enterprises. For instance, heat supply companies should consider possible energy cascading opportunities because heating is one main source of housing CF, such as making full utilization of industrial waste heat and ground source heat pump [57]. According to the cases of Germany [58], American [59] and Poland [60], energy saving performance contract was proven to be effective in optimizing residential housing energy consumption structure and be beneficial for all the stakeholders.
4.4. Enhancing Residential Building Energy Management

The result shows that energy efficiency level of residential buildings significantly affects the housing CF, implying that building energy conservation measures, such as applying composite wall with high efficiency insulation materials, adding insulation to the roof and replacing with double glazing windows, especially in rural areas of eastern and western regions and urban area of central region, are effective on mitigating carbon emission. Additionally, considering the lack of statistics focusing on residential housing energy consumption, it is necessary to establish a residential building energy metering system at the community level so that data on building energy conservation and management efforts can be collected and analyzed. This work could be promoted along with the construction of low-carbon communities [49]. Meanwhile, the improvement of energy efficiency of residential buildings requires those housing products and services suppliers to initiate more efforts in this area. In particular, building materials have various environmental impacts [61], including but not limited to, fossil fuels consumption and global warming. Thus, it is necessary for building materials producers to consider the whole life cycle of buildings and their materials (including the extraction, processing, and transport of raw materials to building construction, use, and eventual demolition and waste) so that the costs and benefits from different phases can be understood and options can be identified to holistically improve the sustainability of the building materials value chain [62].

5. Conclusions

Rapid economic development and population increases have resulted in many environmental issues, such as global climate change. In order to address this issue, it is critical to account housing carbon footprint (CF) so that the key drivers on inducing higher carbon emissions can be uncovered. This study combines the IPCC emission accounting method, input-output analysis and one STIRPAT model to investigate the spatio-temporal patterns of housing CF and identify the driving factors of housing CF from the perspectives of urban-rural differences and regional disparities.

At a national level, the total housing CF increased from 1201.6 Mt in 2012 to 1457.0 Mt in 2017, of which the direct CF from housing energy use accounts for the majority. At the provincial level, Inner Mongolia, Liaoning and Heilongjiang maintained to be the top three provinces with the highest urban housing CFs. While, Ningxia, Qinghai and Hainan remained to be the top three provinces with the lowest urban housing CFs during 2012–2017. Also, rural housing CF obviously decreased from eastern region to western region. Further analysis indicates that regional disparities and urban-rural differences still existed during the study period.

The results of the extended STIRPAT model show that POP and ECM2 are the two dominant contributors to the housing CF increments in all areas. While, FS only shows significant negative impact in eastern and western regions, INC only induces higher housing CF in rural areas, and ES had a remarkable positive impact in urban area of western region and all rural areas.

These findings are valuable for preparing policy recommendations to mitigate the overall CF from housing consumption. Such recommendations include controlling population growth and promoting urbanization benefits, encouraging green consumption, optimizing energy structure and enhancing residential building energy management. These policies should be carefully adopted by considering the local conditions since different regions are facing different challenges.

This study provides a valuable case to other developing countries with similar challenges. Also, our method can help quantify the carbon emissions of housing consumption from the perspective of carbon footprint by using energy statistics and input-output tables, and to study how CF of housing consumption responds to different factors such as about population, affluence, and technology.
This study has certain limitations. The main limitation is that non-commercial energy sources, such as fuelwood, straw and biogas, are used for cooking or heating in rural areas [63]. Unfortunately, due to data in-availability, non-commercial energy consumption in rural areas is not considered. This study focuses on the impacts of economic and demographic factors, neglecting the impacts of climate, temperature, and other factors. Although these limitations do not significantly influence our results, we expect that these limitations can be overcome in the future studies so that more feasible findings can be found for preparing mitigation policies.

In general, research findings from this study can provide valuable insights to those decision-makers so that they can prepare more appropriate mitigation policies to reduce the overall CO2 emission from household consumption by considering their realities.

Supplementary Materials: The following are available online at www.mdpi.com/1996-1073/14/13/3890/s1, Table S1: The average carbon dioxide emission factor of China’s regional power grid in 2012; Table S2: Eight consumption categories and their related sectors; Table S3: Descriptive Statistics; Table S4: Matrix of correlations; Table S5: Lower calorific values, oxidation rates and carbon content for various solid and liquid fuels; Table S6: Lower calorific values, oxidation rates and carbon content for various coals in different sectors; Table S7: Different proportion of raw coal in different sectors; Table S8: Proportion of heating in housing consumption carbon footprint at provincial level in 2012.

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