

Article

# Urbanization and Its Impacts on Land Surface Temperature in Colombo Metropolitan Area, Sri Lanka, from 1988 to 2016

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**Abstract:** Urbanization has become one of the most important human activities modifying the Earth's land surfaces; and its impacts on tropical and subtropical cities (e.g., in South/Southeast Asia) are not fully understood. Colombo; the capital of Sri Lanka; has been urbanized for about 2000 years; due to its strategic position on the east–west sea trade routes. This study aims to investigate the characteristics of urban expansion and its impacts on land surface temperature in Colombo from 1988 to 2016; using a time-series of Landsat images. Urban land cover changes (ULCC) were derived from time-series satellite images with the assistance of machine learning methods. Urban density was selected as a measure of urbanization; derived from both the multi-buffer ring method and a gravity model; which were comparatively adopted to evaluate the impacts of ULCC on the changes in land surface temperature (LST) over the study period. The experimental results indicate that: (1) the urban land cover classification during the study period was conducted with satisfactory accuracy; with more than 80% for the overall accuracy and over 0.73 for the Kappa coefficient; (2) the Colombo Metropolitan Area exhibits a diffusion pattern of urban growth; especially along the west coastal line; from both the multi-buffer ring approach and the gravity model; (3) urban density was identified as having a positive relationship with LST through time; (4) there was a noticeable increase in the mean LST; of 5.24 °C for water surfaces; 5.92 °C for vegetation; 8.62 °C for bare land; and 8.94 °C for urban areas. The results provide a scientific reference for policy makers and urban planners working towards a healthy and sustainable Colombo Metropolitan Area.

**Keywords:** land use/cover change; land surface temperature; impervious surface; multi buffer ring method; gravity model

## 1. Introduction

Urbanization is an environmental phenomenon that has gained analysts' attention in the 21st century. In 1990, only 15% of the world's population lived in cities, while in the 20th century, this picture has been completely transformed, with half the population of the world estimated to live

in cities [1]. Whether in developed or developing countries, the extensive growth of urbanization is making the pursuit of sustainable and prosperous cities problematic. The situation is becoming worse since urbanization is increasingly interconnected with the defining environmental phenomenon of this century, which is the expected climate change [2]. For instance, the urban climate of a city is different from its surrounding area [3]. Urban land cover changes (ULCC) due to urbanization are mainly caused by removal of vegetation cover, which affects the surface climate [3]. When the surfaces of different materials receive the same amount of solar radiation, the resulting temperature differs due to differences in their heat capacity [4]. Land surface temperature (LST) is an important indicator of urban climate. Due to urban areas' surface cover, surface temperature is higher than in vegetated and water-covered areas. Accordingly, the increasing number of built-up areas results in increased temperature values. It is important to study the impact of urbanization on LST, since it can disrupt a wide range of natural processes [5]. Using remote sensing for studying climate variables has become popular, especially with the introduction of thermal remote sensing. However, a historical construction of the relationship is needed in order to reach reliable conclusions. To address this, one study used 507 Landsat Thematic Mapper (TM)/Enhanced Thematic Mapper Plus (ETM+) images from 1984 to 2011 [6]. This attempt found it challenging to automatically characterize ULCC consistently at an acceptable accuracy [6]. To study the impact of ULCC on temporal thermal characteristics, the time-series observations were broken down into temporally homogenous segments, but the study was limited by the clear sky pixels.

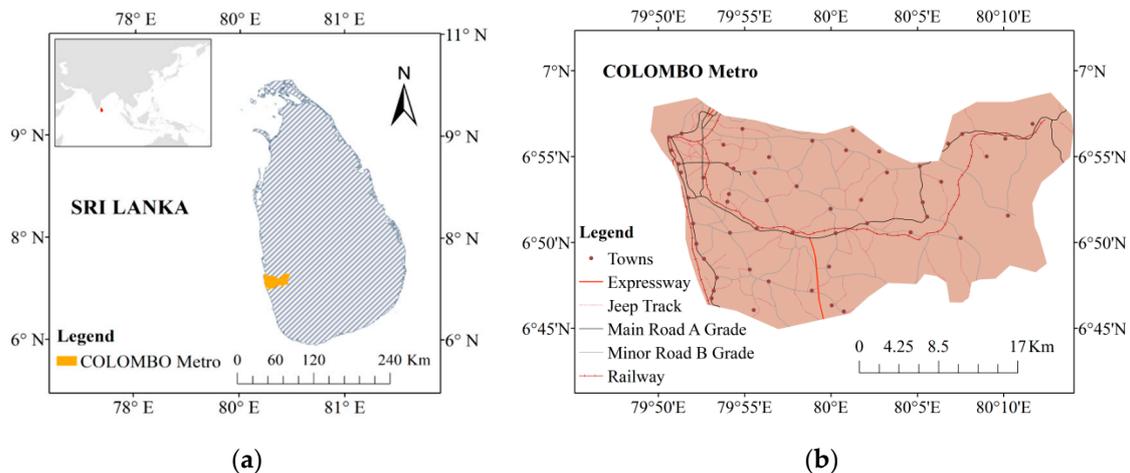
Most of these studies have been conducted over temperate urban areas where the cities are more developed, while tropical urban areas have been much less studied. In previous studies, several tropical and subtropical cities were studied in Asia and South Asia, including Mumbai and Delhi in India, Hanoi in Vietnam, Bangkok in Thailand, and Dhaka in Bangladesh. A limited number of images was used to evaluate the urban land cover changes [7–10]. Short-term urban land cover changes were studied using multi-temporal images within several years [11,12]. A few studies were conducted to address the long-term land cover change [12,13]. Generally, in tropical cities, cloud contamination is much more common in optical satellite data, such as the Landsat series datasets. Thus, long-term observation and evaluation in these tropical cities is more challenging. Given the fact that increasing population has resulted in a widespread and dramatic urbanization process in many tropical and subtropical cities, many administrative and planning issues have arisen that require timely and accurate monitoring of large-scale metropolitan developments using satellite remote sensing technology. The Colombo Metropolitan Area in Sri Lanka is such a tropical metropolitan area that is undergoing rapid urbanization, and was therefore selected as the study area. Datasets were derived from Landsat 5 TM, Landsat 7 ETM+, and Landsat 8 OLI/TIRS, between 1988 and 2016, to illustrate Colombo's ULCCs in different spatial domains.

To investigate the long-term changes of ULCC, and its impacts on thermal characteristics, two methods were comparatively employed: (1) a multi-buffer ring method, and (2) a gravity model. From a socio-economic perspective, cities are considered as magnets that attract people for various social and economic opportunities. Cities may attract or repel residents, money and business investment [14]. The multi-buffer method gives the best representation of this characteristic of a city, since it shows its distribution from the city center outward [15,16]. Being the commercial capital of Sri Lanka, Colombo has these characteristics.

## 2. Study Area and Dataset

Colombo District (Colombo Metropolitan Area) is located between the latitudes 6°44'N and 6°58'N, and longitudes 79°50'E and 80°14'E as shown in Figure 1. The district is in the western province (WP) of Sri Lanka, which is located in the Indian Ocean. The area covers about 699 km<sup>2</sup> [17]. The city of Colombo is the capital city of Colombo District, and is the largest city and commercial capital of the country. Colombo City has been urbanized for about 2,000 years, due to its strategic position on the east–west sea trade routes. According to the Köppen climate classification system,

Colombo has a tropical monsoon climate, and is known to be fairly temperate throughout the year. In Sri Lanka, there is no clear definition of urban areas. However, for administrative purposes, urban areas are categorized into two types: municipal and urban council. The city of Colombo is governed by a municipal council. In this study we selected Colombo District, out of 25 districts in Sri Lanka, as the study area. This area includes urban, suburban, and rural areas, and is surrounded by water.



**Figure 1.** Maps of (a) Sri Lanka and (b) Colombo Metropolitan Area.

In this study, the main data sets were time series of Landsat images taken by the Landsat TM, Landsat ETM+, and Landsat Operational Land Imager (OLI)/Thermal Infrared Sensor (TIRS) sensors, and obtained from the USGS website (<https://earthexplorer.usgs.gov>). Landsat images are available from 1972, and were taken by eight satellites in the Landsat series as shown in Table 1. The Landsat overpasses the study area between approximately 4:50 and 5:00 GMT, which is 10:20 to 10:30 AM local time. This is known to be an opportunity for collecting images with maximum illumination, especially in LST studies. Satellite images were obtained with 3–4-year intervals, and within the same season (the dry season) to avoid phenological variations. The software used to conduct image processing included Environment for Visualizing Images (ENVI), ArcGIS version 10.3, and Matlab. Excel was used for conducting the statistical analysis used in the multi-buffer ring method. Pre-processing was done for the Landsat images as it can have a great impact on the results of the analysis [18]. Usually, it is not necessary to conduct a geometric correction for Landsat level 1 products, as they are registered and ortho-rectified through a systematic process [18]. The main correction needed is therefore a radiometric correction. The radiometric correction eliminates errors that affect the brightness values of the pixels [18]. These errors are mainly due to detection errors in the sensor system and environmental attenuation errors. The original image sizes were larger than the study area, so after pre-processing they were edited using a shapefile of Colombo.

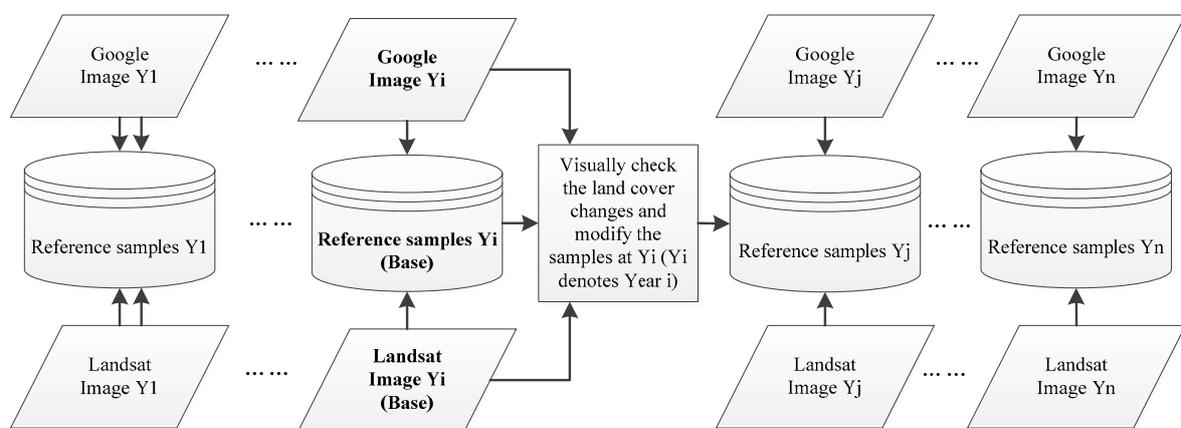
**Table 1.** Information about Landsat images used in this study.

ID	Sensor Type	Acquisition Date	Path/Row	Spatial Resolution
LT51410551988350BKT01	TM	1988-12-15	141/55	30 m
LT51410551992073BKT01	TM	1992-03-13	141/55	30 m
LT51410551994094BKT01	TM	1994-04-04	141/55	30 m
LT51410551997038BKT01	TM	1997-02-07	141/55	30 m
LE71410552001041SGS00	ETM	2001-02-10	141/55	30 m
LT51410552003343BKT00	TM	2003-12-09	141/55	30 m
LT51410552008309BKT00	TM	2008-11-04	141/55	30 m
LT51410552011317BKT00	TM	2011-11-13	141/55	30 m
LC81410552013242LGN00	OLI_TIRS	2013-08-30	141/55	30 m
LC81410552016027LGN00	OLI_TIRS	2016-01-27	141/55	30 m

### 3. Methods

#### 3.1. Urban Land Cover Classification

All the images with reflectance bands were classified into five classes: Urban Area, Vegetation, Barren Land, Water, and Cloud [19–21]. First, reference samples were selected for each class. In this process, at least 600 pixels were used for each class, with around one third of the samples being used for training the classifier and two thirds for validating the classification results. Vegetation was not further classified into sub-areas since the main objective was to identify the urban areas. To select the samples, high resolution images from Google Earth were used, corresponding to each year of the Landsat images of Colombo [11,22]. Figure 2 illustrates the sampling strategies for collecting such time-series reference samples from the high resolution Google Earth images, with visual checking of ULCCs in different years. In each year, the corresponding Google Earth image and Landsat image were set to the closest imagery dates.



**Figure 2.** Sampling strategies for collecting the reference samples from high resolution Google Earth images.

Support Vector Machine (SVM) and Random Forest (RF), two of the most popular machine learning methods, were comparatively applied to the supervised classification of urban land cover [23–25]. First, the radial basis function (RBF) was selected as the kernel function to map the data onto a binary separable hyperplane in SVM, which was optimized with a cross-validation for the settings of two key parameters: Gamma in the RBF, and the penalty (C) for non-linear cases in the hyperplane [26–29]. In addition, since the original SVM is a binary classifier, multiple SVMs were needed to conduct the multi-class classification, with each classifier used to identify one land cover class. To perform this, the one-against-the-rest strategy was employed [28]. Second, RF is a decision tree-based classifier, which consists of a set of decision trees, with each trained based on a randomly selected subset of the total training samples [29,30]. The final classification result of RF is a voting-based decision, based on the classifications of all the decision trees. The successful application of RF depends on the optimal settings of two key parameters, the number of decision trees and the number of features that are randomly selected to split each node in the decision trees. According to our previous study, a greater number of decision trees will result in a better RF. However, the performance of RF will become stable with no significant improvement after a certain number of decision trees [29]. To achieve the optimal performance of RF, the number of decision trees was set to 100. For the number of features, this study followed the previous studies, to set this parameter as the root of the total features [31,32]. Finally, the images were classified into five classes: Urban, Vegetation, Water, Bare Land, and Clouds. An accuracy assessment was then obtained, which provided information about the reliability of the classification results, including overall accuracy, user's accuracy, producer's accuracy, and the Kappa coefficient for each classified Landsat image.

### 3.2. Land Surface Temperature Estimation

In the literature, numerous studies can be found on methods for calculating LST using Landsat images. The Mono-Window algorithm [33], the radiative transfer equation [34], and the Single-Channel algorithm [35] are some of the commonly known methods. However, these methods require additional input parameters (such as atmospheric water vapor content and near-surface air temperature) from ground-based observations, captured simultaneously with the satellite passes, and these are usually unavailable [34].

For this reason, the method developed in [36–38], which necessitates no additional input parameters, was chosen for this research. All the digital numbers (DN values) of thermal bands corresponding to the classification year were converted into spectral radiance using ENVI® predefined functions. Then the effective at-sensor brightness temperature is also calculated.

#### 3.2.1. Effective at-Sensor Brightness Temperature

The equation for calculating the brightness temperature (Equation (1)) is the same for Landsat TM, ETM+, and OLI/TIRS [39,40]:

$$T = \frac{K2}{\ln\left(\frac{K1}{L_\lambda} + 1\right)} \quad (1)$$

where  $T$  is the effective at-satellite temperature (Kelvin),  $K1$  is a calibration constant in  $W/(m^2 \cdot sr \cdot \mu m)$ , and  $K2$  is another calibration constant in Kelvin.

#### 3.2.2. Land Surface Emissivity Calculation

Knowledge of surface emissivity is important for land surface temperature calculations by remote sensing. In optical thermal remote sensing, there have been several studies on emissivity. Among these, we adopted the frequently used method with the calculation of emissivity using simplified normalized difference vegetation index (NDVI) thresholds, derived from the spectral reflectance in the red and near infrared bands [34]. In this method, it assumed that the surface is flat and homogenous [34]. The conditional Equation (2) for emissivity calculation is as follows:

$$Emissivity = \varepsilon_{v\lambda}PV + \varepsilon_{s\lambda}(1 - P_v) + C_\lambda \quad (2)$$

where  $\varepsilon_v$  and  $\varepsilon_s$  are the vegetation and soil emissivity, which in this study are 0.98 and 0.92, respectively; and  $C$  represents the surface roughness ( $C = 0$  for homogenous and flat surfaces), taken as a constant value of 0.005 [41].

#### 3.2.3. Land Surface Temperature Estimation

Using the above calculated emissivity and effective at-sensor brightness temperature, images were further used to derive LST using Equation (3), developed by [36,37].

$$LST = \frac{BT}{\left(\{1 + W \cdot (BT/\rho) \cdot \ln(e)\}\right)} \quad (3)$$

where LST is in Kelvin (K), BT is the at-sensor brightness temperature (Kelvin),  $\rho = hc/\sigma$ ,  $\sigma$  = Boltzmann constant ( $1.38 \times 10^{-23}$  J/K),  $h$  is Planck's constant ( $6.626 \times 10^{-34}$  J/s),  $c$  is the velocity of light ( $2.998 \times 10^8$  m/s), and  $e$  is the emissivity.

### 3.3. Impact of Urbanization on Land Surface Temperature

Before applying the multi-buffer ring method and gravity model, the mean LST values for each class in the time scale were determined. To summarize the values of the LST within each urban land cover type, class zonal statistics in ArcGIS were used. In this step, the main intention was to identify the temporal dynamics of LST with urban land cover classes. Since the main consideration of this

study was identifying the relationship between urbanization and LST values, urban density and LST values in the urban land cover class were further extracted.

### 3.3.1. Multi-Buffer Ring Method

The concept of the city has been studied for many decades. Cities in different regions have developed in different ways, and may be capitals, economic or religious centers, university hubs, infrastructure nodes, etc. By considering the concept of magnetic cities, as discussed in the introduction, the multi-buffer ring method was applied [15,16]. As a representation of the degree of urbanization, urban density was calculated.

In physics, density is a quantity of mass per unit volume. For this study, urban density is calculated for the grid and ring as follows:

$$\text{Urban density} = \frac{\text{Urban area per ring}}{\text{Total area of ring}} \quad (4)$$

Multi-buffer rings were created every 1 km from the city center of Colombo. Urban density per ring was then calculated using Equation (4). The relationship between the urban density and the LST data was statistically analyzed using regression analysis, trend analysis and boxplot analysis.

When implementing the multi-buffer ring method, we came across a problem due to the pattern of urban expansion in Colombo District. There is rapid urbanization along the coastline, and the urbanization is not radial as would be optimal for applying the multi-buffer method. Moreover, from 1988 to 2016 there was rapid urbanization in Colombo, and more than one city center was also observed. Because of this issue, it was difficult to implement a spatial domain which could represent the multiple city center concepts by using the prevailing spatial domains in ArcGIS.

### 3.3.2. Gravity Model

With the intention of finding solutions, we considered the First Law and Second Law of Geography [42], and the gravity model [43]. Both the First and Second Laws of Geography are based on action at a distance. Similarly, urbanization in a given area may be impacted by the urban areas surrounding it. Based on these theories, we assumed that the gravity model could give an improved solution for the phenomenon of multiple city centers, and be used to identify the most urbanized center.

In the implementation of the gravity model, the classified image was converted into a binary image, with values of 1 for urban areas and 0 for other land cover classes. Based on the gravity law, the spatial interaction between urban pixels was calculated. The general concept of this step is expressed in Equation (5), by which the percentage of urbanization is calculated based on a binary image with a given window size. The window size was set at  $9 \times 9$  pixels, for which we assume that a spatial scale of  $270 \text{ m} \times 270 \text{ m}$  is big enough to evaluate the urbanization percentage of a pixel. Then the urban density was further calculated based on the gravity model expressed in Equation (5), implemented using Matlab.

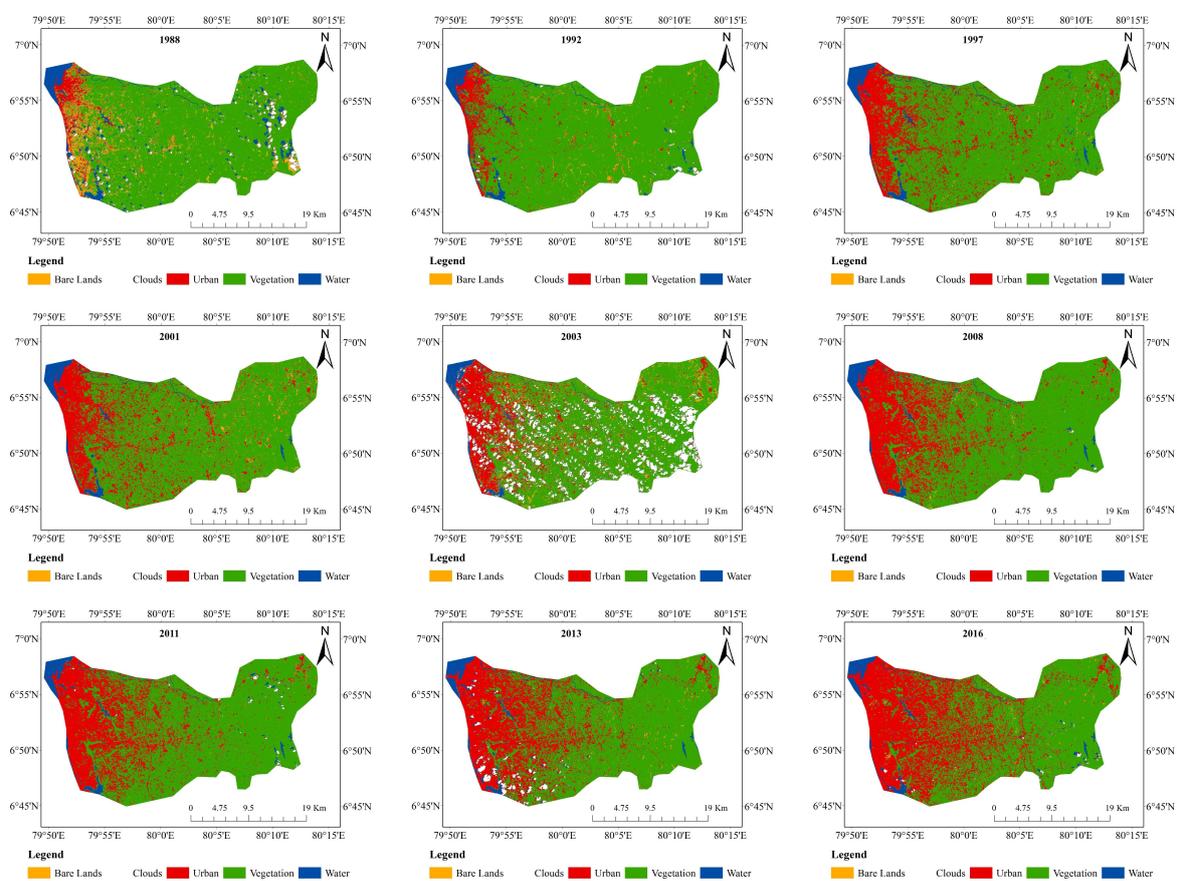
$$\text{Urban density} = \sum \sum \frac{\text{Percentage of urbanization}}{\text{distance between pixels}} \quad (5)$$

The urban density results were normalized into a scale from 0 to 1, after which a correlation analysis was conducted with the LST values. Normalization was conducted mainly for a better visualization of the urban density in Section 4.3.2. Since it is a linear normalization, it does not change the pattern of the urban density.

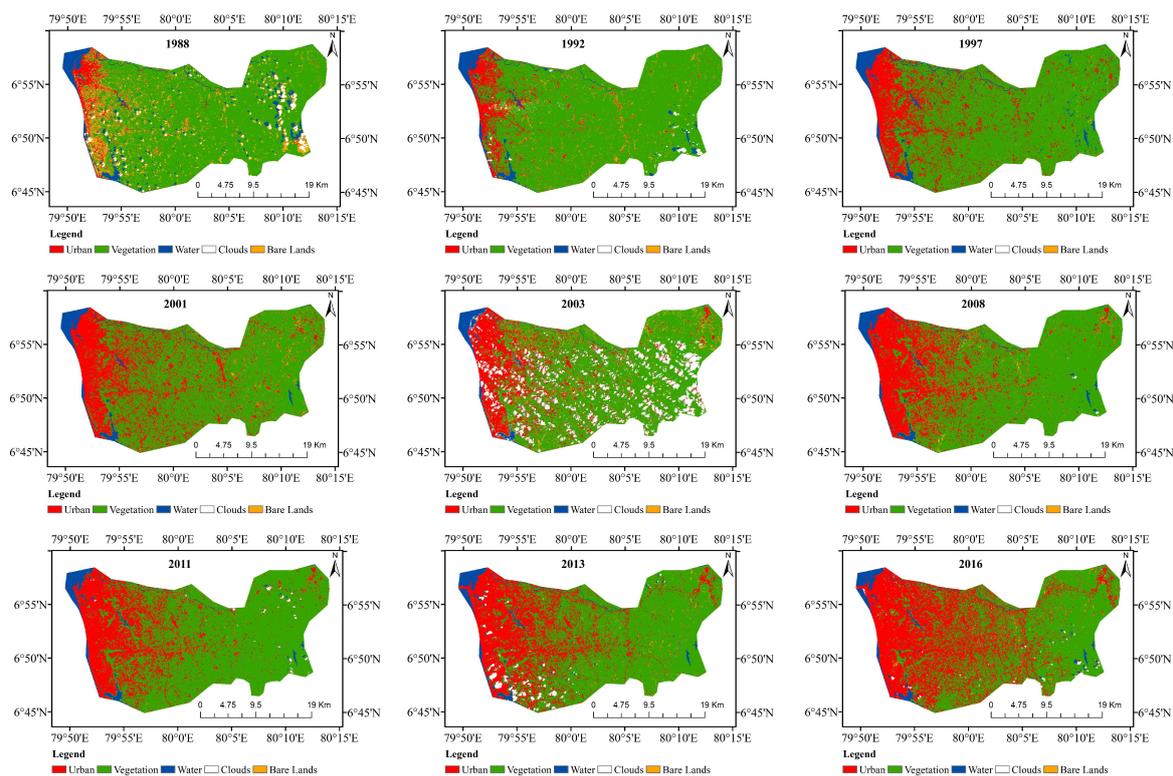
## 4. Results

### 4.1. Urban Land Cover Classification

The urban land cover classification results in Colombo from 1988 to 2016 are shown in Figure 3 using SVM, and Figure 4 using RF. As reported in our previous study [44], the performance of the classifications using SVM and RF is comparable, though SVM often slightly outperforms RF, while RF often has better efficiency since it is based on decision trees. The classification in this study also matched our previous study. In Figures 3 and 4, the classification results are mostly consistent, though RF appears to result in a slight over-estimation of urban area in the years 2013 and 2016. However, RF took much less time compared with SVM. For instance, it took more than ten minutes to classify one image for SVM, but it took less than one minute to classify one image for RF. Nevertheless, considering that this study focuses more on accuracy than on computational time, we adopted the results from SVM for our further analysis.



**Figure 3.** The results of classification using support vector machine (SVM) in Colombo between 1988 and 2016.



**Figure 4.** The results of classification using random forest (RF) in Colombo between 1988 and 2016.

Error matrices over the classifications from SVM and RF were obtained to evaluate the classification accuracy. The details of the accuracy assessment are summarized in Table 2. From 1988 to 2016, overall accuracy was higher than 80%. The Kappa coefficient was also higher. As the accuracy of classifications was adequate, it was used for further analysis. Based on Figure 3, it is clear that there has been an urban expansion in Colombo in the last 30 years. The dispersed development of the urban growth of Colombo may be due to several factors. Within the region, there are no physical constraints such as high elevation or steep slopes. Urban areas have expanded while vegetated areas have decreased.

**Table 2.** Overall accuracy and Kappa coefficient of the classification.

Year	SVM		RF	
	Overall Accuracy	Kappa Coefficient	Overall Accuracy	Kappa Coefficient
1988	87.04%	0.7751	86.12%	0.7643
1992	93.96%	0.901	93.81%	0.898
1997	85.13%	0.7306	85.47%	0.7259
2001	82.91%	0.7515	80.30%	0.7159
2003	93.79%	0.9036	93.28%	0.8958
2007	94.51%	0.9157	93.72%	0.9036
2011	96.32%	0.9432	96.21%	0.9415
2013	84.74%	0.7961	92.28%	0.8581
2016	97.25%	0.9467	97.21%	0.9455

To further understand the urbanization in Colombo during the study period, the areas of different land covers and their changes were calculated, and are shown in Figure 5. The changes of bare land and water bodies remain approximately constant throughout the time period. Therefore it can be concluded that from 1988 to 2016, the vegetated land cover decreased while urban areas increased. Based on these results, we hypothesize that the expansion of urban land cover is directly proportional to the decrease of vegetation land cover.

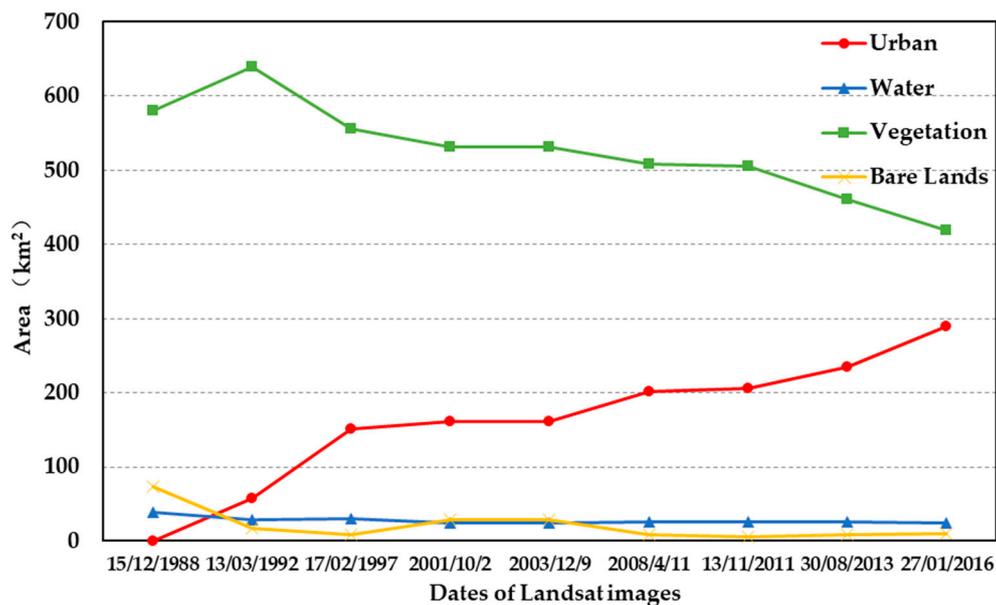
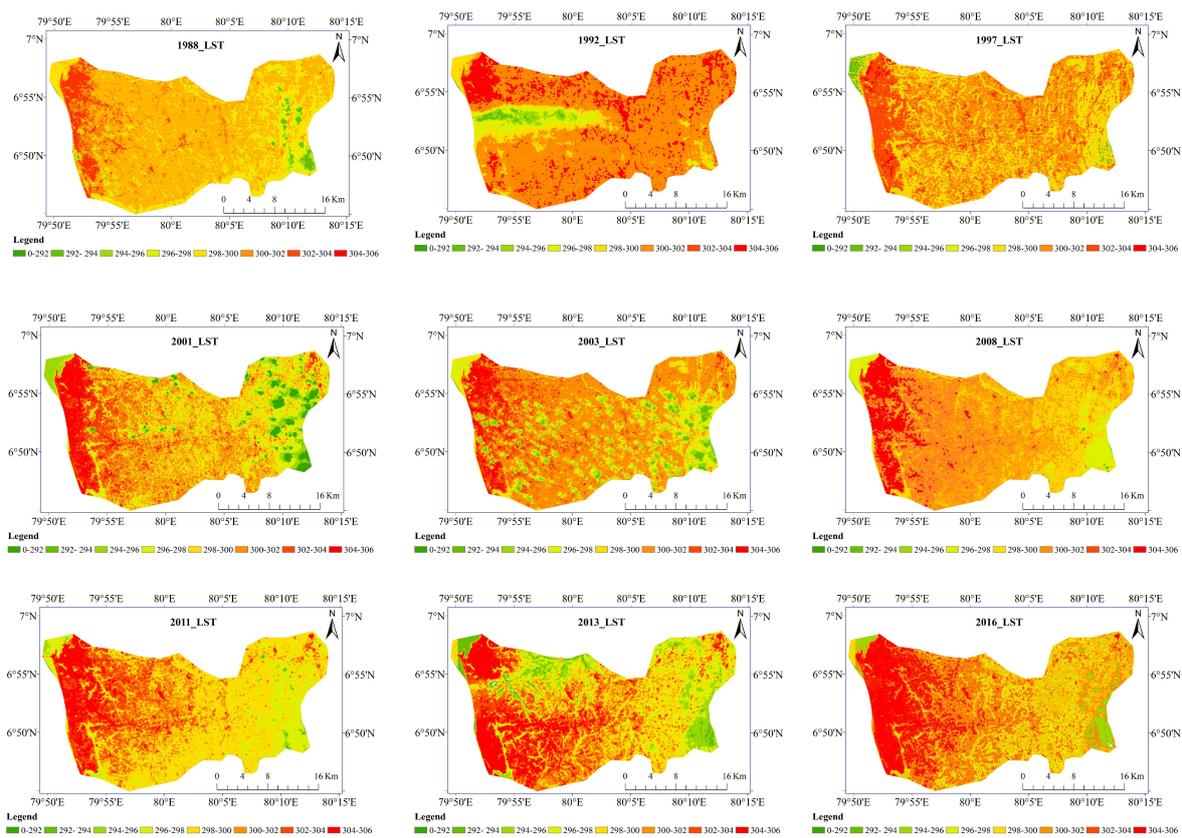


Figure 5. Urban land cover change in Colombo from 1988 to 2016.

In general, the urban expansion of developing countries is influenced by population growth and in-migration [45]. However, when compared to the other cities in Sri Lanka, Colombo experiences rapid urban growth because most of the country's industrial and commercial activities are concentrated there [45]. When we look at the development of the city, we realize that the urban expansion is not radial. In particular, urban expansion has extended westward along the coast. It was found that Colombo's urban growth shows an infilling growth pattern [46]. Due to the urban expansion in the western part, that area experienced consumption of resources, while in the eastern region a more natural and rural environment was preserved. This confirms that the main land use and land cover change was from vegetation to urban areas [47].

#### 4.2. Land Surface Temperature Calculation

The LSTs calculated from the Landsat images as discussed in the methodology are presented in Figure 6. It shows a clear gradient between the urban areas and rural areas from 1988 to 2016, and also illustrates the increase in temperature. This is mainly due to the fact that urban surface materials will have higher radiant temperatures [48]. The land cover pattern also shows a similar gradient to the temperature values.



**Figure 6.** Annual Land Surface Temperature for corresponding years to land cover classification.

The mean temperature values of LST for each class are summarized in Table 3. The maximum, minimum and other statistical values of LST can be calculated using the Zonal statistics in ArcGIS [47]. In 1988, the average temperature of urban areas was 295.3342 Kelvin (22.1842 °C), and in 2016 it was 304.27 Kelvin (31.12 °C). Calculating the average of the temperature values for each class, showed that the lowest temperature values were observed in water bodies. This is consistent with the results of [6,9,19,20,48,49]. Due to the replacement of vegetation by urban land areas there is a potential increase in LST along the time scale. Generally, there was a noticeable increase in the mean LST of 5.24 °C for water surfaces, 5.92 °C for vegetation, 8.62 °C for bare land, and 8.94 °C for urban areas.

**Table 3.** Mean Land Surface Temperature for corresponding urban land covers (in Kelvin).

	1988	1992	1997	2001	2003	2008	2011	2013	2016
<b>Water</b>	292.1813	292.9454	293.154	294.3133	296.1483	296.468	296.4978	296.766	297.4211
<b>Vegetation</b>	295.3342	295.9467	297.8987	298.4418	300.4154	300.6984	300.9962	301.107	301.2562
<b>Bare Land</b>	295.6886	296.8361	299.268	299.4706	301.1288	301.3109	302.2325	302.5835	304.3118
<b>Urban</b>	295.3342	298.3201	300.2554	300.8821	302.1098	302.4714	303.4135	304.2593	304.27

Along the time scale, vegetation always shows lower temperatures compared to urban areas. This can be best explained by the fact that forest or vegetation can decrease the amount of heat stored in the soil or land surface, through the process of transpiration [50]. When compared to the water bodies and vegetated areas, both the urban areas and bare land show higher temperature values, consistent with previous studies [49]. Urban areas show higher temperatures mainly due to the presence of commercial and industrial factories, and especially due to the building materials used. One of the reasons for having high temperature values for bare land is that most bare lands are in places where there is ongoing development taking place, and as a result the vegetation cover is reduced.

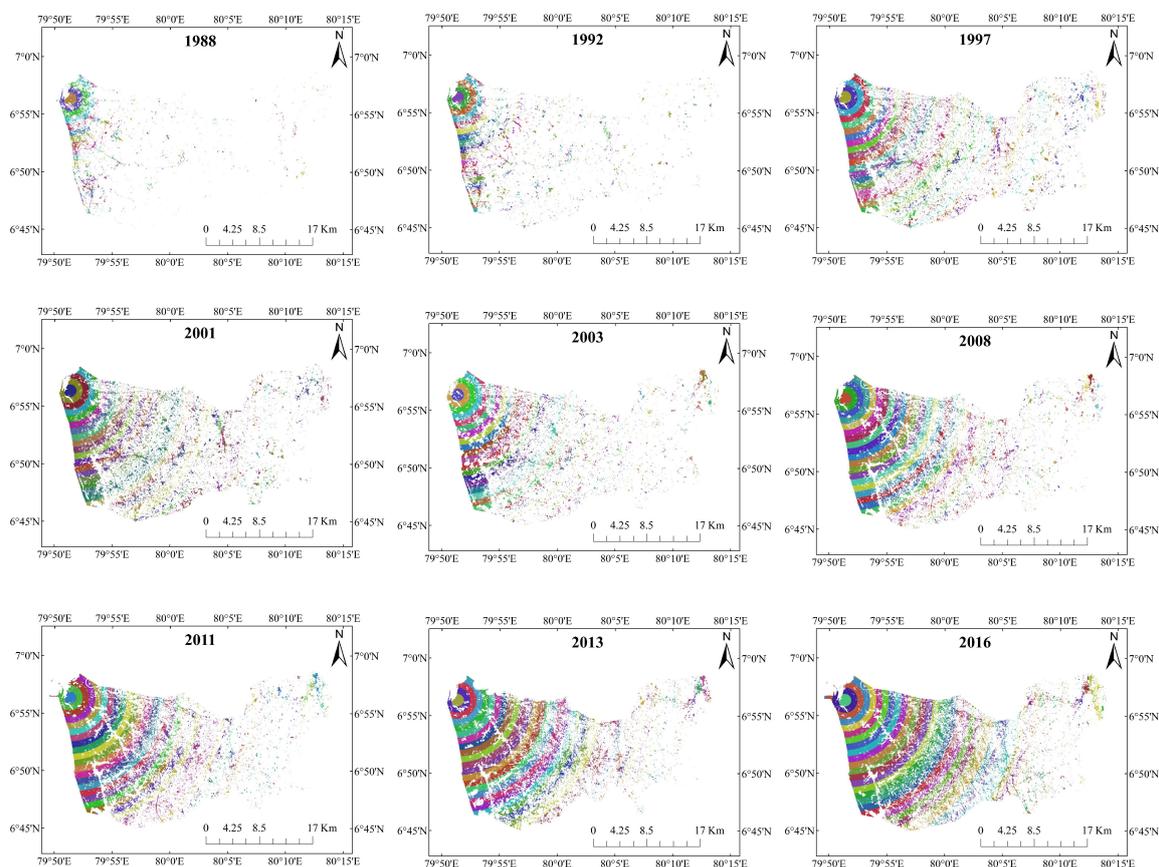
On average, the urban land use temperature increased by 8–9 Kelvin from 1988 to 2016. At the same time the mean temperature of each land cover class increased. This is consistent with the fact that surface warming in urban areas is stronger than the background climate change. This implies that there might be an impact of urbanization in the surface warming.

A fast temperature change occurred along the coast, and a slow warming over the eastern region. However, this result implies that with the increasing size of the urban land use polygon, the surface temperature also increases. The calculation of urban density allowed us to study this relationship beyond land use polygon boundaries. The change of temperature with land cover, both spatially and temporally, was analyzed by calculating urban density by predefined methods.

#### 4.3. Impact of Urbanization on Land Surface Temperature

##### 4.3.1. Multi-Buffer Ring Method

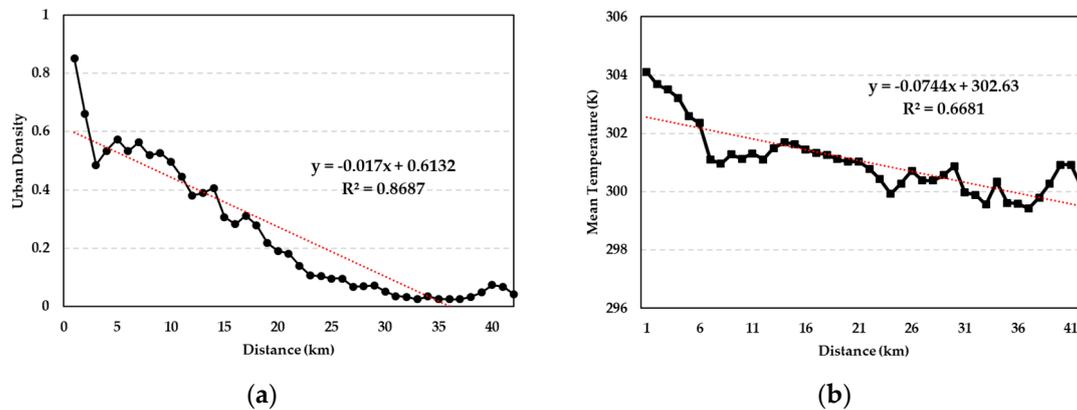
The multi-buffer ring method was used to divide the areas into segments, as shown in Figure 7. Colombo City was selected as the center to create buffers [15,16]. We assume here that the first five buffer zones represent the areas that are within walking distance of the city.



**Figure 7.** Multi-buffer ring analysis of Colombo from 1988 to 2016.

The main purpose of applying the multi-buffer ring method is to obtain the temporal and spatial relationships. To obtain the relationship of urban density change and mean LST in the city center, a trend analysis was applied. The results are graphed in Figure 7, showing that both urban density and mean temperature had decreasing trends from the city center, although the rates were not equal. It is clear that in both diagrams, there are fluctuations in some buffer areas, which can be attributed to the trend of non-radial urban expansion of Colombo. The reason that the changing patterns between urban density and LST are not exactly the same is that urban density does not influence LST directly,

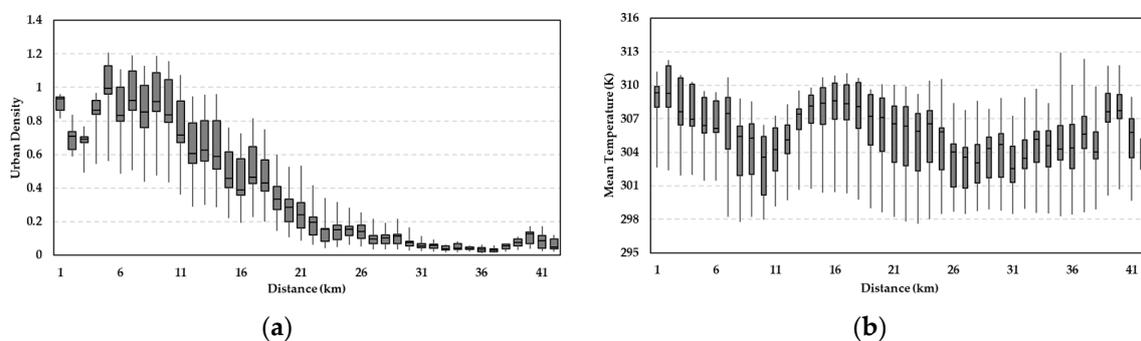
but through changes of land surface emissivity, which in turn change the LST in a non-linear way, as shown in Equation (3). However, the exact relationship between urban density and LST is difficult to validate through in-situ measurement, because the emissivity can be influenced by a number of factors, such as the composition of all land cover classes within every pixel. A qualitative analysis of the relationship between urban density and LST is demonstrated in Figures 8 and 9. A correlation analysis is employed to quantify this complicated relationship in Table 3.



**Figure 8.** Trend analysis of (a) average urban density and (b) average temperature with distance from the city center.

The results are consistent with a previous study [51]. They emphasize that the evolution of a city starts with the core and, when it is growing, diffuses to new urban centers. When the diffusion continues, it starts infilling the gaps, thus switching from diffusion to coalescence [51], which can be clearly seen in Figure 6. The urban growth pattern of Colombo represents an early stage of diffusion [45]. In the early stage of diffusion there are individual urban patches with peak urban density within short distances [51].

Due to this diffusion growth pattern, there are some buffers with low urban density, although these buffers are within walking distance. As an example, ring 3 shows a significantly low urban density value and low temperature value. As discussed before, ring 3 is very close to the urban center and is within walking distance of the city center. Therefore, it is not typical to have significantly low values of both urban density and temperature. To investigate the trend in more detail, we undertook a boxplot analysis.



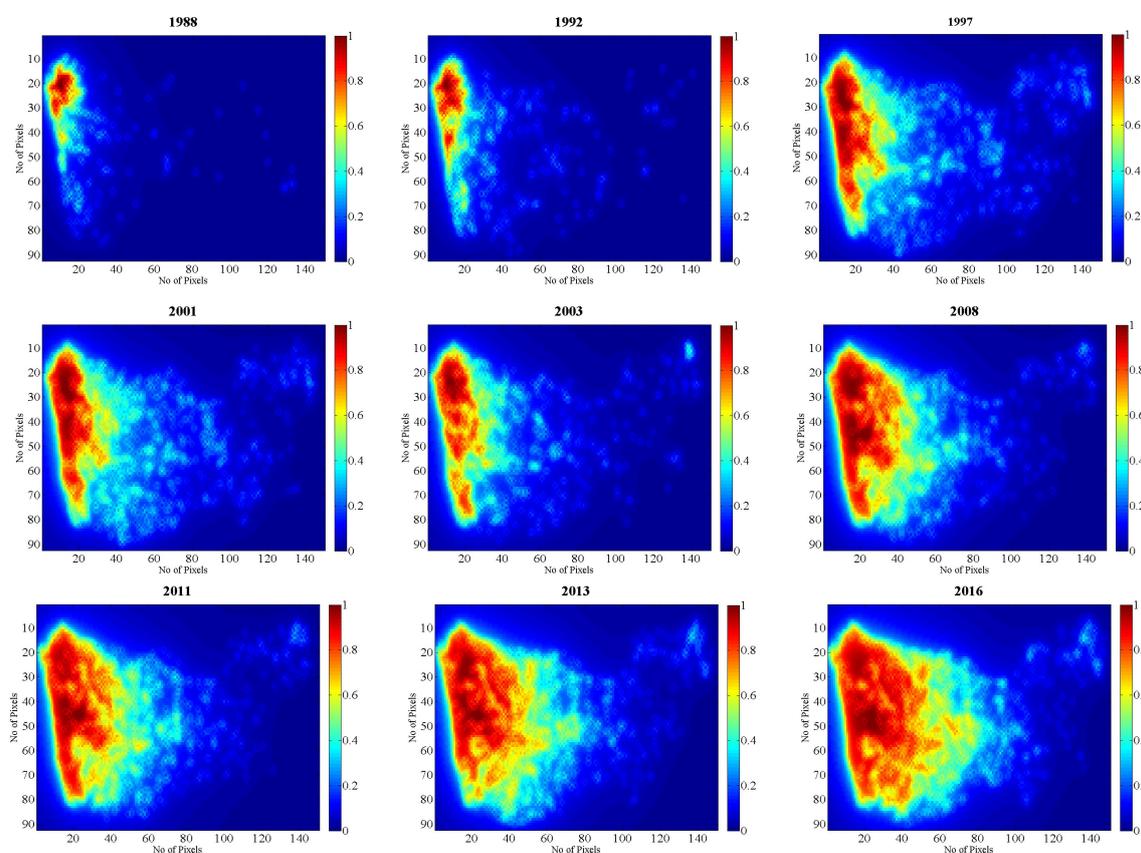
**Figure 9.** Boxplot analysis of (a) urban density range from the city center and (b) temperature variations from the city center.

In the boxplot analysis (Figure 8), we combined both the urban density and LST values with their distance. If the median values of urban density are equal to 0.5, then there are buffer areas with both high and low urban density values. As an example, in ring 3 there are values both higher than 0.5 and

lower than 0.5; overall the urban density is lower. The results can be explained better by the urban growth theory of diffusion, which was discussed earlier. When the city grows with time, a single city center will be replaced by multiple city centers. This means that selecting Colombo as a single city center is not effective when studying a time series. We therefore conducted further analysis using a gravity model.

#### 4.3.2. Gravity Model

We used a gravity model to calculate the urban density based on the idea that urban density is always influenced by surrounding factors (Figure 10). Urban density for each pixel was calculated from its impact from other pixels, following the methods described in Section 3.3.2. The percentage of urbanization of a pixel depends on the amount of the pixel with urban land cover. For better visualization of the density, after calculating the gravity of each pixel as urban density, it was normalized to a scale between 0 and 1.



**Figure 10.** Gravity model of urban density from 1988 to 2016.

The main purpose of applying contouring is to make the map more readable. To summarize the figure, the dark red pixels that represent values between 0.9 and 1, and therefore represent highly urbanized areas, have moved from Colombo to different locations as time has progressed. However, Colombo remains dark, showing that, along with other cities, it is still highly urbanized. From 1988 to 2016 the high urban density area increased significantly.

As urbanization has expanded worldwide, different concepts have been developed to understand it, and the gravity model is one of the concepts used in urban geography. The gravity model is widely used in market analysis [43]. The model provides answers for most problems, like shopping center location, population and migration forecasting, traffic flow analysis, and allocation of land for residential, business, industrial, and other uses. Although in social science this concept has been used

for a long time, its applications are not adequate. It is rare to find it applied to the fields of remote sensing and Geographic Information Systems (GIS). There is an emerging concept called the ‘multicity center’, and this study shows that the gravity model provides a means of understanding this.

#### 4.3.3. Comparison of the Multi-Buffer Ring and Gravity Model

To quantitatively compare the multi-buffer ring and gravity model, correlation coefficients were calculated for the regression analyses between the average urban densities and mean LST values, both of which were derived from the multi-buffer ring and gravity model, respectively (Table 4). The correlation coefficients are positive, and become higher and higher from 1988 to 2016. In 1988, there is a moderate correlation between LST and urban density at 0.468782, but in 2016 it increased to 0.949624, showing high correlation. Having positive correlation indicates that the greater the built up area, the higher the average temperature. This implies that the relationship between the temperature and urban density is becoming stronger through time [47]. The regression analysis reveals that increasing urban density can contribute to the increase of land surface temperature in this study area.

**Table 4.** Correlation coefficients between average urban densities and mean LST derived from the multi-buffer ring method and gravity model.

Year	1988	1992	1994	1997	2001	2003	2008	2011	2013	2016
<b>Multi-buffer Ring</b>	0.4688	0.5307	0.7414	0.7960	0.7961	0.8156	0.8796	0.9258	0.9463	0.9496
<b>Gravity Model</b>	0.2254	0.2941	0.3654	0.4089	0.4698	0.4790	0.4800	0.4931	0.5330	0.5873

For the gravity model, it revealed the same pattern: that LST is increasing with the growth of the city. For 1988, the correlation coefficient is 0.2254, and it is 0.5873 for 2016, as presented in Table 3. As for the multi-buffer ring method, the linear relationship is positive, but the relationship is weaker than in the multi-buffer ring method. From a lower positive correlation, it becomes a moderate correlation by 2016, which is consistent with the study described in [20]. This positive coefficient suggests that temperature increase becomes stronger as the city grows larger. There may be other reasons for the temperature rise, but our results suggest that urbanization is a significant cause. When the urban area increases, the urban population is increasing too, contributing heat discharge through human activities [50,52].

Therefore, it is reasonable to conclude that the larger the urban percentage values are, the greater the temperature values will be. Using the two above methods, it was clear that there was a significant impact on LST from urbanization. This highlights the fact that the increase in urban areas can indeed have a strong influence on local climate; but on the other hand, by mitigating the urban temperature values, it can decrease surface warming.

## 5. Discussion

### 5.1. Comparison between Colombo and Other South Asia Cities

Urban growth is common in many South Asia countries, while their growing process and patterns are different due to different urban planning and administration policies as well as their different urban landscape. Many South Asia cities were studied using satellite images and dramatic urbanization was often reported in these studies [9–11,13,53]. The urbanization amplitude of most major cities, such as Mumbai, Delhi, Hanoi, and Dhaka, was reported to be similar to that in Colombo of this study according to their urban land cover changes [7,9,10]. The relationship between LST and urban land use or land cover types were investigated with similar correlation [9,12,13,54]. However, the usage of satellite images was different in different studies. Studies using satellite images at two or three different dates were the most common [7–10]. All available clear-sky images within several years were conducted in Bangkok and Mumbai [11,12]. Since South Asia is located in a tropical and subtropical region, clouds contamination is a common problem for the application of optical remote sensing for

urban observations, which is also indicated in most existing studies. Therefore, multi-source satellite images, especially the incorporation of microwave radar data, were recommended for future studies in South Asia.

### *5.2. Impacts of the Loss of Vegetation Due to Urbanization*

The vegetation in the Colombo Metropolitan Area was mainly cropland and forest. However, due to the spectral similarity of the two vegetation types, it is difficult to accurately separate them with the 30-m resolution Landsat images. Generally, as demonstrated in Figure 4, the vegetation decreased from over 600 km<sup>2</sup> to near 400 km<sup>2</sup>, with a decrease of over 33%. There are several negative impacts of this remarkable loss of vegetation. First, since these vegetation areas include a large amount of cropland, it is destructive of the local agriculture. Second, the ecosystem is also threatened, due to the significant decrease of both forest and the agricultural ecosystem, due to which the ecological services are weakened. Third, the conversion of this large area of vegetation to an urban area could contribute significantly to the urban heat island effects of the Colombo Metropolitan Area by increasing the LST.

### *5.3. Limitations of the Research*

This study has investigated urbanization and its impacts on land surface temperature, using socio-economic concepts and theories in geography, as well as remote sensing and GIS tools. Although several studies have been conducted by urban planners and researchers in the GIS and remote sensing fields, there is still a lack of collaboration among these communities. The results of regression analysis indicated a significant relationship that becomes stronger through time, indicating that urbanization has had a great impact on the surface warming. The results will be useful to urban planners and building designers, so that they can form proposals to mitigate the temperature rise and improve the health of the city. Although we establish a linear relationship between urbanization and LST, further studies can be conducted to investigate the nonlinear relationships, combined with in situ measurements of temperature and precipitation.

However, there are some limitations evident. One of the limitations is the effect of cloud cover, which affects the classification quality in thermal remote sensing. Landsat thermal bands cannot penetrate thin cloud, which can reduce the accuracy of the temperature results. Since the study area is located on the equator, most optical satellite images contain cloud cover, which is hard to avoid. Although there are several methods for retrieving temperature values, they still need to be improved to reduce the effect of thin cloud and other atmospheric conditions.

## **6. Conclusions**

Several conclusions can be summarized from this research. First, urban land cover maps over the study area are consistent with many concepts in urban geography. One of these concepts is that in developing countries, people are attracted to the city for social and economic opportunities, and this can be seen by the expansion of the urban area of Colombo. Second, the urban growth theory of diffusion is demonstrated in most developing countries. When a city expands, there will be more centers than the original core center—Colombo shows the early stage of this diffusion pattern. Third, Sri Lanka is recognized as being vulnerable to climate change due to its location. Being a small country in the Indian Ocean, the coastal regions especially are becoming highly vulnerable. Colombo, which is a coastal city and urbanizing rapidly, is also becoming susceptible. When the Indian Ocean tsunami occurred in 2004, it caused numerous casualties and extensive infrastructure damage, indicating that the coastal zone is vulnerable to sea level rise in the future. Fourth, the temperature values obtained from the study show a continual increase of temperature. Being a developing country, the data network from meteorological stations is not well established compared to those in developed countries. Thermal remote sensing provides a better option for this, instead of using meteorological data from in situ measurements. Last but not least, the multiple-buffer ring method was used to illustrate and create the spatial domain. The multiple-buffer ring method is known as a simple spatial analysis method in

GIS, but our results show that it can be used effectively for studying complex relationships like those between urban density and temperature. Although the gravity model is widely used in studies of trade marketing and migration patterns, we found no studies where it had been applied to urban studies. Based on our results, both methods can be used effectively, but the choice will depend on the urban expansion pattern of the area. The results provide a scientific reference for policy makers and urban planners working towards a healthy and sustainable Colombo Metropolitan Area.

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