

Communication

# Exergy and CO<sub>2</sub> Analyses as Key Tools for the Evaluation of Bio-Ethanol Production

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Received: 18 November 2015; Accepted: 11 January 2016; Published: 19 January 2016

Academic Editor: Andrew Kusiak

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**Abstract:** The background of bioethanol as an alternative to conventional fuels is analyzed with the aim of examining the efficiency of bioethanol production by first (sugar-based) and second (cellulose-based) generation processes. Energy integration is of paramount importance for a complete recovery of the processes' exergy potential. Based upon literature data and our own findings, exergy analysis is shown to be an important tool in analyzing integrated ethanol production from an efficiency and cost perspective.

**Keywords:** exergy analysis; bio-ethanol; thermodynamic analysis; process integration

## 1. Introduction

Exergy analysis is a thermodynamic analysis technique, based on the first and second law of thermodynamics, providing an alternative means of energetically assessing and comparing processes by providing a measure of how close the actual process approaches the (thermodynamically) ideal situation. Processes associated with low energy efficiencies will result in a sharp decrease in total exergy. Exergy is clearly better-suited to identifying the causes and locations of thermodynamic losses than a traditional energy balance. The application of exergy analysis has only in recent years been recognized by industry and academics, and the number of research papers dedicated to the exergy analysis of specific processes has been increasing steadily. The present paper will specifically focus on the exergy and CO<sub>2</sub> analyses of energy conversion methods to produce ethanol.

The fundamentals of exergy analysis will be summarized and different types of exergetic efficiencies will be defined, being either a simple efficiency (based on irreversibilities when developing energy balances), the exergy ratio (ratio of desired output to the exergy invested in the process), and an efficiency with transiting exergy (modification of simple efficiency through extracting the untransformed exergy components from incoming and outgoing streams).

Numerous papers have been dedicated to exergy analysis in the bioethanol field, as will be discussed. The major trends will be identified. Based on this overview, a preliminary comparison between various systems can already be made.

Exergetic analysis is closely related to a different method of sustainability assessment, *i.e.*, the life cycle assessment (LCA) [1–13]. A combination of both methods is the so-called exergetic life cycle assessment (ELCA) [14–18]. This type of LCA requires closed material and energy balances. The impact assessment is performed by calculating the exergy destruction during the process. The sum of all destructions leads to the total life cycle irreversibility. The ELCA accounts for all environmental problems, and not only the depletion of natural resources.

The paper will finally conclude by highlighting the most important identified observations, together with an identification of the current shortcomings and the specific topics where further research is recommended.

## 2. Bioethanol: A Promising Biofuel

Within first (sugar-based), second (lignocellulosic-based) and third (algae-based) generation processes of bio-ethanol [19,20], only the first and second generations are today considered at the industrial and pilot scales, respectively. The traditional feedstocks used for first generation ethanol production are corn, wheat, cassava, sweet sorghum, molasses and sugarcane [21].

The feedstocks for the second generation are based on non-food, cheap and abundant plant waste biomass (agricultural and forest residue, grass, *etc.*) [22]. In this case, all parts of the plant such as leaves, bark, fruits, and seeds can be transformed into useful products.

Lignocellulosic biomass is the most abundant on earth [21,23]. Consequently, lignocellulosic biomass offers significant advantages over the first generation feedstock for ethanol production (e.g., do not compete with agricultural land needed for food and feed production, lower costs are involved, better engine performance is achieved, and new markets for the agricultural sector are stimulated) [22]. As can be seen in Table 1, the major fraction of such biomass materials is represented by cellulose, followed by hemicellulose and lignin [24,25].

**Table 1.** The contents of cellulose, hemicellulose, and lignin in common lignocellulosic biomass [24,25].

	Cellulose %	Hemicellulose %	Lignin %	Others %
Agricultural residues	38	32	17	13
Hardwoods	~50	~5	~22	~5 (1)
MSW (Municipal Solid Waste)	45	9	10	36 (2)
Waste papers from chemical pulps	60–70	10–20	5–10	-
Herbaceous biomass	45	30	15	10

(1): including extractives ~5%; (2): including ash 15%, other carbohydrates 9%, protein 3%, others 9%.

First and second generation bio-ethanol productions follow the same flowsheet, with extra steps involved for lignocellulosic feedstock. This is illustrated in Figure 1 [26], and discussed below.

Ensuring a complete conversion can lead to a substantial increase of the ethanol yield [27]. Moreover, pretreatment methods represent a crucial step in the production of biofuel, since separating the major components of biomass (cellulose, hemicellulose and lignin) improves the digestibility of lignocellulosic material [28]. Pre-treatment involves delignification of the feedstock [24] in order to make cellulose more accessible in the hydrolysis step, using physical, physico-chemical, chemical and biological treatment. Sulphuric acid or carbon dioxide are often added in order to reduce the production of inhibitors and improve the solubilization of hemicellulose [29]. Hemicellulose is largely hydrolyzed, releasing different simple sugars but also other compounds of the cellulosic matrix that can, however, inhibit the enzymatic hydrolysis and fermentation. Pre-treatment is a costly separation, accounting for approximately 33% of the total cost [30]: the economy needs to be improved, and the release of microbial and chemical contamination that possibly reduces the overall yield needs further attention.

The conversion reaction for hexoses (C6) and pentoses (C5) is as follows:



The overall theoretical ethanol yield (at 20°C) hence becomes 0.719 and 0.736 liter per kg of glucan (or/and other 6C structures) and xylan (or/and other 5C structures), respectively. *S. cerevisiae*, the yeast commonly used for first generation ethanol production, cannot metabolize xylose. Other yeasts

and bacteria are under investigation to ferment xylose and other pentoses into ethanol. Additional research tried to find microorganisms that can effectively ferment both types of sugars into ethanol with *Escherichia coli*, *Klebsiella oxytoca* and *Zymomonas mobilis* as promising candidates [31,32].

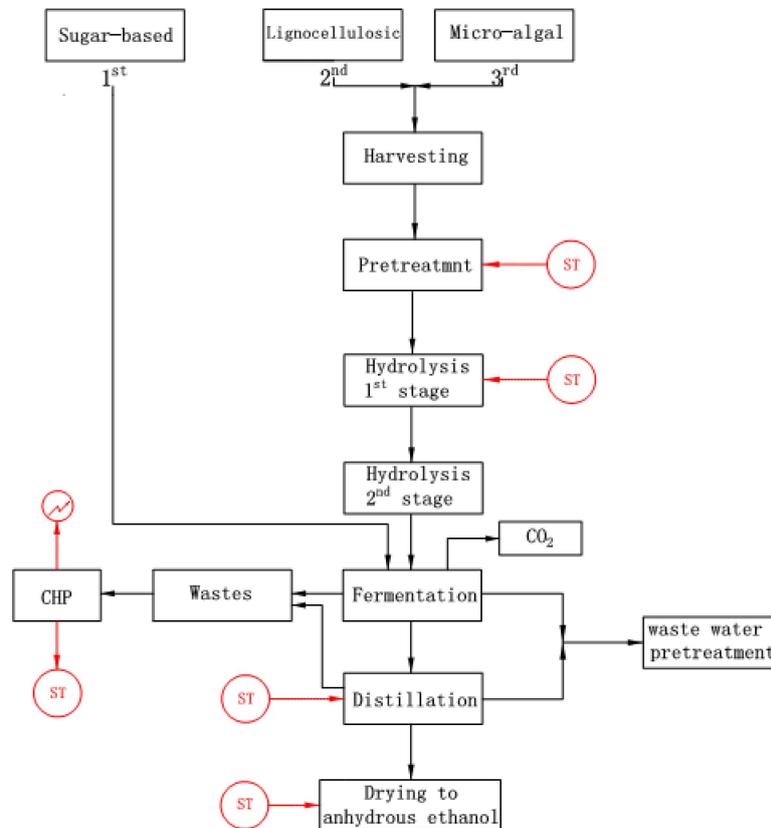


Figure 1. Bio-ethanol production and potential membrane applications (ST: steam).

Process integration can be viewed as a key for reducing costs in the ethanol industry. Furthermore, the poly-generation that yields fuel, heat, and electricity can more effectively reduce the amount of waste produced in the process, minimize wasted heat, and increase the ethanol concentration in the feed to the distillation or otherwise minimize unwanted products [33].

Exergy analysis is viewed as a primary tool to analyze the ethanol production process from an integrated point of view [34,35]: it will identify the energy and exergy losses within the system, which decrease the system performance, and determine the most efficient process to convert biological matter to an energy carrier [33].

### 3. Exergy-Based Performance Analysis

Exergy is defined as “the maximum (theoretical) work that can be extracted from a mass or energy stream when it flows from a given thermodynamic state to one in chemical, mechanical and thermal equilibrium with the environment in a reversible way, interacting only with the components in the environment” [35] or in other words: “exergy is the maximum work that can be produced when a heat or material stream is brought to equilibrium in relation to a reference environment” [36]. The main objectives of an exergy analysis are [36,37]: (1) to identify the location, the source, and the magnitude of true thermodynamic inefficiencies in a given process; (2) to quantify the exergy losses in each process step; (3) to compare different process configurations.

A comprehensive literature review on exergy studies (>2005) is presented in Table 2.

**Table 2.** Recent literature about exergy analysis of bio-ethanol production (>2005).

Reference	Topics
[38]	Thermodynamic analysis and evaluation of bioethanol manufacture
[39]	Environmental, economic, and exergetic costs and benefits of biodiesel and ethanol biofuels
[40]	Exergetic analysis of biofuels production
[41]	Life-cycle analysis and the ecology of biofuels
[42]	Exergy analysis of enzymatic hydrolysis reactors for transformation of lignocellulosic biomass to bioethanol
[43]	The GHG (Greenhouse Gas) emissions of cellulosic ethanol supply chains in Europe
[35]	Exergy and renewability analysis of the ethanol production from banana fruit and its lignocellulosic residues
[36]	Energy and exergy analysis of an ethanol-fueled solid oxide fuel cell power plant
[44]	Second-generation bio-ethanol (SGB) from Malaysian palm empty fruit bunch: Energy and exergy analysis
[45]	Comparative exergy analysis of NREL (National Renewable Energy Laboratory) thermochemical biomass-to-ethanol conversion process designs
[46]	Improving bioethanol production from sugarcane: Evaluation of distillation, thermal integration and cogeneration systems
[47]	Exergy analysis and process integration of bioethanol production from acid pre-treated biomass: SHF (Saccharification hydrolysis and fermentation), SSF (Simultaneous saccharification and fermentation) and SSCF (Simultaneous saccharification and cofermentation) pathways
[48]	Sustainable ethanol production from lignocellulosic biomass-Application of exergy analysis
[49]	Thermodynamic analysis of lignocellulosic biofuel production via a biochemical process: technology selection and research focus
[33]	Comparison of combined ethanol and biogas polygeneration facilities using exergy analysis
[50]	Land-use change and GHG emissions from corn and cellulosic ethanol
[51]	Possibilities for sustainable biorefineries based on agricultural residues-potential straw-based ethanol production in Sweden
[52]	Energy and exergy analysis of the combined production process of sugar and ethanol from sugarcane
[53]	Comparing life cycle assessments of different biofuel options
[54]	Thermodynamic assessment of lignocellulosic pretreatment methods for bioethanol production via exergy analysis
[55]	Thermodynamic evaluation of biomass-to-biofuels production systems
[56]	Exergy analysis of pretreatment processes of bioethanol production based on sugarcane bagasse
[57]	Energy and exergy analysis of ethanol reforming process
[58]	Pinch and exergy analysis of lignocellulosic ethanol
[59]	An energy-, exergy-, and energy-based thermodynamic system analysis of bioethanol
[60]	Life cycle analysis of biofuels under different environmental aspects

Based upon this literature survey, the following conclusions can be drawn: (1) Most of the studies focus on specific parts of the process, e.g., water reduction and recycling, integrated energy supply using stillage and/or residual biomass, the use of co-generation systems (CHP (Combined

heat and power), gasification, Rankine cycle); (2) Due to the energy-intensive nature of lignocellulosic ethanol production, different studies investigate improvements of the efficiency of ethanol production; (3) The production facilities (e.g., power, heat, lignocellulosic ethanol, and syngas (SNG)) are often integrated in poly-generation systems [61]; (4) The exergy analysis is usually applied to assess the efficiency of an integrated system; (5) Exergy analysis has been performed mainly for thermochemical pathways while fewer studies involve applications of exergy analysis to biochemical pathways [47,62]; (6) Understanding the complex structure of lignocellulose represents an important key to design a sustainable pretreatment process for the production of bioethanol [28].

#### 4. Environmental Benefits

To be a sustainable alternative for fossil fuels, a biofuel should be economically viable, have environmental benefits by assuring energy security, reduce greenhouse emissions, and not compete with land use, food supplies, water consumption and biodiversity [39]. The interest for second generation biofuels gained a special focus in recent years [51,63].

A number of studies have focused on environmental impacts of various biofuels, but such studies are still rare for second generation biofuels at a commercial scale [51]. Based on a review of recent articles on biofuel LCA, Kendall and Yuan (2013) [53] concluded that LCAs of biofuels exhibit great variability and uncertainty, leading to inconclusive results for the performance of particular pathways. This is mainly caused by different processing and pretreatment strategies required for each raw material that has a different composition and may require different pretreatment methods [28].

Essential conclusions emerging from the literature are important: (1) Although concerns about first generation raw materials have drawn international attention due to the associated impacts, the majority of biofuels are still produced from food crops; (2) Although numerous studies address the conversion of cellulosic biomass to ethanol, the high costs involved, particularly at large-scale production, currently prohibit commercialization of such processes [28]; (3) Reports on environmental and energy benefits of biofuels are controversial and exhibit great variability and uncertainty [28,41]; (4) LCA studies attributed to biofuels have some limitations: production systems are inherently complex, different and subjective methods are used to quantify savings, the empirical data are limited, and impacts of, e.g., land use and topography should also be taken into account.

As solutions for some problems mentioned above, different authors suggested: (1) integration of the production of first and second generation ethanol as a more successful strategy [51]; (2) co-locating ethanol production, electricity generation and enzyme production in a single facility [43]; (3) the application of LCA in research and development for future fuel pathways [64]; (4) technological innovations on effective and low-cost enzymes, feedstocks and pretreatment methods [28,51].

#### 5. The Exergy-Efficiency of the Process

Brazil produces ethanol from domestically grown sugar cane. The US feed stock is mostly corn and wheat [65]. In developing economies, sweet sorghum or cassava is preferably used as raw materials. The world's first sweet sorghum-based ethanol production distillery began commercial ethanol production in 2007 in Andhra Pradesh, India [66]. Sorghum is now also an important feedstock for bio-ethanol production in the US Great Plains states. In France and Italy, grapes have become a feedstock for fuel ethanol from wine surplus. In Japan, it has been proposed to use rice, normally converted into sake. The world's first large-scale cassava ethanol plant was built in Guangxi (China) by COFCO in 2007 [67,68]. The Canadian company Iogen started the first cellulose-based ethanol plant in 2004 [69]. Development of this technology could deal with a number of cellulose-containing agricultural byproducts, such as, e.g., straw, wood trimmings, sawdust, bamboo and others.

One of the key parameters that can be evaluated in an exergy analysis is the overall thermodynamic efficiency. The thermodynamic efficiency of a system is defined as:

$$\eta = \frac{\text{Exergy of useful products}}{\text{Input Exergy}} \quad (1)$$

In the case of lignocellulosic ethanol, the overall efficiency of ethanol production via the biochemical process can be written as:

$$\eta = \frac{E_{X,et} + P_{net} + E_{X,res}}{E_{X,bm} + \sum E_{X,ch} + E_{X,LT}} \quad (2)$$

Here,  $E_{X,bm}$  is the input chemical exergy of biomass,  $\sum E_{X,ch}$  is the sum of the chemical exergies of all inputs to the process,  $E_{X,LT}$  is the exergy of a potential low temperature heat source supplied to the system,  $E_{X,et}$  is the chemical exergy of ethanol,  $E_{X,res}$  is the exergy of the lignin-enriched residue and  $P_{net}$  is the net electricity produced by the system.

Exergy analysis can also be used to evaluate thermodynamic losses in each unit process of the system. The exergy balance applied to the system boundary of a unit operation of a process gives

$$\sum_{in} E_X = \sum_{out} E_{X,prd} + \sum_{out} E_{X,wstprd} + I \quad (3)$$

where  $\sum_{in} E_X$  is the total input exergy flow,  $\sum_{out} E_{X,prd}$  is the total output exergy flow in the products,  $\sum_{out} E_{X,wstprd}$  is the total output exergy flow in the waste products from the unit processes and  $I$  is the exergy destruction due to internal irreversibility. The last two terms in the exergy balance represent the total exergy loss associated with the unit process. For an irreversible process,  $I \neq 0$  and Equation (3) express the fundamental property that, unlike energy, exergy is not conserved.

Applied to the widely studied test case of corn-based bioethanol, the exergy and CO<sub>2</sub> emissions are illustrated in Tables 3 and 4. The corn production aspect includes seeds, fertilizers, herbicides, insecticides and irrigation. Corn transportation involves fuel use only. The ethanol conversion includes all process steps from biomass delivery to anhydrous ethanol. The ethanol distribution to further processing again includes fuel only. Co-products involve processing steps that generate process waste-based energy. The efficiency  $\eta$  is calculated as the ratio of the lower heating value (LHV) of ethanol and the non-renewable exergy input.

**Table 3.** The main four stages in ethanol production (in kJ/L).

	Production	Transportation	Ethanol Conversion	Ethanol Distribution	Total Non-Renewable
Corn-1	5260	596	13,100 −7320 (Co-products)	414	12,080
Corn-2	10,500	1350	15,700 −1860 (Co-products)	1380	27,140

With the LHV of ethanol as 21,200 kJ/L, the efficiency is 0.78 in the case of the Pimentel and Patzek (Corn-2) [70] assumptions, but 1.75 when using the Shapouri *et al.* (Corn-1) [64] values. The predictions by Shapouri *et al.* are far more optimistic than the predictions by Pimentel and Patzek [70], hence stressing the wide variability in basic data for exergy analysis.

Table 4 expresses the calculated CO<sub>2</sub> values for each of the process steps, from corn cultivation to final product distribution.

**Table 4.** Net CO<sub>2</sub> emissions calculation results (g/MJ ethanol).

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	Total
Equivalent CO <sub>2</sub> emissions	0.3	0.5	0.6	0.8	0.8	0.9	1	1.2	1.3	2	3	3.5	4	5.2	6.2	18	29.5	43.5	~120

1: P<sub>2</sub>O<sub>5</sub>; 2: KCl; 3: NG (Natural Gas); 4: Herbicides; 5: Transportation; 6: Electricity; 7: Gasoline; 8: Custom work; 9: LPG (Liquefied Petroleum Gas); 10: Seeds; 11: Diesel; 12: CaO; 13: Wastewater BOD (Biochemical Oxygen Demand); 14: Ethanol Plant Transport; 15: Machinery; 16: Nitrogen as Ammonia; 17: Humus Oxid. 18: Ethanol Plant Fuel.

Comparing the values with the CO<sub>2</sub> emissions of common fossil fuels (Table 5), it is clear that the production of bio-ethanol from corn cannot be considered as CO<sub>2</sub>-advantageous.

**Table 5.** CO<sub>2</sub> emissions compared to other fuels (g/MJ in Fuel).

	NRR (Negative Regulator of Resistance) in Ethanol Corn Cycle	Diesel Fuel	Gasoline Fuel	Methane Fuel
Equivalent CO <sub>2</sub> emissions	Fossil Fuels	82	78	58
	Corn Farming	68		
	Ethanol Plant	40		
	BOD Treatment	12		
	Total	120	82	78

This picture is positively affected if other raw materials are considered, e.g., cassava. Corn has a starch content of 66% only, whereas cassava contains 90% starch [71,72]. Since this starch is the real reaction component in the transformation to glucose and ethanol, conversion efficiencies are significantly higher, thus also reducing energy needs (and CO<sub>2</sub> burden) in the ethanol plant fuel part of the analysis. Cassava, moreover, needs less cultivation aids and irrigation, thus reducing the production inputs. As a result,  $\eta$  considerably exceeds the corn value whereas the total CO<sub>2</sub> balance is reduced by  $\geq 50$  g/MJ ethanol. Cassava-based bio-ethanol hence meets objectives of CO<sub>2</sub> competitiveness and exergy efficiency.

For cassava, items 1 to 4, and 12, 16, and 17 of Table 4 are negligible. Whereas other items remain about the same, except for item 18 where heat recovery is the distillation, the use of Very High Fermentation and the use of hydrophilic membranes to prepare anhydrous ethanol reduce the fuel consumption and equivalent CO<sub>2</sub> emissions to 50% of the corn-based example [26,73]. The CO<sub>2</sub> emissions decrease to about 70 g/MJ ethanol, a reduction of 42%. For woody biomass, the situation is comparable with cassava, although the machinery and ethanol plant fuel will increase by 30%–40%. For lignocellulosic bio-ethanol, with its low conversion efficiency and widely variable raw materials, insufficient data are currently available to establish reliable exergy and CO<sub>2</sub> balances. This will, however, soon change when pilot plant results of NREL (Golden, CO, USA), Iogen Corporation (Ottawa, ON, Canada) and ETEK (Viksjo, Sweden) are made available.

Cassava-based bioethanol is certainly approaching the current fossil fuel findings. The intensive pre-treatment required for sugarcane will negatively reflect in the exergy and CO<sub>2</sub> efficiency.

## 6. Conclusions

The corn-based ethanol production has doubtful positive exergy results, and a negative CO<sub>2</sub> balance. Improvements largely depend upon agricultural needs, upon the content of starch or glucose, and upon the energy integration of the system. Corn, with its 66% starch content, scores less than, e.g., cassava (90% starch), and cassava is also less demanding towards agricultural needs. This reflects in the cassava-based efficiency,  $\eta$ , which is largely in excess of 2, and a CO<sub>2</sub> emission balance equivalent to the combustion of traditional fuels. Lignocellulosic-based ethanol requires additional data prior to establishing a reliable exergy and CO<sub>2</sub> balance.

**Acknowledgments:** This work was supported in part by the National Basic Research Program of China (973 program) (2013CB733600, 2012CB725200), the National Nature Science Foundation of China (21436002, 21390202), the National High-Tech R&D Program of China (863 Program) (2012AA021404, 2014AA021903, 2014AA021904), the Key Projects in the National Science & Technology Pillar Program during the 12th Five-year Plan Period (2011BAD22B04).

**Author Contributions:** Both authors contributed equally to the research.

**Conflicts of Interest:** The authors declare no conflict of interest.

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