Microstructure and Mechanical Properties of Al–SiC Nanocomposites Synthesized by Surface-Modified Aluminium Powder

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Received: 5 March 2018; Accepted: 3 April 2018; Published: 9 April 2018

Abstract: Ceramic nanoparticle-reinforced aluminium metal matrix composites (AMMCs) have superior mechanical properties compared with matrix alloys, exhibiting great potential in structural applications in industries such as the aerospace and automotive sectors. This research proposes a new method for distributing SiC nanoparticles in an aluminium matrix alloy by powder metallurgy. The mixing of aluminium powder and SiC nanoparticles was processed by a two-step procedure, which included ultrasound-assisted stirring and planetary agitation. After that, the mixing powder was subjected to compaction, sintering and extrusion. A blank sample and three composite sheets containing 1, 2 and 3 wt % SiC nanoparticles were prepared and the mechanical properties were investigated by micro-hardness and tensile tests. A scanning electron microscope (SEM) and electron back-scattered diffraction (EBSD) were used for microstructural analysis of the composite. Experimental results revealed that by adding 1, 2, 3 wt % SiC nanoparticles, hardness was increased by 26%, 34.5%, 40.0% and tensile strength was increased by 22.3%, 28.6% and 29.3%, respectively. The grain size of the aluminium matrix decreased with the addition of SiC nanoparticles. Moreover, a decrease of elongation was observed with the increasing weight fraction of SiC.

Keywords: metal matrix nanocomposite; powder metallurgy; SiC; mechanical properties

1. Introduction

With advances in the field of material science, demand for lighter weight and higher strength materials is increasing. Metal matrix nanocomposites reinforced with ceramic particles are very promising materials for aerospace, automobile and defense applications due to the excellent combination of properties [1–7]. Compared to micro-sized ceramic particles, the nanoparticles exhibit a more efficient ability as reinforcement for metal matrix composites [8]. Ma et al. reported that aluminium composite reinforced by only 1 vol % Si3N4 (D50 is about 10 nm) had nearly the same tensile strength and even much higher yield strength compared to 15 vol % SiC (D50 of about 3.5 µm)/Al composite [9]. Silicon carbide (SiC) and aluminium oxide (Al2O3) nanoparticle-reinforced aluminium metal matrix composites have drawn lots of attention for their economic advantages and better performance. Many methods can be used for fabrication of Al matrix nanocomposites such as infiltration [10], squeeze casting [11], mechanical alloying [12], powder metallurgy [13], ball milling [14] and stir casting [15]. Among these methods, powder metallurgy and stir casting are the most used at present for their economic advantages and also have the most potential for mass production. However,
it is known that it is extremely difficult to obtain uniform dispersion of the nano-sized ceramic particles in the liquid metals due to the poor wettability between them and the metal matrix [16]. Powder metallurgy avoids the wettability problem, but the distribution of nanoparticles in the matrix powder is also challenging. At present, the distribution of nanoparticles is usually done by ball milling or mechanical alloying, which is complicated, expensive and energy-consuming [17]. It also inevitably introduces impurities into the composite and the cold welding during the mixing process will also lead to the oxidation of the powder.

Herein, we propose a new method for mixing powder. The new technique avoids the cold welding during the ball milling or mechanical alloying and thus minimizes the oxidation of aluminium powder. It is also time-saving and energy-efficient compared to ball milling [18–20]. The effect of SiC nanoparticles on the microstructure and mechanical properties of the as-prepared aluminum matrix composite was studied and the strengthening mechanism was also discussed.

2. Materials and Methods

The traditional powder metallurgy technique, which consists of mixing, compaction and sintering, was employed to manufacture the samples. The chemical composition of aluminium powder is given in Table 1. Aluminum powder particles (supplied by Beijing HuiSheng Advanced Materials Technology Co., Ltd., Beijing, China) and silicon carbide nanoparticles (supplied by Hefei Kaier Nanometer Energy Polytron Technologies Inc., Hefei, China) were used as the raw materials for the Al–SiC nanocomposite. A new method of mixing was used to distribute nanoparticles in the matrix powder. The schematic of the preparation process was pictured in Figure 1. First, 1, 2 and 3 wt % silicon carbide nanoparticles were dispersed in 50 mL ethanol by ultrasound-assisted stirring. Then aluminum powder was added to the mixture. After that, the slurry was processed by a planetary mixer (ZYMC-700VS, ZYE, Shenzhen, China). The revolution speed was 2000 r/min and rotation speed was 800 r/min. After mixing for 90 s, the vacuum pump was turned on while the revolution and rotation were kept running to evaporate the ethanol for about 60 s. Then, the mixture was subjected to a vacuum oven (Yiheng, Shanghai, China) for further drying. After that the powder mixture was pressed by cold isostatic pressing (CIP) at 400 MPa. The green compacts were about 100 mm × Ø105 mm, and subjected to a vacuum sintering furnace (ACME, Changsha, China) at 600 °C for 12 h at 0.1 Pa vacuum. Finally, the sintered composite was machined to 90 mm × Ø90 mm, preheated up to 500 °C and extruded with the extrusion ratio of 9:1. The final product was a plate with the width of 60 mm, thickness of 12 mm, and length of 800 mm.

<table>
<thead>
<tr>
<th>Elements</th>
<th>Al</th>
<th>C</th>
<th>Si</th>
<th>Mg</th>
<th>O</th>
<th>N</th>
<th>Fe</th>
<th>Cu</th>
<th>Others</th>
</tr>
</thead>
<tbody>
<tr>
<td>Content (%)</td>
<td>99.93</td>
<td>0.003</td>
<td>0.002</td>
<td>0.002</td>
<td>0.05</td>
<td>0.001</td>
<td>0.001</td>
<td>0.001</td>
<td>≤0.01</td>
</tr>
</tbody>
</table>

The mechanical properties of the samples were obtained by tensile test and micro-hardness tests. Tensile specimens were machined into rectangular specimens along the extrusion axis direction according to ASTM E8M standards for subsize specimens. The dimension of the tensile specimen is shown as Figure 2. Tensile tests were carried out using a MTS Landmark testing system (MTS, Eden Prairie, MN, USA) with a strain rate of \(10^{-3} \text{s}^{-1}\) and an average value was obtained from four tensile tests for each sample. The Vickers microhardness tests were carried out with a load of 500 g and dwelling time of 15 s on the metallographically polished samples based on the ASTM E92 standard. The average value of 10 measurements was reported for each sample.

A HITACHI SU8010 scanning electron microscope (Hitachi, Tokyo, Japan) was used for the microstructural observation of the synthesized nanocomposite. Samples were subjected to mechanical polishing before observing. Mechanical polishing was done by grinding through 180, 400, 600, 800, 1000, 1200, 1500, 2000 grit alumina papers followed by polishing with 5, 2.5, 0.5 μm diamond paste and water. Then, the samples were etched by Keller’s reagent. Specimens for electron back-scattered
diffraction (EBSD) analyses (TESCAN MIRA 3 LMH; Oxford Instruments, Shanghai, China) were sectioned along the extrusion axis direction, followed by mechanical grinding and an ion beam cross section polisher (IB-09020CP, Joel, Tokyo, Japan), successively. Step size between points on the scan grid was set to 0.3 µm.

![Figure 1. Schematic illustration of preparation process.](image)

![Figure 2. Dimension of tensile specimen.](image)

### 3. Results

#### 3.1. Characterization of the As-Mixed Composite

The effect of the mixing process on the distribution of the nanoparticles on the surface of the aluminium powder was studied using SEM. The morphology of the aluminium powder particles and silicon carbide (SiC) nanoparticles as-received is shown in Figure 3a,b. The inserts were the particle-size distribution of the aluminium and silicon carbide. Figure 4 shows the composite powder after the mixing process. A micrograph of composite containing 1 wt % of silicon carbide nanoparticles is shown in Figure 4a, which shows that the SiC nanoparticles adhere to the aluminium particles with a relatively uniform distribution on the surface of the aluminium particles. Besides that, the shape of the aluminium particles did not change and remained near-spheroidal. However, as shown in Figure 4b,c, it can be found that the shape of the aluminium particles has changed a little and some aluminium particles are agglomerated. This may be caused by the cold welding during the mixing process.
Figure 3. (a) As-received aluminium powder; (b) SiC nanoparticles.

Figure 4. The aluminium powder after the mixing with SiC nanoparticles: (a) Al-1 wt % SiC; (b) Al-2 wt % SiC; (c) Al-3 wt % SiC.
3.2. Microstructure

Figure 5 illustrates the SEM micrographs of the monolithic and nanocomposite samples. As shown in the figure marked by red circles, the nanoparticles have been homogeneously dispersed in the aluminium matrix after extrusion. In the deformation of composites during the extrusion, the nanoparticles attached to the surface of aluminium particles were redistributed by the dynamic recrystallization of aluminium grain. The silicon carbide nanoparticles were dispersed and embedded into aluminium matrix after extrusion. Some agglomeration of several nanoparticles can still be found in Figure 5. That may be caused by the high specific surface area of the nanoparticles, which led to a tendency to cluster.

![Figure 5](image)

3.3. Hardness

The microhardness of the nanocomposites was measured 10 times for each sample and the average results are shown in Figure 6. As the blue column shows, with the addition of nanoparticles by 1 wt %, 2 wt % and 3 wt %, the Vickers microhardness of the nanocomposite sample increased by about 26%, 34.5%, 40% compared to the pure aluminum sample. This significant increase in microhardness could be attributed to the presence of distributed hard ceramic nanoparticles in the metallic matrix. Silicon carbide has a higher hardness and modulus of elasticity than aluminium. Thus, it is reasonable to infer that the microhardness of the composite is higher than matrix alloy as long as the reinforcement phase is distributed uniformly in the matrix. In addition, the presence of ceramic nanoparticles prevented the movement of dislocations which limited the deformation of the nanocomposite. That should be the most important reason accounting for the increase in microhardness of the composite.
3.4. Density Measurement

Figure 7 compares the experimentally measured and theoretically calculated density for samples reinforced with different weight fractions of reinforcements. Theoretical density is calculated according to the rule of mixture as follows:

\[
\rho_{\text{composite}} = \rho_{\text{Al}} \cdot \omega_{\text{Al}} + \rho_{\text{SiC}} \cdot \omega_{\text{SiC}},
\]

where \(\rho_{\text{composite}}\), \(\rho_{\text{Al}}\) and \(\rho_{\text{SiC}}\) refer to the density of composite, aluminium and silicon carbide, respectively; and \(\omega_{\text{Al}}\) and \(\omega_{\text{SiC}}\) are the volume fraction of aluminium and silicon carbide in nanocomposite. As seen from Figure 7, the density of the composites became bigger after extrusion. That means extrusion can decrease the porosity formed in the cold isostatic press. Besides that, it is evident that the density of the composites tends to become smaller as the content of nanoparticles becomes higher. It is reasonable that the nanoparticles attached to the surface of the aluminium particles prevent the integration of aluminium particles during sintering.

3.5. Tensile Properties

Tensile tests were conducted on pure aluminum and Al-SiC nanocomposite samples. The engineering stress-strain curve is shown in Figure 8. The improvement in tensile strength is clearly observed for the composite compared to pure aluminium. The ultimate tensile strength was increased by 22.3%, 28.6% and 29.3% with the addition of 1, 2, 3 wt % SiC nanoparticles. However, a decrease in the elongation was also observed. The elongation drops from 13.5% to 4.7% when adding 3 wt % SiC nanoparticles to pure aluminium. This may be caused by the increasing number of pores in the matrix alloy. We will discuss this later.
4. Discussion

Several mechanisms and theories have been proposed to elucidate the strengthening of metal matrix composites. We believe that the strength of composites is the result of several mechanisms acting together. These include Orowan strengthening, strengthening due to grain refinement, strengthening due to the formation of internal thermal stress resulting from different coefficient of thermal expansion (CTE) values between the matrix and the reinforcement particles, strengthening due to the effective load transfer between the matrix and the reinforcement, and hardening due to the strain misfit between the reinforcing particulates and the matrix. In this work, the strengthening of the composites may be attributed to three mechanisms principally: (i) Orowan strengthening; (ii) thermal expansion mismatch strengthening; and (iii) fine-grain strengthening. Clear discussion about these three principles is shown as follows.

Orowan strengthening is also known as dispersion hardening, which means that the strengthening is caused by dispersed second phase. In this work, Orowan strengthening is expected to be the main strengthening mechanism in the as-prepared nanocomposites. The Orowan strengthening mechanism is given by the Orowan-Ashby equation [21]:

\[ \sigma_{\text{Orowan}} = 0.13Gb \lambda \ln \frac{d}{2b}, \]  

where \( G \) is the shear modulus of Al; \( b \) is the Burgers vector of Al; \( d \) is the average diameter of nanoparticles; and \( \lambda \) is the interparticulate distance between the reinforcement particles, which is given by the following equation [22]:

\[ \lambda = d\left(\frac{1}{2f}\right)^{1/3} - 1, \]  

where \( f \) is the volume fraction of the reinforcement particles. As Equation (3) shows, increasing the amount of SiC from 1 wt % (0.85 vol %) to 3 wt % (2.54 vol %) leads to a decrease in the distance between the SiC nanoparticles, which results in the increase of \( \sigma_{\text{Orowan}} \). This is the reason for the enhanced strength of the nanocomposites. In terms of dislocation motion, the SiC nanoparticles prevent the movement of dislocations in the aluminium matrix, as shown in Equation (4):

\[ T_0 = \frac{Gb}{\lambda} \]  

\( T_0 \) is the required compressive/tensile stress for forcing dislocations to move among reinforcement particles, \( G \) is the elastic modulus of the matrix and reinforcement materials, and \( b \) is the Burger’s vector. Increasing the amount of SiC leads to a decrease of \( \lambda \), resulting in a higher required compressive/tensile stress for the movement of dislocations between the SiC nanoparticles. The higher starting stress of dislocation motion eventually leads to the increase of the composite strength.
Besides that, the increase in ultimate tensile strength can also be attributed to the mismatch at the interface of Al and SiC nanoparticles due to the large difference in the thermal expansion coefficient between matrix (24 × 10^{-6} /K for Al) and reinforcement (4.3 × 10^{-6} /K for SiC) [20]. The mismatch at the interface leads to the increase of dislocation density, which can be quantified by Equation (5):

\[
\rho = \frac{A \Delta \alpha \Delta T f}{bd(1-f)},
\]

where \(A\) is a constant between 10 and 12 depending on the particle geometries, \(\Delta \alpha\) is the difference of thermal expansion coefficient, \(\Delta T\) is the difference between processing and test temperature, \(d\) is the particle diameter, and \(f\) is the volume fraction of nanoparticles [23]. The increase of dislocation density caused by the mismatch of coefficients of thermal expansion leads to the enhanced strength of the nanocomposites. This part of the strength contribution is named as \(\Delta \sigma_{\text{CTE}}\), which can be quantified by Equation (6):

\[
\Delta \sigma_{\text{CTE}} = M \beta G b \sqrt[4]{\rho},
\]

where \(M\) is the Taylor factor, \(\beta\) is a constant equal to 1.25, \(\rho\) is the density of dislocation mentioned in Equation (5), \(G\) is the shear modules of the matrix, and \(b\) the magnitude of Burger’s vector [24,25]. We can infer from Equations (5) and (6) that with the volume fraction of nanoparticles (\(v\)) increasing or the particle diameter (\(d\)) decreasing, the total dislocation density in the nanocomposites increases, leading to a higher mismatch-reinforced strength (\(\Delta \sigma_{\text{CTE}}\)), which eventually adds to the yield strength of Al-SiC nanocomposites.

Fine-grain strengthening was also observed from the EBSD analyses. The inverse pole figures with the grain boundary of the blank sample and composites are demonstrated in Figure 9. In addition, the grain size distributions in the samples are depicted in Figure 10. The results revealed that the addition of nanoparticles led to grain refinement of the aluminium. The grain sizes of the specimen without SiC sample are distributed between 1 and 10 \(\mu\)m with an average of 3.7 \(\mu\)m (Figure 10a). However, in the case of the sample with the addition of 1 wt %, 2 wt %, 3 wt % SiC nanoparticles, the grain sizes are distributed between 1 and 10 \(\mu\)m with an average amount of 3.1 \(\mu\)m, 2.6 \(\mu\)m, 2.5 \(\mu\)m, respectively. As known from the Hall–Petch relationship:

\[
\sigma_{\text{Hall–Petch}} = K d^{-1/2}.
\]

The decrease of grain size will contribute to the enhancement of yield strength. Therefore, fine-grain strengthening is also accounting for the increased strength of the composites.

Table 2 lists the data used for calculating the strengthening effects of the composites. The calculated contribution of each strengthening mechanism is shown in Figure 11. The discrepancy is due to the assumptions made in the formation of the equation and the interface defects as well as some agglomeration of nanoparticles.

<table>
<thead>
<tr>
<th>(G)</th>
<th>(b)</th>
<th>(d)</th>
<th>(a_{\text{Al}})</th>
<th>(a_{\text{SiC}})</th>
<th>(T_{\text{process}})</th>
<th>(T_{\text{test}})</th>
<th>(K)</th>
</tr>
</thead>
<tbody>
<tr>
<td>GPa</td>
<td>nm</td>
<td>nm</td>
<td>(K^{-1})</td>
<td>(K^{-1})</td>
<td>K</td>
<td>K</td>
<td>MPa·m^{1/2}</td>
</tr>
<tr>
<td>26.4</td>
<td>0.286</td>
<td>50</td>
<td>24 × 10^{-6}</td>
<td>4.3 × 10^{-6}</td>
<td>773</td>
<td>298</td>
<td>0.0725</td>
</tr>
<tr>
<td>(d_{\text{grain}})</td>
<td>(\mu)m</td>
<td>(\Delta \alpha)</td>
<td>(\Delta T)</td>
<td>(\rho)</td>
<td>(M)</td>
<td>(A)</td>
<td>(\beta)</td>
</tr>
<tr>
<td>0</td>
<td>1 wt %</td>
<td>2 wt %</td>
<td>3 wt %</td>
<td>1 wt %</td>
<td>2 wt %</td>
<td>3 wt %</td>
<td>-</td>
</tr>
<tr>
<td>3.7</td>
<td>3.1</td>
<td>2.6</td>
<td>2.5</td>
<td>0.85</td>
<td>1.7</td>
<td>2.54</td>
<td>3.1</td>
</tr>
</tbody>
</table>
Figure 9. The inverse pole figure (IPF) map with grain boundaries for composites (a) pure aluminium; (b) Al-1 wt % SiC; (c) Al-2 wt % SiC; (d) Al-3 wt % SiC.

Figure 10. The grain-size distribution of composites (a) pure aluminium; (b) Al-1 wt % SiC; (c) Al-2 wt % SiC; (d) Al-3 wt % SiC.

However, the addition of SiC nanoparticles improves the ultimate tensile strength of aluminium while deteriorating the elongation. As seen from Figure 8, the elongation drops from 13.5% to 4.7% when adding 3 wt % SiC nanoparticles to pure aluminium. In addition, the ultimate tensile strength of
the composites undergoes no evident improvement while the content of SiC increases from 2–3 wt %. These results may derive from the fact that the porosities of the composites increase with the addition of ceramic nanoparticles, which can be figured out from Figure 6. According to Rice–Tracey ductile criterion [26], the pores in the matrix tend to be the origin of cracks when tensile stress is acting on the composites, leading to a poor elongation of the composites.

![Figure 11. Calculated contribution of each strengthening mechanism.](image_url)

5. Conclusions

In this work, a new method for the mixing process of metal matrix nanocomposites made by powder metallurgy was proposed. The mechanical properties and the microstructures of nanocomposites as-prepared were investigated. The important results and conclusions are summarized as follows:

1. Al-SiC nanocomposite was successfully synthesized by surface modified aluminium powder. The nanoparticles were distributed uniformly in the matrix alloy.
2. With the addition of 1, 2, 3 wt % SiC nanoparticle to the aluminum matrix, the average hardness and tensile strength of the nanocomposite increased by about 26.0%, 34.5%, 40.0% and 22.3%, 28.6%, 29.3%, respectively.
3. A decrease in the ductility of the nanocomposite was found with the addition of nanoparticles. By adding 3 wt % SiC nanoparticle, the elongation was decreased by 8.8% compared to the pure matrix metal.

Acknowledgments: This work was supported by the Shenzhen Engineering Laboratory of Nuclear Materials and Service Safety.

Author Contributions: Xiang Zeng and Qiulin Li conceived and designed the experiments. Xiang Zeng performed the experiments and wrote the paper. Wei Liu and Ben Xu analyzed the data. Guogang Shu contributed materials and analysis tools. All authors contributed to the discussions as well as revisions.

Conflicts of Interest: The authors declare no conflict of interest.

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