

Article

# Tree Species Classification by Integrating Satellite Imagery and Topographic Variables Using Maximum Entropy Method in a Mongolian Forest

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**Abstract:** Forests are an important natural resource that achieve ecological balance by regulating water regimes and promoting soil conservation. Based on forest inventories, the government is able to make decisions to sustainably conserve, improve, and manage forests. Fieldwork for forestry investigation requires intensive physical labor, which is costly and time-consuming, especially for surveys in remote mountainous regions. Remote sensing technology has been recently used for forest investigation on a large scale. An informative forest inventory must include forest attributes, including details of tree species; however, tree species mapping is not always applicable due to the similarity of surface reflectance and texture between tree species. Topographic variables such as elevation, slope, aspect, and curvature are crucial in allocating ecological niches to different species; therefore, this study suggests that integrating topographic information and optical satellite image classification can improve mapping accuracy for tree species. The main purpose of this study is to classify forest tree species in Erdenebulgan County, Huwsgul Province, Mongolia, by integrating Landsat satellite imagery with a Digital Elevation Model (DEM) using a Maximum Entropy algorithm. A forest tree species inventory from the Forest Division of the Mongolian Ministry of Nature and Environment was used as training data and as ground truth to perform the accuracy assessment. In this study, the classification was made using two different experimental approaches. First, classification was done using only Landsat surface reflectance data; and second, topographic variables were integrated with the Landsat surface reflectance data. The integration approach showed a higher overall accuracy and kappa coefficient, indicating that an accurate forest inventory can be achieved by integrating satellite imagery data and other topographic information to enhance the practice of forest management in remote regions.

**Keywords:** satellite imagery; topographic variables; forest tree species classification; maximum entropy

## 1. Introduction

Forests are an important natural resource and play an important role in balancing ecological systems on the Earth [1]. The benefits of forests are local and global, and have been extensively studied and documented [2–6]. Constructing relevant inventory information of forests, such as tree species composition, is an important approach to support environmental sustainable management [7]. Also needed is knowledge about the distribution of tree species for use in atmospheric transport models, which require accurate emissions inventories [6] to precisely describe forest ecosystems [4] and to model wildlife habitats [5,8]. Defined by Scott and Gove (2002) [9], forest inventories are “an accounting of trees and their related characteristics of interest over a well-defined land area”. The overall purpose of preparing a forest inventory is to compute the population of trees within forested land and to provide knowledgeable conclusions about the stand treatment required [9,10].

When compared to its territory extension, Mongolia is a country with limited forest resources. Nevertheless, it is the tenth place in holding forestland area and the first place in forest area per capita in the Asian continent [8]. The major benefits of Mongolian forests for the local population are seen in many areas, including wood fuel in rural areas, commercial logs, and wood for the industry sector [2,11]. All forests in Mongolia are state-owned, and the Ministry of Nature and Environment (MNE) is mainly responsible for the forest survey and preparing a forest inventory that aims to understand forest conditions of the country, such as distribution, composition, and quality, so that the government is able to optimize conservation and restoration practices [8]. Traditionally, forest inventories have been developed by collecting data in the field using costly and intensive labor surveys, which are not timely or economically feasible in large, remote regions [4,5,7,8]. For this reason, it is essential to integrate conventional methods with remote sensing technologies when characterizing forests, especially in vast and mountainous forest areas [12].

To minimize costs and widen application possibilities, remotely sensed data has been applied to perform forest survey, and it is normally conducted with the interpretation of aerial photographs and field sampling to improve accuracy and optimize management practices [13]. Ideally, a forest inventory includes categorical tree species information, but it is still a challenging task with remote sensing methods [10,14,15].

Schuck et al. (2003) [15] provided an image-based classification, but mainly for broadleaf or conifer forests. In other research works, the relationship between spectral data and species detailing chemical characteristics as a basis for the classification of tree species has shown promising results [16]. Nevertheless, the acquisition of relevant information for classifying is complex, and other relevant variables have not been considered. Recently, more advanced studies include the use of newly available high-resolution satellite imagery in combination with LiDAR data to classify forest species [17–19]. Although these studies have achieved satisfactory results, the use of high spatial resolution satellite imagery and LiDAR data is limited to small regions due to its high cost. Specifically, topographic variables have been seldom used in studies to classify vegetation types and forest cover, although some examples can be seen in the works of Dorren et al. (2003) [20], Franklin (1998) [21], and Liu et al. (2014) [22].

When survey data is limited, ecological models are commonly used to estimate geographic distributions of tree species [23–26], including the most widely used methods of generalized linear models (GLM), genetic algorithms (GA), and generalized additive models (GAM) [27–31]. Furthermore, a wide variety of traditional image classifiers have been used for satellite image classification, including the recent support vector machine (SVM) [25], which has been extensively applied for land cover mapping; prediction accuracy, however, depends on the kernel and parameters chosen [32]. Other advanced approaches are based on machine learning methods, including the Maximum Entropy algorithm (MaxEnt) developed by Phillips et al. (2006) [33]. MaxEnt has been extensively applied for species distribution mapping [26,34–36] for binary (presence and absence) distribution [32,37,38] and probabilistic distribution in multiple categorical classes [39,40]. Previous studies have also demonstrated that in general, MaxEnt has better predictive accuracy for classification and distribution modeling than other methods, such as SVM and artificial neural networks (ANN) [32,33,38,41], and therefore it was selected for this study to perform tree species classification.

The main objective of this study is to classify different forest tree species in Erdenebulgan, Huwsgul province, Mongolia. With applying the MaxEnt classifier, two experiments were conducted to examine the effectiveness of incorporating topographic variables in classifying forest tree species in the mountainous area. The first experiment uses only satellite spectral information, and the second additionally includes topographic variables, such as slope, aspect (cosine, sine), elevation, curvature, and topographic wetness index (TWI). Previous studies, in fact, have shown the benefit of incorporation of topographic variables [42] and other environmental variables in land cover mapping, by analyzing their contributions to overall mapping accuracy [43,44]. However, this study performed a direct comparison to quantitatively clarify improvement and significance when topographic variables are included in the classification scheme. In addition, this study analyzed the importance

of topographic variables in predicting every individual class (tree species) via MaxEnt modeling. Therefore, the analysis result can assist to explore the topographic control of ecological niches and geographic distributions of different tree species [33,45], which would be of great help in forest conservation and management practices. This study aims to assist the preparation of forest inventory in extensive mountainous regions with complex structures and sustainable forest management efforts in northern Mongolia.

## 2. Study Area

In Mongolia, low precipitation and high radiation prevail throughout the year, resulting in harsh climatic conditions for the growth of forests [3,46]. Forests in Mongolia generally have a relatively low restorative capacity and are sensitive to forest wildfires, plagues, and degradation by human influence [46]. In this study, the forest area covers a portion of Siberian taiga; the Mongolian plateau was selected as the study site (Figure 1). This forest region is known for its vital role in regulating water flow regimes and preserving wildlife and biodiversity in northern Mongolia [8].

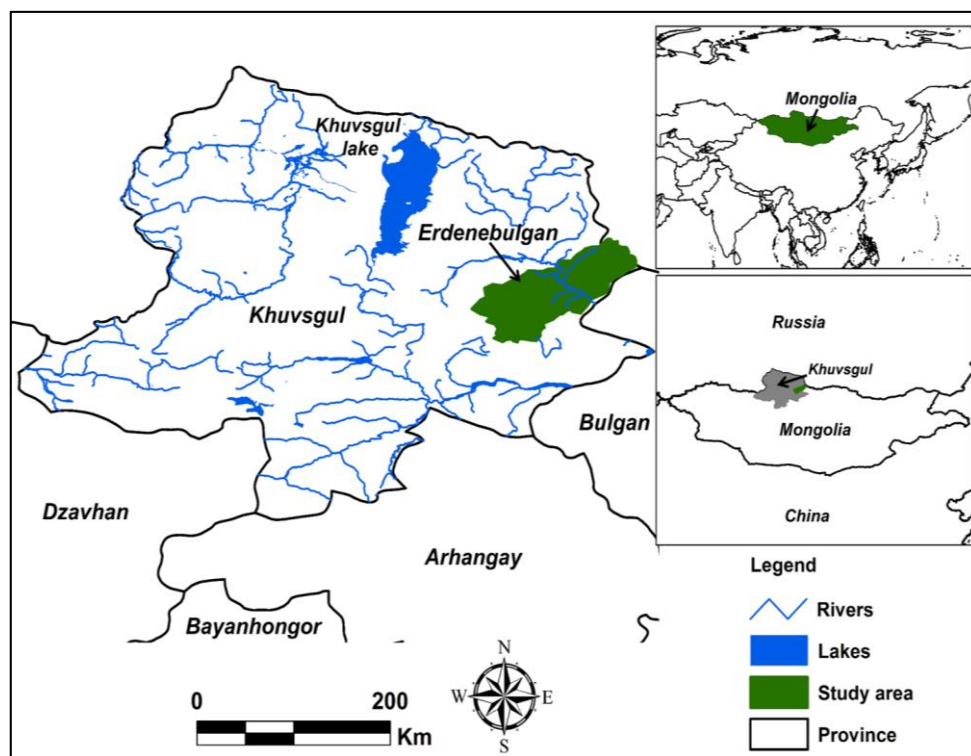


Figure 1. Location map of the study area.

The study area is in the province of Khuvsgul, Erdenebulgan, Northern Mongolia (Figure 1), covering 4690 km<sup>2</sup> with a forested area of 3300 km<sup>2</sup>, approximately 71% of the total area. The terrain in the area is generally hilly and mountainous, with a few fluvial plains in the central part, ranging from 1000 m to 2200 m above mean sea level. Settlements are mainly distributed in areas with low elevation. Coniferous and deciduous forests are the major forest types in this area [3,10], and the most common tree species is *Larix sibirica* Ledeb (Siberian larch).

## 3. Materials and Methods

Figure 2 shows the methodology framework of this study. This study aims to perform forest tree species classification using satellite image data and improve classification accuracy by integrating topographic information.

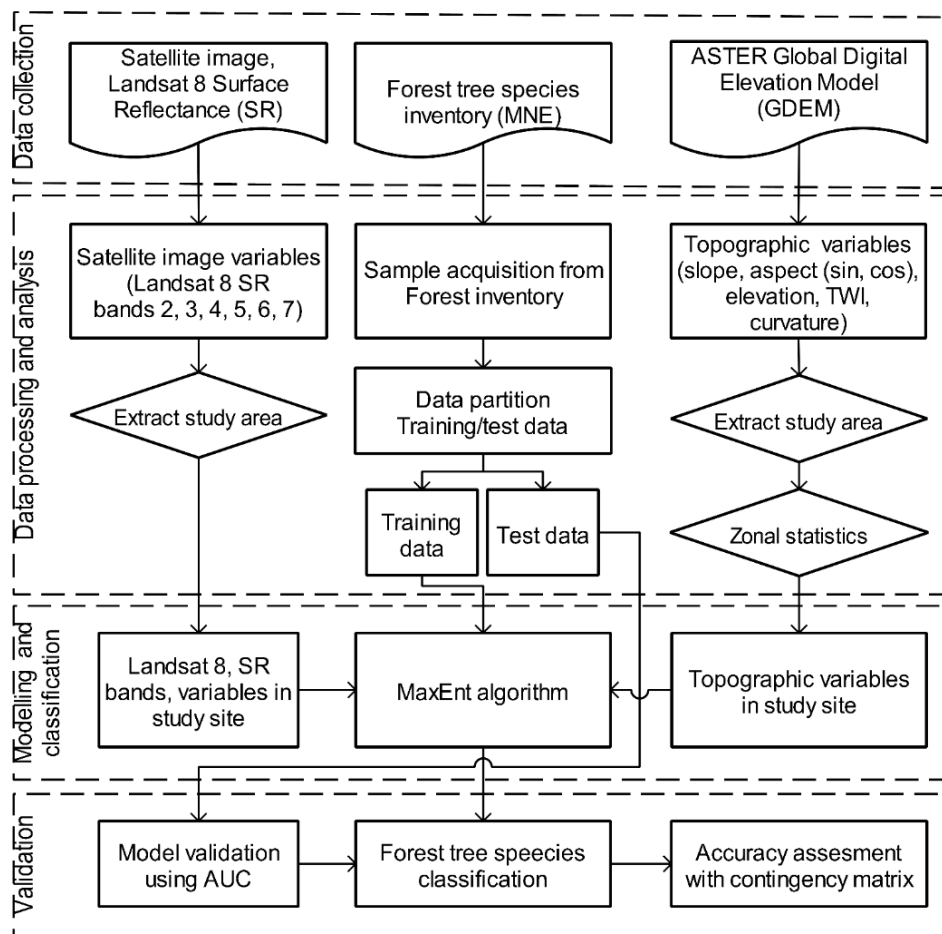


Figure 2. Methodology framework of the study.

### 3.1. Data Collection

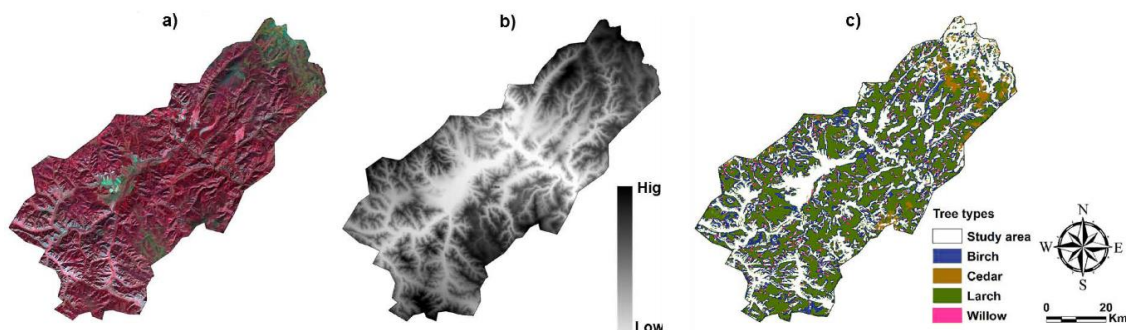
To perform the tree species classification, this study collected two types of datasets: optical multispectral imagery and the digital elevation model (DEM). For optical multispectral imagery, this study acquired a Landsat 8 image from 5 September 2013. Landsat 8 is an American Earth observation satellite launched on 11 February 2013. It is the eighth satellite in the Landsat program. Originally called the Landsat Data Continuity Mission (LDCM), it is a collaboration between NASA and the United States Geological Survey (USGS). The obtained image is a cloud-free scene and shows a limited portion affected by snow cover. The Landsat 8 satellite has two onboard instruments, the Operational Land Imager (OLI) and the Thermal Infrared Sensor (TIRS), which improves upon the radiometric quality and performance of earlier versions of Landsat sensors, TM and ETM+. Landsat 8 OLI/TIRS images consist of 11 spectral bands, with a spatial resolution of 30 m for bands 1–7 and 9 (Cirrus); 15 m for the band 8 (Panchromatic); and 100 m for the two thermal infrared bands 10–11 (TIRS 1 and 2). Landsat 8 also provides high-quality products, such as the Landsat 8 surface reflectance version 0.3.1 (L8SRV0.3.1) [47]. The L8SRV0.3.1 product is provided only for bands 1–7.

The DEM data used in this study is the GDEM v.2 (Global Digital Elevation Model version 2 data) with resolution of 30 m. This DEM product was obtained from the advanced space-borne thermal emission and reflection radiometer (ASTER) operated by the National Aeronautics and Space Administration (NASA). The ASTER GDEM was produced by the Ministry of Economy, Trade, and Industry (METI) of Japan and NASA by extracting elevation values from a large number of scenes by ASTER on NASA's Terra spacecraft [48]. The ASTER GDEM version 2 matches the spatial extent of the Landsat imagery and has yielded satisfactory results for topographic-based studies [49,50]. The L8SR bands and topographic variables derived from GDEM used in this study are summarized in Table 1.

**Table 1.** Image and raster data from Landsat TM and ASTER-DEM to use as predictor variables.

| Variables                    | Units                            | Utility                                                               |
|------------------------------|----------------------------------|-----------------------------------------------------------------------|
| <b>L8SR Bands</b>            |                                  |                                                                       |
| <b>Band 2</b>                | 0.45–0.51 $\mu\text{m}$ (Blue)   | Discern soil from vegetation & deciduous forest from coniferous trees |
| <b>Band 3</b>                | 0.53–0.59 $\mu\text{m}$ (Green)  | To assess plant vigour                                                |
| <b>Band 4</b>                | 0.64–0.67 $\mu\text{m}$ (Red)    | Discrimination of vegetation slopes                                   |
| <b>Band 5</b>                | 0.85–0.88 $\mu\text{m}$ (NIR)    | Emphasizes biomass content                                            |
| <b>Band 6</b>                | 1.57–1.65 $\mu\text{m}$ (SWIR 1) | Moisture content of soil and vegetation                               |
| <b>Band 7</b>                | 2.11–2.29 $\mu\text{m}$ (SWIR 2) | Moisture content of soil and vegetation                               |
| <b>Topographic variables</b> |                                  |                                                                       |
| <b>Elevation</b>             | 1023–2120 m                      | Species habitat                                                       |
| <b>Slope</b>                 | 0–67°                            | Stability of soil                                                     |
| <b>TWI</b>                   | 0–23 unit less                   | Soil water conditions                                                 |
| <b>Aspect sine</b>           | (-)-1–1                          | Sun exposure                                                          |
| <b>Aspect cosine</b>         | (-)-1–1                          | Sun exposure                                                          |
| <b>Curvature</b>             |                                  | Complex                                                               |

Ground truth data was acquired through a forest inventory prepared by the Forest Division of the Ministry of Nature and Environment (MNE). Data for the forest inventory was collected by field surveys between 2011 and 2102. An inventory map was delivered to interested units in ArcGIS polygon shapefile format (parcels corresponding to each tree species) and produced by the Forest Division. According to the inventory, four main tree species can be found in the study area: larch (*Larix Sibirica* Ledeb), birch (*Betula platy-phylla* Suk), cedar (*Pinus Sibirica* Du Tour), and willow (*Salix* sp.) Among these, larch covers approximately 90%, while the others account for the rest. Figure 3 shows the datasets used for this study.



**Figure 3.** (a) Landsat 8 surface reflectance image in false color, (b) ASTER GDEM, and (c) Forest tree species reference map for the study area developed in 2012 by MNE.

### 3.2. Data Processing and Analysis

All datasets acquired were projected into the Universal Transverse Mercator (UTM) Zone 47 N coordinate system using the World Geodetic System (WGS84) Datum. For practical purposes and to have uniform datasets, the images were registered pixel by pixel to ensure that the information corresponded with physical ground conditions. Satellite image spectral information for each band was used as explanatory variables. Several topographic variables were also considered as explanatory variables: slope, aspect (cosine, sine), elevation, curvature, and topographic wetness index (TWI), based on an extensive literature search for studies with a demonstrated effect on tree species distribution and canopy [22,51–57]. The topographic variables were calculated at 30 m spatial resolution; however, because of ASTER-GDEM uncertainties [58], a moving window filter was applied to eliminate noise. The zonal statistics of each topographic variable in the regions that contain each tree species were also calculated, and the mean value of each topographic variable by a cluster of species was used. Statistics



of the zone for each cluster of tree species was used, instead of individual pixel points of the values, because they reliably signify the spatial disparity of the factor values within its extent [59] and because of the nature of the ground truth data. The forest inventory map acquired was in vector format, and each polygon's centroid was one observed tree species with spatial coordinates. From the tree species inventory acquired, samples used for each tree species were split into two sets, 30% to train the model and 70% to test the model's accuracy. The two sample sets were randomly distributed in the study area.

To explore the spectral differences of the tree species (birch, cedar, larch, and willow), corresponding LS8SR values at each band were observed to determine the degree to which the tree species can be classified by traditional means-based classification methods [60]. In addition, the comparative importance of the two different types of predictor variables was determined for the L8SR bands individually and coupled with topographic variables via percentage variable importance (VI), permutation importance (PI), and Jackknife test. The VI values are only heuristically defined and hence should be interpreted with caution. The PI measure depends only on the final MaxEnt model. The contribution for each variable was determined by randomly permuting the values of that variable among the training points and measuring the resulting decrease of the area under the curve (AUC); a large drop indicates that the model depends greatly on that variable [61]. The values were then standardized and percentages were calculated. The Jackknife test was performed on each variable in isolation and then run by excluding one variable at a time for each model to observe which has the most useful information in itself and to test the variable that decreases gain the most when it is omitted, indicating that that variable has the most information absent in the other variables [62]. The variable importance tests were carried out to assess their significance in the model to predict tree species.

### 3.3. Maximum Entropy

The MaxEnt algorithm, initially proposed by Jaynes (1957) [63] and modified for SDM purposes by Phillips et al. (2006) [33], works on the principle of modeling everything that is known, assuming nothing that is unknown. In other words, the distribution that satisfies the specified constraints must be as uniform as possible, and the one with maximum entropy is fitted [32,33]. MaxEnt is a machine learning algorithm suitable for the classification of tree species and has many advantages over other algorithms, some of which require only presence data. It can handle continuous and categorical data and performs well with few observations [61]. The MaxEnt algorithm was used to estimate the probability distribution of tree species, denoted as  $\pi$  over a set of clusters  $x$  in the study area  $X$ . A series of random points  $x_1, \dots, x_m$  in  $X$  are positive training samples, and the constraints of the unknown distribution  $\pi$  are a set of features  $(f_1, \dots, f_n)$  on  $X$ . The average of each feature  $f_j$  is the known information about  $\pi$  and is defined as:

$$\pi(f_j) = \sum_{x \in X} \pi(x) f_j(x) \quad (1)$$

An empirical distribution was obtained from a set of samples, denoted as follows:

$$\tilde{\pi}(x) = \frac{|\{1 \leq i \leq m : x_i = x\}|}{m} \quad (2)$$

where  $m$  is the number of positive training samples. The empirical average of  $f_j$  is an approximation of  $\pi[f_j]$ , stated as follows:

$$\tilde{\pi}[f_j] = \frac{1}{m} \sum_{i=1}^m f_j(x_i), \quad (3)$$

in which each feature  $f_j$  assigns a value  $f_j[x]$  to  $x$  in  $X$ . We attempted to find an approximation that satisfies the criteria:

$$\hat{\pi}[f_j] = \tilde{\pi}[f_j] \quad (4)$$

Many probability distributions will satisfy this criterion, but the one that has the maximum entropy was selected. The entropy of  $\hat{\pi}$  is defined as:

$$H(\hat{\pi}) = - \sum_{x \in X} \hat{\pi}(x) \ln \hat{\pi}(x) \quad (5)$$

where  $\ln$  is the natural logarithm. After implementation of the model, a probability map was generated for each tree species, and the class was defined by assigning the species with the highest probability of a respective cluster. In the study, we used the MaxEnt algorithm found at [www.cs.princeton.edu/~schapire/maxent/](http://www.cs.princeton.edu/~schapire/maxent/).

To run the algorithm, the sample data and explanatory variables must first be provided from L8SR bands and DEM-derived topographic variables. In this study, we classified the tree species using two models: the first model acquired using the L8SR only as explanatory variables and the second using L8SR data coupled with topographic variables for accuracy improvement [50]. A logistic output was set, which yields an estimate between 0 and 1 [62]. Similar to other related studies [32,37], a percentage of the datasets was split for validation purposes and the remaining percentage was used to train the model by splitting the data using the k-fold cross-validation method. The regularization multiplier was set to 1 to avoid overfitting [24,33,36,64], and the iterations were set to 2000 so that the algorithm can reach the convergence threshold, set to  $10^{-5}$  [37].

### 3.4. Validation Methods

To validate the ability of the model performance, a non-threshold dependent method was used, the AUC of the Receiver Operator Curve (ROC) [33,65], a method to validate a model's ability to discriminate presence from absence. A value of 0.5 indicates a prediction not better than random [26]. Values in the range of 0.7–0.9 are considered to have reasonable or moderate performance, and values  $>0.9$  have a high performance [45]. The 15-fold cross-validation procedure was used in 70% of the training/test data, and the average AUC was reported. The AUC for the independent test data (30%) was also calculated.

In addition to the AUC, the tree species classification was validated by using a contingency matrix. The accuracy of classification maps was validated using the ground truth data. A number of points were randomly extracted from the inventory and compared with the classification results. The confusion matrix using the overall accuracy and Cohen's kappa coefficient (Kappa coefficient, hereafter) was used to assess the accuracy and strength of the tree species classification approach. The Kappa coefficient measures the agreement between predictive values and observations values, with a maximum value of 1.0 indicating a perfect agreement and a value  $<0.4$  indicating poor agreement [66].

## 4. Results and Discussion

In this study, we conducted two different tests to compare the classification results, first using L8SR bands only and the second using L8SR bands coupled with topographic variables. Classification results of forest tree species of Erdenebulgan for the two sets of variables are presented and discussed in this section. Four tree species were mapped: birch, cedar, larch, and willow (Figure 3c).

### 4.1. Variable Importance Analysis

Preliminary analysis of the spectral information of the tree species in the different bands revealed that the signature distributions of the four classes had significant overlaps in the visible spectrum bands. In Band 5, however, some narrow differences between the four species were observed (Figure 4), indicating that even though L8SR bands may provide some significant information for tree species classification, due to narrow spectral differences, additional information is needed to obtain an accurate classification. This finding was further verified by the classification of tree species using the two distinct explanatory variables used to run the MaxEnt algorithm and map the spatial distribution of

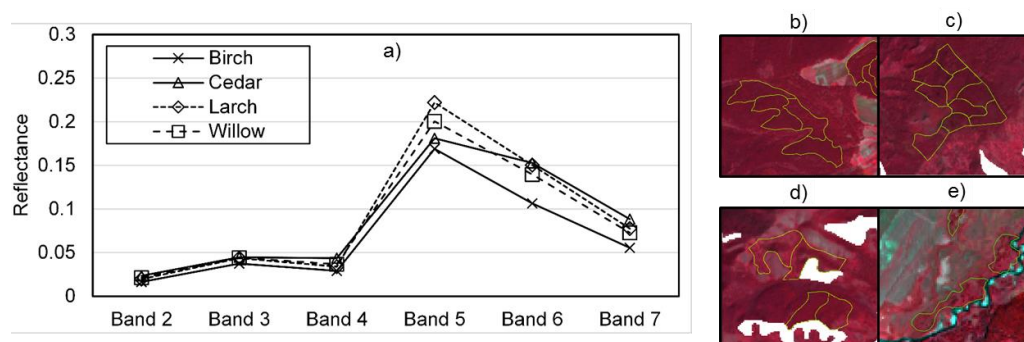
the tree species. Initially, we used the L8SR bands only to add the topographic predictor variables. The variable contribution for both models acquired was ranked based on their VI and PI (Table 2; Table 3). For the model using L8SR bands only, Bands 4, 5, and 3, which correspond to the red, NIR, and green channels, respectively, had the highest percentage contribution, and Bands 4, 3, and 5 had the highest permutation importance overall.

Additionally, results of the Jackknife test revealed that the variables with the highest gain when used alone and when removed were NIR, red, and green bands. This result indicates that regardless of trees having similar spectral information in the aforementioned bands, they are useful for the classification of tree species because they have valuable information when evaluated individually and when removed from the model.

For the model derived from L8SR bands coupled with topographic variables, elevation, and slope, Bands 3, 4, and 5 had the highest VI and PI percentages for all tree species. Additionally, from the Jackknife test, elevation had the most useful information alone, followed by Bands 4 and 3. Aspect (cos), elevation, and green and red bands have the most useful information not present in other variables. The results reflect that Bands 3, 4, and 5, which are useful bands to evaluate plant vigor, discriminate vegetation slopes, and biomass content, are relevant variables for tree species classification and reflect analogous results as in Sesnie et al. (2008) [43] and Tuomisto et al. (2003) [67]. Additionally, elevation and slope were revealed as overall relevant variables that accounted for the distribution of the tree species in the study site. This finding reflects the non-randomness of tree species distributions and the influence of topographical variables on their distribution, which is also demonstrated in previous works [22].

For birch, the variable analysis revealed that slope and aspect cosine have the most useful information when evaluated individually, reflecting the preferred habitat in mid-slope gradients and shady regions, consistent with previous research (Bao et al., 2011). For cedar, elevation was revealed to be the most informative variable, followed by Band 5. These results are consistent with the habitat preferred by cedar in high mountain regions, where single-species, dense clusters of cedar are found [53], which also explains the high importance variable of Band 5. Birch, however, showed spectral information from Band 4 to be the most important variable, with elevation and slope contributing little to the model. This finding is also confirmed in previous research in which non-significant correlations were found between topographical variables and larch [54]. The variable importance results indicated elevation and slope as leading variables for willow, reflecting its preferred habitat, which is mostly found in low elevation regions with low-slope gradients [68,69]. Willow is also highly tolerant of wet conditions and is usually found along river banks [57].

For most tree species in this region, topographical variables have higher importance than spectral information from satellite imagery, specifically in the cases of birch, cedar, and willow; however, topographical information alone does not provide sufficient information to discriminate all tree species accurately, and preliminary tests indicated worse results when topographical variables are used alone. The models acquired using the L8SR bands and the model acquired using L8SR bands with topographic variables were then used to estimate the probability distribution in the entire study area.



**Figure 4.** (a) Spectral data of tree species with cluster samples from the ground truth data of (b) birch, (c) cedar, (d) larch, and (e) willow.



**Table 2.** Estimates of variable importance of the satellite imagery variables.

| L8SR Bands |        |        |          |        |        |          |        |        |          |        |        |
|------------|--------|--------|----------|--------|--------|----------|--------|--------|----------|--------|--------|
| Birch      |        |        | Cedar    |        |        | Larch    |        |        | Willow   |        |        |
| Variable   | VI (%) | PI (%) | Variable | VI (%) | PI (%) | Variable | VI (%) | PI (%) | Variable | VI (%) | PI (%) |
| Band 3     | 54     | 63.3   | Band 4   | 38     | 31     | Band 4   | 76     | 66     | Band 5   | 46     | 57     |
| Band 5     | 17     | 7.7    | Band 5   | 37     | 1      | Band 5   | 19     | 19     | Band 2   | 31     | 8      |
| Band 4     | 13     | 7.3    | Band 2   | 10     | 13     | Band 6   | 2      | 3      | Band 6   | 14     | 0      |
| Band 7     | 8      | 4.1    | Band 6   | 9      | 33     | Band 2   | 2      | 10     | Band 3   | 6      | 33     |
| Band 2     | 6      | 11.7   | Band 3   | 6      | 6      | Band 3   | 0      | 2      | Band 4   | 4      | 2      |
| Band 6     | 2      | 5.9    | Band 7   | 1      | 16     | Band 7   | 0      | 0      | Band 7   | 0      | 0      |

**Table 3.** Estimates of variable importance of the satellite imagery coupled with topographic variables.

| L8SR Bands Combined with Topographic Variables |        |        |          |        |        |          |        |        |          |        |        |
|------------------------------------------------|--------|--------|----------|--------|--------|----------|--------|--------|----------|--------|--------|
| Birch                                          |        |        | Cedar    |        |        | Larch    |        |        | Willow   |        |        |
| Variable                                       | VI (%) | PI (%) | Variable | VI (%) | PI (%) | Variable | VI (%) | PI (%) | Variable | VI (%) | PI (%) |
| Asp. cos                                       | 23     | 13     | Elev.    | 54     | 34     | Band 4   | 34     | 33     | Elev.    | 65     | 47     |
| Slope                                          | 20     | 21     | Band 5   | 14     | 2      | Curv.    | 21     | 11     | Slope    | 30     | 46     |
| Band 3                                         | 18     | 19     | TWI      | 11     | 8      | Asp.sin  | 18     | 19     | Band 5   | 4      | 4      |
| Band 5                                         | 9      | 2      | Slope    | 5      | 3      | Asp.cos  | 13     | 13     | Curv.    | 1      | 3      |
| Elev.                                          | 7      | 4      | Band 4   | 3      | 26     | Band 5   | 7      | 6      | TWI      | 0      | 0      |
| Band 2                                         | 5      | 15     | Asp.cos  | 3      | 4      | Band 6   | 3      | 3      | Band 7   | 0      | 0      |
| Band 4                                         | 5      | 9      | Curv.    | 3      | 5      | Slope    | 2      | 4      | Band 6   | 0      | 0      |
| TWI                                            | 4      | 1      | Band 2   | 2      | 4      | Band 2   | 2      | 5      | Band 4   | 0      | 0      |
| Band 7                                         | 3      | 3      | Band 6   | 1      | 4      | Band 3   | 1      | 1      | Band 3   | 0      | 0      |
| Asp. sin                                       | 3      | 6      | Asp.sin  | 1      | 2      | TWI      | 1      | 4      | Band 2   | 0      | 0      |
| Curv.                                          | 2      | 2      | Band 3   | 1      | 7      | Elev.    | 0      | 2      | Asp.sin  | 0      | 0      |
| Band 6                                         | 1      | 5      | Band 7   | 0      | 1      | Band 7   | 0      | 0      | Asp.cos  | 0      | 0      |

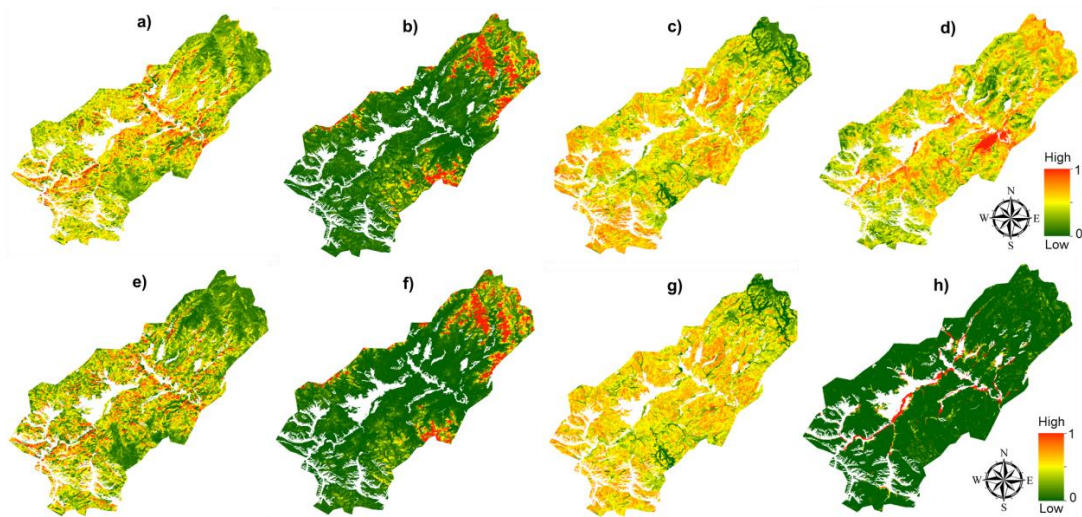
#### 4.2. Tree Species Classification with MaxEnt

The probability maps produced by MaxEnt were used to classify the tree species in the study area. In Figure 5, the probability maps generated using L8SR bands and L8SR bands coupled with topographic variables are both shown. The high probability represents high suitability of environmental conditions for the tree species. In general, the area with high probability was overestimated when only the L8SR bands were used, especially for willow (Figure 5d). Based on the obtained probabilities, clusters were then assigned to determine tree species by the classification rule mentioned previously.

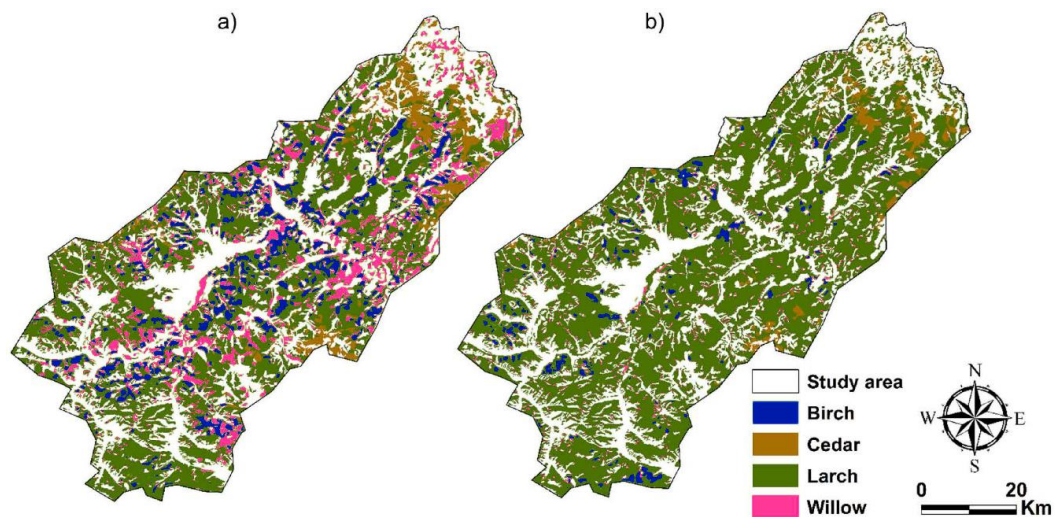
Figure 6 shows the tree species mapping results derived from L8SR bands (Figure 6a) and L8SR bands coupled with topographic variables (Figure 6b). Both classification results indicate that larch is the most dominant tree species, followed by birch and cedar, which is fairly similar to forest inventory. The tree species classification result shown in Figure 6b has a significant improvement when compared with ground truth data, and the statistics of classification performance are reported in Table 4.

**Table 4.** Reported average AUC values for the training set and testing set.

| Tree Species  | L8SR Bands |         | L8SR Bands with Topographic Variables |         | Pairwise <i>t</i> -Test (Testing Set) |
|---------------|------------|---------|---------------------------------------|---------|---------------------------------------|
|               | Training   | Testing | Training                              | Testing | <i>p</i> -Value                       |
| <b>Birch</b>  | 0.74       | 0.67    | 0.81                                  | 0.70    | <0.01                                 |
| <b>Cedar</b>  | 0.88       | 0.84    | 0.93                                  | 0.91    | <0.01                                 |
| <b>Larch</b>  | 0.54       | 0.54    | 0.68                                  | 0.66    | <0.01                                 |
| <b>Willow</b> | 0.76       | 0.65    | 0.99                                  | 0.98    | <0.01                                 |



**Figure 5.** Tree species probability maps generated using Landsat imagery (a) birch, (b) cedar, (c) larch, (d) willow and Landsat imagery coupled with topographic variables (e) birch (f) cedar, (g) larch, and (h) willow.



**Figure 6.** Forest tree species classification map (a) L8SR only and (b) L8SR coupled with topographic variables. The area in white masks out the area where brushwood, shrubs, burned forest, bare soil, and water is present.

#### 4.3. Validation

The 15-fold cross-validation procedure was used to calculate average AUC values for the training and testing sets. Table 4 summaries AUC statistics, which provide a non-threshold estimate to assess the discrimination capability of the two models, in predicting the distribution of the four different tree species. For both models, all average AUC values obtained from the training set are higher than the testing set. Meanwhile, the result of pairwise t-test shows a significant difference between the two experiments, for all species ( $p$ -value < 0.01). The improvement can be observed, from AUC = 0.54~0.84 to AUC = 0.66~0.98 (testing set), when topographic variables were included in the classification scheme. Both mapping results were further evaluated by the contingency matrix to determine the reliability of the classification results, as suggested by Merow et al. (2013) [36].

Table 5 reports the producer, user, overall accuracy, as well as Kappa coefficient. To perform the analysis, sample points were randomly distributed in the study area to extract classified categories, and then compare with the forest inventory. The reported kappa coefficient of 0.52 and the overall accuracy of 71% indicate moderate performance when using the L8SR bands. On the other hand, the

obtained Kappa coefficient of 0.70 and overall accuracy of 81% from the second experiment confirms the effectiveness of applying topographic variables to the tree species classification.

**Table 5.** Tree species classification accuracy assessment.

| Tree Species        | L8SR Bands Only          |       |       |        | L8SR Bands with Topographic Variables |       |       |        |
|---------------------|--------------------------|-------|-------|--------|---------------------------------------|-------|-------|--------|
|                     | Birch                    | Cedar | Larch | Willow | Birch                                 | Cedar | Larch | Willow |
| Producer's Accuracy | 0.28                     | 0.66  | 0.95  | 0.85   | 0.55                                  | 0.75  | 0.96  | 0.93   |
| User's Accuracy     | 0.82                     | 0.95  | 0.62  | 1.0    | 0.92                                  | 0.96  | 0.77  | 0.50   |
|                     | Kappa coefficient = 0.52 |       |       |        | Kappa coefficient = 0.70              |       |       |        |
|                     | Overall accuracy = 71.0% |       |       |        | Overall accuracy = 81.0%              |       |       |        |

The above results show that the majority of tree species' geographical distribution can be mapped accurately through the proposed data integration method. Meanwhile, the non-conventional machine learning algorithm, MaxEnt, was successfully applied in this study, and showed high potential for complex classifications, compared to traditional classifiers [10]. However, we suggest that other relevant variables such as temperature, precipitation, and soil properties could also be incorporated into the classification practice. In addition, when 30 m DEM data was applied, some terrain features, such as small gullies and slopes with greater relief could be smoothed or neglected, and this contributes uncertainties to the derivation of topographic variables. For 30 m Landsat 8 data, mixing pixel issue is certainly an important factor that impacts the analysis. Therefore, applying satellite imagery with higher spatial and spectral resolution should be a promising approach to further improve mapping accuracy.

## 5. Conclusions

This study demonstrates a novel method to classify forest tree species with remote sensing data. By integrating topographic variables, such as elevation, slope, aspect, and curvature, with optical satellite spectral information, this study performed a successful tree species mapping procedure in Erdenebulgan County, Huwsgul Province, Mongolia. By applying the Maximum Entropy algorithm (MaxEnt) as the classifier, four major tree species in the study area were classified: larch, birch, cedar, and willow. On analyzing variable importance and contribution, it was found that topographical variables showed a higher importance than Landsat spectral information, specifically for birch, cedar, and willow. However, topographical information alone does not provide sufficient discriminability in our study case.

To explore the improvement of tree species classification when topographic variables are incorporated, the study was conducted with two different experiments: (1) only Landsat surface reflectance data was used; and (2) Landsat surface reflectance data coupled with topographic variables were used in the classification practices. The results from the first experiment show a 71% overall accuracy and a kappa coefficient of 0.52, while the second experiment offers an 81% overall accuracy with Kappa coefficient of 0.70, indicating that a more accurate tree species classification can be achieved when topographic variables are considered. In addition to topographic variables, incorporating other relevant environmental variables, such as temperature, precipitation, and soil properties is also suggested to strengthen the method. Moreover, applying satellite imagery with higher spatial, spectral, and temporal resolution could also further improve mapping accuracy. The proposed method provides a new direction to enhance the practice of forest management in remote regions.

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