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Solvability of an Optimization Problem for the Unsteady Plane Flow of a Non-Newtonian Fluid with Memory

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Abstract: This paper deals with an optimization problem for a nonlinear integro-differential system that describes the unsteady plane motion of an incompressible viscoelastic fluid of Jeffreys–Oldroyd type within a fixed bounded region subject to the no-slip boundary condition. Control parameters are included in the initial condition. The objective of control is to match the velocity field at the final time with a prescribed target field. The control model under consideration is interpreted as a continuous evolution system in an infinite-dimensional Hilbert space. The existence of at least one optimal control is proved under inclusion-type constraints for admissible controls.

Keywords: optimization problem; integro-differential system; control operator; existence theorem; non-Newtonian fluid; Jeffreys–Oldroyd viscoelastic fluid; plane flow

1. Introduction

It is well known that flow control problems play an important role in the research field of fluid mechanics [1–4]. In particular, the study of control and optimization problems for models of non-Newtonian fluids is a very interesting topic because of their extensive applications in technology and industries. Related mathematical questions attract the attention of many fluid dynamics researchers.

square duct under an external magnetic field applying the flow index and the Hartmann number. Baranovskii [21] investigated a boundary control problem for the non-isothermal flow of a low-concentrated aqueous polymer solution moving within a fixed bounded region of three-dimensional space $\mathbb{R}^3$. Dong and Liu [22] proposed a multi-objective topology optimization method for convective heat transfer problems in a microchannel by using the improved Cross model.

The literature survey indicates that interest in flow control problems for non-Newtonian fluids has grown during the last few years. Despite the large number of works in this subject area, the important case of the initial control (when control parameters are included in the initial conditions) has not yet been studied. Most of the theoretical results obtained for time-independent flows. Keeping this fact in mind, in this paper, we study an optimal initial control problem for the system of equations governing the unsteady flow of an incompressible viscoelastic fluid of Jeffreys–Oldroyd type [23–25] in a cylinder $Q_T = \mathcal{O} \times (0, T)$:

\[
\text{Re}(\partial_t \vec{y} + y_1 \partial_{x_1} \vec{y} + y_2 \partial_{x_2} \vec{y}) - (1 - a)\Delta \vec{y} - \text{div } \mathbb{E} + \text{grad } \pi = 0, \quad \forall (x_1, x_2, t) \in Q_T, \quad (1)
\]

\[
\text{div } \vec{y} = 0, \quad \forall (x_1, x_2, t) \in Q_T, \quad (2)
\]

\[
\mathbb{E}(x_1, x_2, t) = \frac{2a}{\text{Wi}} \int_0^t \exp \left( \frac{s - t}{\text{Wi}} \right) \mathbb{D}(\vec{y}(x_1, x_2, s)) \, ds, \quad \forall (x_1, x_2, t) \in Q_T, \quad (3)
\]

\[
\vec{y} = \vec{0}, \quad \forall (x_1, x_2, t) \in \partial \mathcal{O} \times (0, T), \quad (4)
\]

\[
\|\vec{y}\|_{t=0} = \vec{u} \in U_{ad}, \quad (5)
\]

Minimize $\|\|\|\vec{y}\|_{t=T} - \vec{b}\|_{L^2}$ subject to (1)–(5), (6)

where $\mathcal{O} \subset \mathbb{R}^2$ is the flow region; $(x_1, x_2)$ is a point of $\mathcal{O}$; $t$ is the time; $T$ denotes the final moment of time; $\partial_t$, $\partial_{x_1}$ and $\partial_{x_2}$ are the partial derivatives with respect to $t$, $x_1$ and $x_2$; $\partial \mathcal{O}$ stands for the boundary of the region $\mathcal{O}$; $\vec{y} = (y_1(x_1, x_2, t), y_2(x_1, x_2, t))$ is the velocity field; $\mathbb{E} = (\mathbb{E}_{ij}(x_1, x_2, t))_{i,j=1}^2$ is the "elastic part" of the stress tensor ($\mathbb{E}$ is symmetric—that is, $\mathbb{E}_{12} = \mathbb{E}_{21}$); $\pi = \pi(x_1, x_2, t)$ is the pressure function, which includes the potential of body forces; the operators "grad", "div" and $\Delta$ denote, respectively, the gradient, the divergence and the Laplacian with respect to the space variables $x_1$ and $x_2$; $\mathbb{D}(\vec{y})$ is the symmetric part of the velocity gradient—that is, $\mathbb{D}(\vec{y}) = \frac{1}{2} (\text{grad } \vec{y} + (\text{grad } \vec{y})^\top)$; $\text{grad } \vec{y} = (\partial_{x_1} y_1)^{2}_{i,j=1}$; $\text{Re}$ is the Reynolds number (Re > 0); $\text{Wi}$ is the Weissenberg number (Wi > 0); $a$ is the coupling parameter ($0 < a < 1$); $\vec{u} = (u_1(x_1, x_2), u_2(x_1, x_2))$ is a control function; $\vec{b} = (b_1(x_1, x_2), b_2(x_1, x_2))$ is some desired velocity field; and $U_{ad}$ denotes the set of admissible controls.

Equation (1) is the balance of linear momentum (Newton’s law) in the cylinder $Q_T$, and (2) represents the conservation of mass equation (the incompressibility condition). The presence of relation (3) in system (1)–(5) means that the memory on the stresses is taken into account. Note that the use of the exponential memory kernel is typical for Jeffreys–Oldroyd viscoelasticity models (see, e.g., [26,27]). The coupled Equations (1)–(3) describe mediums such as polymer solutions, concrete, bitumens and the earth’s crust. The degenerate cases $a = 0$ and $a = 1$ correspond, respectively, to the classical Navier–Stokes equations (Newtonian fluid) and the Maxwell model. More detailed discussions of the physical background of non-Newtonian fluid models with memory can be found in the survey article by Saut [28].

For the sake of simplicity, we prescribe the standard no-slip condition (4) at impermeable solid walls of the vessel $\mathcal{O}$. However, the proposed approach can also be applied for viscoelastic fluid systems with other physically-relevant boundary conditions, such as the Navier slip [29] and the threshold-slip scenario [30].

The main goal of the present paper is to establish the solvability of optimization problem (6). The structure of this paper is as follows. In the next section, we describe some notation, function spaces and lemmas used in this paper. In Section 3, we introduce
the definition of admissible triplets ("control–velocity–stress") and study their properties, which we will need further on. Section 4 is devoted to a rigorous formulation of problem (6) by using the appropriate cost functional and the velocity control operator. There we also formulate and prove our main result—Theorem 1—on the existence of optimal controls for integro-differential system (1)–(5).

2. Preliminaries: Notation, Function Spaces and Auxiliary Statements

For the reader’s convenience, mostly standard notation is used.

The symbol $\triangleq$ is used as “define the thing on the left as the thing on the right”.

The symbols $\{C_k\}_{k \in \mathbb{N}}$ denote positive constants that depend only on the data of integro-differential system (1)–(5).

Let $X$ and $Y$ be Banach spaces, and let $\mathcal{A} : D \subset X \to Y$ be an operator. By $\Gamma(\mathcal{A})$ denote the graph of $\mathcal{A}$; that is,

$$\Gamma(\mathcal{A}) \triangleq \{(v, \mathcal{A}(v)) : v \in D\} \subset X \times Y.$$  

The dual space of $X$ is denoted by $X^*$. We shall denote the value of a functional $\ell \in X^*$ on an element $\phi \in X$ by $\langle \ell, \phi \rangle_{X^* \times X}$ (so-called the “bra–ket” notation).

Let $\Omega$ be a bounded region in $\mathbb{R}^2$. By $L_p(\Omega)$, $1 \leq p < +\infty$, denote the Lebesgue space with the norm $\| \cdot \|_{L_p}$. By $W^k_q(\Omega)$, $k \in \mathbb{N}$, $1 \leq q < +\infty$, denote the Sobolev space with the norm $\| \cdot \|_{W^k_q}$. More often, we will deal with the corresponding spaces of vector functions, for which we use the notation $L_p(\Omega, \mathbb{R}^n)$ and $W^k_q(\Omega, \mathbb{R}^n)$; that is,

$$L_p(\Omega, \mathbb{R}^n) \triangleq \underbrace{L_p(\Omega) \times \cdots \times L_p(\Omega)}_{n \text{ spaces}},$$

$$W^k_q(\Omega, \mathbb{R}^n) \triangleq \underbrace{W^k_q(\Omega) \times \cdots \times W^k_q(\Omega)}_{n \text{ spaces}}.$$  

Definitions and descriptions of properties of these spaces can be found in [31,32].

By parentheses $(\circ, \circ)$ denote the scalar product in the space $L_2(\Omega, \mathbb{R}^n)$; that is,

$$(\vec{f}, \vec{g}) \triangleq \iint_{\Omega} \vec{f}(x_1, x_2) \cdot \vec{g}(x_1, x_2) \, dx_1 \, dx_2 = \sum_{i=1}^n \iint_{\Omega} f_i(x_1, x_2) g_i(x_1, x_2) \, dx_1 \, dx_2,$$

for any $\vec{f}, \vec{g} \in L_2(\Omega, \mathbb{R}^n)$.

By definition, put

$$D((0, T)) \triangleq \{\eta \in C^\infty(0, T) : \text{supp } \eta \subset (0, T)\},$$

$$\tilde{D}(\Omega) \triangleq \{\phi \in C^\infty(\Omega) : \text{supp } \phi \subset \Omega\}.$$  

Let $H^1_0(\Omega)$ be the closure of the set $D(\Omega)$ in the Sobolev space $W^2_2(\Omega)$.

Lemma 1. If $w \in H^1_0(\Omega)$, then

$$\|w\|_{L_4} \leq \sqrt[4]{2} \sqrt{\|w\|_{L_2} \|\text{grad } w\|_{L_2}}.$$  

(7)

The last inequality is usually called Ladyzhenskaya’s inequality (for the proof, see [33], Chapter III, §3).
Following [34], we introduce three spaces of functions, which will be widely used in the study of the problem under consideration:

\[ V \triangleq \{ \phi = (\phi_1, \phi_2) \in C^\infty(\mathcal{O}, \mathbb{R}^2) : \text{div} \phi = 0 \text{ and } \text{supp} \phi \subset \mathcal{O} \}; \]

\( H \) is the closure of the set \( V \) in the Lebesgue space \( L_2(\mathcal{O}, \mathbb{R}^2) \);

\( V \) is the closure of the set \( V \) in the Sobolev space \( W^1_2(\mathcal{O}, \mathbb{R}^2) \).

We define the scalar product and the associated norm in the space \( V \) as follows:

\[ (\phi, \psi)_V \triangleq (\text{grad} \phi, \text{grad} \psi), \quad \| \phi \|_V \triangleq \sqrt{(\phi, \phi)_V}. \]

From Friedrichs’ inequality, it follows that the norm \( \| \circ \|_V \) is equivalent to \( \| \circ \|_{W^1_2} \).

By using the Riesz representation theorem, one may identify \( C \) with the dual space, that is, \( H' \simeq H \). Thus, we have the chain of inclusions: \( V \hookrightarrow H \simeq H' \hookrightarrow V^* \), where the symbol \( \hookrightarrow \) denotes a continuous dense embedding.

Recall that the embedding \( W^1_2(\mathcal{O}) \hookrightarrow L_2(\mathcal{O}) \) is compact (see, e.g., [33], Chapter 2, § 1). This yields the following statement.

**Lemma 2.** The embedding \( V \hookrightarrow H \) is compact.

By \( M^{n \times n}_{\text{sym}} \) denote the space of symmetric matrices of dimension \( n \times n \).

Let \( C([0, T]; X) \) be the space of continuous functions from \([0, T]\) into \( X \), and let \( L_q(0, T; X) \) be the space of \( L_q \)-integrable functions from \([0, T]\) into \( X \).

Finally, let us formulate one auxiliary result needed for what follows.

**Lemma 3.** Let \( X \) and \( Y \) be Hilbert spaces such that \( Y \hookrightarrow X \simeq X^* \hookrightarrow Y^* \). Suppose that \( \sigma \in L_2(0, T; Y), \quad \sigma' \in L_2(0, T; Y^*) \).

Then, the function \( \sigma \) is almost everywhere equal to a continuous function from \([0, T]\) into \( X \), and we have the following equality, which holds in the scalar distribution sense on \((0, T)\):

\[ \frac{d}{dt} \| \sigma(t) \|^2_X = 2(\sigma'(t), \sigma(t))_{Y^* \times Y}. \]

The proof of this lemma is given in [33], Chapter III, § 1.4.

### 3. Admissible Triplets of Integro-Differential System (1)–(5) and Their Properties

Assume the following conditions hold:

(i) The flow region \( \mathcal{O} \) is bounded in \( \mathbb{R}^2 \) and the boundary \( \partial \mathcal{O} \) is of the class \( C^{0,1} \);

(ii) The target function \( \bar{b} \) belongs to the space \( H \);

(iii) The admissible controls set \( \mathcal{U}_{\text{ad}} \) is convex, closed and bounded in the space \( V \).

**Example 1.** Consider an example of the admissible controls set that satisfies condition (iii):

\[ \mathcal{U}_{\text{ad}} \triangleq \left\{ \bar{u} \in V : \int_{\mathcal{O}} |D(\bar{u}(x_1, x_2))|^2 \, dx_1 \, dx_2 \leq r^2 \right\}, \]

where \( r \) is a given number.

Let \( \bar{u} : \mathcal{O} \to \mathbb{R}^2, \bar{y} : \mathcal{O} \times [0, T] \to \mathbb{R}^2, \) and \( E : \mathcal{O} \times [0, T] \to M^{2 \times 2}_{\text{sym}} \).

**Definition 1.** The triplet \((\bar{u}, \bar{y}, E)\) is called an admissible triplet of integro-differential system (1)–(5) if

\[(\bar{u}, \bar{y}, E) \in \mathcal{U}_{\text{ad}} \times [L_2(0, T; V) \cap C([0, T]; H)] \times C([0, T]; L_2(\mathcal{O}, M^{2 \times 2}_{\text{sym}})), \]
Therefore, it remains to check that
\[
\langle \mathcal{E}, \mathcal{D}(\vec{z}) \rangle = 0, \quad (10)
\]
hold in the scalar distribution sense on \((0, T)\), for any test functions \(\vec{z} \in V\) and \(\mathcal{F} \in L_2(\mathcal{O}, \mathbb{M}^{2 \times 2}_{\text{sym}})\).

The set of all admissible triplets is denoted by \(\Xi(U_{\text{ad}})\).

**Remark 1.** The variational formulation \((9), (10)\) is ordinarily derived from the viscoelastic system \((1)-(3)\) by the Green formula and the following identity
\[
\mathbb{K}_{\vec{x}, \vec{y}}: [0, T] \times [0, T] \to \mathbb{M}^{2 \times 2}_{\text{sym}}, \quad \mathbb{K}_{\vec{x}, \vec{y}}(t, s) \triangleq \exp\left(\frac{s - t}{\mathcal{W}_i}\right) \mathbb{D}(\vec{y}_1(x_1, x_2, s)),
\]
In order to prove the solvability of problem \((6)\), we first study some properties of admissible triplets.

**Proposition 1.** Suppose \((\vec{u}_i, \vec{y}_i, \mathcal{E}_i) \in \Xi(U_{\text{ad}}), \text{ where } i = 1, 2\); then
\[
\sup\left\{\frac{\text{Re}}{2} \|\vec{y}_1(\tau) - \vec{y}_2(\tau)\|_2^2 + \frac{\mathcal{W}_i}{4\mathcal{a}} \|\mathcal{E}_1(\tau) - \mathcal{E}_2(\tau)\|_2^2 : \tau \in [0, T]\right\} \leq \Pi(\|\vec{u}_1 - \vec{u}_2\|_2),
\]
where \(\Pi\) is a non-negative continuous function such that \(\Pi(0) = 0\).

**Proof.** The proof proceeds in four steps.

*Step 1.* First we shall show that
\[
\vec{y}_i' \in L_2(0, T; V^*), \quad i = 1, 2.
\]

Let us introduce operators \(\mathcal{A}, \mathcal{B}, \mathcal{C}\):
\[
\mathcal{A}: V \to V^*, \quad \mathcal{A}(\vec{y}, \vec{z})_{V^* \times V} \triangleq (a - 1) (\text{grad} \vec{y}, \text{grad} \vec{z}),
\]
\[
\mathcal{B}: V \times V \to V^*, \quad \mathcal{B}(\vec{y}, \vec{y}_0, \vec{z})_{V^* \times V} \triangleq \text{Re}(\vec{y}_1 \vec{y}_0, \partial_x \vec{z}) + \text{Re}(\vec{y}_2 \vec{y}_0, \partial_x \vec{z}),
\]
\[
\mathcal{C}: L_2(\mathcal{O}, \mathbb{M}^{2 \times 2}_{\text{sym}}) \to V^*, \quad \mathcal{C}(\mathcal{E})_{V^* \times V} \triangleq - (\mathcal{E}, \mathbb{D}(\vec{z})).
\]

From Definition 1 it follows that
\[
\text{Re} \vec{y}_i' = \mathcal{A}(\vec{y}_i) + \mathcal{B}(\vec{y}_i, \vec{y}_i) + \mathcal{C}(\mathcal{E}_i), \quad i = 1, 2.
\]

Clearly, we have the following inclusions:
\[
\mathcal{A}(\vec{y}_i) \in L_2(0, T; V^*), \quad \mathcal{C}(\mathcal{E}_i) \in L_2(0, T; V^*), \quad i = 1, 2.
\]

Therefore, it remains to check that
\[
\mathcal{B}(\vec{y}_i, \vec{y}_i) \in L_2(0, T; V^*), \quad i = 1, 2.
\]
Indeed, using Hölder’s inequality and Ladyzhenskaya’s inequality (7), we obtain
\[
|\langle \mathcal{B}(\tilde{y}_i(t), \tilde{y}_i(t)), \tilde{z}\rangle |_{V^* \times V} \leq C_1 \|	ilde{y}_i(t)\|_{L^2_x} \|	ilde{z}\|_V
\leq C_2 \|	ilde{y}_i(t)\|_{L^2_\omega} \|\text{grad } \tilde{y}_i(t)\| \|	ilde{z}\|_V.
\] (16)

From (16) and the inclusions
\[
\tilde{y}_i \in C([0, T], L_2(\mathcal{O}, \mathbb{R}^2)), \quad i = 1, 2,
\]
it follows that (15) holds.

Taking into account (13)–(15), we conclude that both inclusions from (12) hold.

**Step 2.** Let us prove that
\[
E'_i \in L_2(0, T; L^*_2(\mathcal{O}, M^{2 \times 2}_{\text{sym}})), \quad i = 1, 2.
\] (17)

Consider operators $\mathcal{X}$ and $\mathcal{N}$:
\[
\mathcal{X} : L^2(\mathcal{O}, M^{2 \times 2}_{\text{sym}}) \rightarrow L^2_\omega(\mathcal{O}, M^{2 \times 2}_{\text{sym}}), \quad \langle \mathcal{X}(\tilde{E}), \tilde{F} \rangle_{L^2_\omega \times L^2_\omega} \triangleq -\langle \tilde{E}, \tilde{F} \rangle,
\]
\[
\mathcal{N} : V \rightarrow L^*_2(\mathcal{O}, M^{2 \times 2}_{\text{sym}}), \quad \langle \mathcal{N}(\tilde{y}), \tilde{F} \rangle_{L^2_\omega \times L^2_\omega} \triangleq 2a(\tilde{D}(\tilde{y}), \tilde{F}).
\]

From Definition 1 it follows that
\[
\text{Wi } E'_i = \mathcal{X}(E_i) + \mathcal{N}(\tilde{y}_i), \quad i = 1, 2.
\]

It is directly verifiable that for the terms on the right-hand side of the last equality, the following inclusions are true:
\[
\mathcal{X}(E_i) \in L_2(0, T; L^*_2(\mathcal{O}, M^{2 \times 2}_{\text{sym}})), \quad \mathcal{N}(\tilde{y}_i) \in L_2(0, T; L^*_2(\mathcal{O}, M^{2 \times 2}_{\text{sym}})), \quad i = 1, 2,
\]
whence (17).

**Step 3.** Let $\tilde{\omega} \triangleq \tilde{y}_1 - \tilde{y}_2$ and $G \triangleq E_1 - E_2$. Taking into account (12) and (17), we apply Lemma 3 to functions $\tilde{\omega}$ and $G$; this gives the following two equalities
\[
\frac{d}{dt} \|	ilde{\omega}(t)\|_{L^2_\omega}^2 = 2\langle \tilde{\omega}'(t), \tilde{\omega}(t) \rangle_{V^* \times V}, \quad \frac{d}{dt} \|G(t)\|_{L^2_x}^2 = 2\langle G'(t), G(t) \rangle_{L^2_x \times L^2_x},
\] (18)

which hold for almost all $t \in (0, T)$.

**Step 4.** Since $(\tilde{\omega}, \tilde{y}_i, E_i) \in \mathcal{Z}(\mathcal{U}_d), i = 1, 2$, it is not hard to establish that
\[
\text{Re} \langle \tilde{\omega}', \tilde{z} \rangle_{V^* \times V} - \text{Re}(y_{11} \tilde{\omega}, \partial_{x_1} \tilde{z}) - \text{Re}(y_{12} \tilde{\omega}, \partial_{x_2} \tilde{z}) - \text{Re}(w_{12} \tilde{y}_2, \partial_{x_1} \tilde{z}) - \text{Re}(w_{22} \tilde{y}_2, \partial_{x_2} \tilde{z}) + \langle \tilde{G}, \tilde{D}(\tilde{z}) \rangle + (1 - a) \langle \text{grad } \tilde{\omega}, \text{grad } \tilde{z} \rangle = 0,
\] (19)
\[
\text{Wi}(G', F)_{L^2_x \times L^2_x} + \langle G, F \rangle = 2a(\tilde{D}(\tilde{\omega}), F),
\] (20)

for any $\tilde{z} \in V$ and $F \in L_2(\mathcal{O}, M^{2 \times 2}_{\text{sym}})$.

Setting
\[
\tilde{E}(t) \equiv \tilde{\omega}(t), \quad \tilde{F}(t) \equiv \frac{1}{2a} G(t)
\]
into (19) and (20), respectively, we add the obtained equalities. Using (18) and the following relations
\[
-\text{Re}(y_{11} \tilde{\omega}, \partial_{x_1} \tilde{z}) - \text{Re}(y_{12} \tilde{\omega}, \partial_{x_2} \tilde{z}) = \langle \mathcal{B}(-\tilde{y}_1, \tilde{\omega}), \tilde{w} \rangle_{V^* \times V} = 0,
\]
we arrive at the equality
\[
\frac{\text{Re} \frac{d}{dt} \|\bar{\omega}(t)\|_{L^2}^2}{2} - \text{Re}(\omega_1(t)\bar{y}_2(t), \partial_{x_1}\bar{\omega}(t)) - \text{Re}(\omega_2(t)\bar{y}_2(t), \partial_{x_2}\bar{\omega}(t)) \\
+ (1 - a)\|\bar{\omega}(t)\|_{V}^2 + \frac{W_i}{4a} \frac{d}{dt} \|G(t)\|_{L^2}^2 + \frac{1}{2a} \|G(t)\|_{L^2}^2 = 0. \tag{21}
\]

Let us estimate the sum of the second and third terms on the left-hand side of (21). Using the formula for integration by parts and applying the Hölder, Young, and Ladyzhenskaya inequalities, we obtain

\[
\begin{align*}
|\text{Re}(\omega_1(t)\bar{y}_2(t), \partial_{x_1}\bar{\omega}(t)) + \text{Re}(\omega_2(t)\bar{y}_2(t), \partial_{x_2}\bar{\omega}(t))| \\
= \text{Re}(\omega_1(t)\partial_{x_1}\bar{y}_2(t), \bar{\omega}(t)) + (\omega_2(t)\partial_{x_2}\bar{y}_2(t), \bar{\omega}(t)) \\
\leq C_3\|\bar{\omega}(t)\|_{L^2}^2 \|\bar{y}_2(t)\|_V \\
\leq C_4\|\bar{\omega}(t)\|_{L^2} \|\text{grad} \bar{\omega}(t)\|_{L^2} \|\bar{y}_2(t)\|_V \\
= C_4\|\bar{\omega}(t)\|_{L^2} \|\bar{\omega}(t)\|_V \|\bar{y}_2(t)\|_V \\
\leq C_5\|\bar{\omega}(t)\|_{L^2} \|\bar{y}_2(t)\|_V + (1 - a)\|\bar{\omega}(t)\|_V^2. \tag{22}
\end{align*}
\]

Due to (22), we derive from (21) the following estimate

\[
\frac{d}{dt} \left( \frac{\text{Re}}{2} \|\bar{\omega}(t)\|_{L^2}^2 + \frac{W_i}{4a} \|G(t)\|_{L^2}^2 \right) \leq \zeta(t) \left( \frac{\text{Re}}{2} \|\bar{\omega}(t)\|_{L^2}^2 + \frac{W_i}{4a} \|G(t)\|_{L^2}^2 \right), \tag{23}
\]

where

\[\zeta: [0, T] \to \mathbb{R}, \quad \zeta(t) \triangleq C_6 \|\bar{y}_2(t)\|_V^2.\]

Since \(\bar{y}_2 \in L^2(0, T; V)\), we see that \(\zeta \in L^1(0, T)\). Therefore, we can apply the Grönwall lemma to (23) and obtain

\[
\frac{\text{Re}}{2} \|\bar{\omega}(t)\|_{L^2}^2 + \frac{W_i}{4a} \|G(t)\|_{L^2}^2 \leq \left( \frac{\text{Re}}{2} \|\bar{\omega}(0)\|_{L^2}^2 + \frac{W_i}{4a} \|G(0)\|_{L^2}^2 \right) \exp(\|\zeta\|_{L^1(0, T)}),
\]

for any \(t \in (0, T)\). Further, using the relations

\[
\begin{align*}
\bar{\omega}(0) &= \bar{y}_1(0) - \bar{y}_2(0) = \bar{u}_1 - \bar{u}_2, \\
G(0) &= E_1(0) - E_2(0) = \Theta,
\end{align*}
\]

we arrive at inequality

\[
\frac{\text{Re}}{2} \|\bar{\omega}(t)\|_{L^2}^2 + \frac{W_i}{4a} \|G(t)\|_{L^2}^2 \leq \frac{\text{Re}}{2} \|\bar{u}_1 - \bar{u}_2\|_{L^2}^2 \exp(\|\zeta\|_{L^1(0, T)}), \quad \forall t \in [0, T].
\]

This yields required inequality (11) with the function \(\Pi\) defined as follows:

\[\Pi: [0, +\infty) \to [0, +\infty), \quad \Pi(s) \triangleq \frac{\text{Re}}{2} s^2 \exp(C_2)\]

with

\[C_2 \triangleq C_6 \sup \{\|\bar{y}\|_{L^2(0,T;V)}^2 : \langle \bar{u}, \bar{y}, \Theta \rangle \in \Xi(U_{ad})\}< +\infty.\]

Thus, Proposition 1 is proved. \(\square\)

**Corollary 1.** Suppose \((\bar{u}, \bar{y}, \Theta)_i \in \Xi(U_{ad})\), where \(i = 1, 2\); then

\[\bar{y}_1(t) \equiv \bar{y}_2(t), \quad E_1(t) \equiv E_2(t), \quad \forall t \in [0, T].\]

**Proposition 2.** For any vector-valued function \(\bar{u}\) from the set \(U_{ad}\), there exists a unique pair \((\bar{y}, \Theta)\) such that \((\bar{u}, \bar{y}, \Theta) \in \Xi(U_{ad}).\)
This yields the following equality:

\[
\frac{d}{dt} \| y_n \|^2_L + 2a(1 - a) \| \text{grad} y_n \|^2_L + \| E_n \|^2_L + \frac{\text{Wi}(\partial_t E_n, E_n)}{2} = 0, \quad t \in (0, T).
\]

\[
\frac{\text{Wi}(\partial_t E_n, E_n)}{2} = 2a(1 - a) \| \text{grad} y_n \|^2_L + \| E_n \|^2_L.
\]
By integrating the last equality with respect to $t$, from 0 to $\tau$, we find that
\[
a \Re \| \bar{y}_n(\tau) \|^2_{L^2_x} + 2a(1 - a) \int_0^\tau \| \nabla \bar{y}_n(t) \|^2_{L^2_x} dt + \int_0^\tau \| \mathcal{E}_n(t) \|^2_{L^2_x} dt + \frac{Wi}{2} \| \mathcal{E}_n(\tau) \|^2_{L^2_x} = a \Re \| \bar{y}_n(0) \|^2_{L^2_x}, \quad \tau \in [0, T]. \tag{31}
\]

Taking into account the relations
\[
\| \bar{y}_n(0) \|_{L^2} \leq \| \bar{u} \|_{L^2} \leq \sup \{ \| \bar{H} \|_{L^2} : \bar{H} \in \mathcal{U}_{ad} \} = C_8 < +\infty,
\]
\[
\| \nabla \bar{y}_n(t) \|_{L^2} = \| \bar{y}_n(t) \|_{V}, \quad t \in (0, T),
\]
we deduce from (31) the following estimate:
\[
a \Re \| \bar{y}_n(\tau) \|^2_{L^2_x} + 2a(1 - a) \int_0^\tau \| \bar{y}_n(t) \|^2_{V^*} dt + \int_0^\tau \| \mathcal{E}_n(t) \|^2_{L^2_x} dt + \frac{Wi}{2} \| \mathcal{E}_n(\tau) \|^2_{L^2_x} \leq a \Re C_8^2, \quad \tau \in [0, T]. \tag{32}
\]

From this estimate and condition (iii), it follows that the
\[
\sup \{ \| \bar{y}_n(\tau) \|_{L^2} : n \in \mathbb{N}, \tau \in [0, T] \} < +\infty,
\]
\[
\sup \{ \| \mathcal{E}_n(\tau) \|_{L^2} : n \in \mathbb{N}, \tau \in [0, T] \} < +\infty.
\]

This implies that the Cauchy problem (24)–(27) is solvable on the interval $[0, T]$.

In view of inequality (32), we also have
\[
\sup \{ \| \bar{y}_n \|_{L^2(0, T; V)} : n \in \mathbb{N} \} < +\infty,
\]

Moreover, using techniques similar to those employed for constructing solutions to the evolution Navier–Stokes equations (see [34], Chapter 1, § 6.4), one can deduce
\[
\sup \{ \| \mathcal{E}_n \|_{L^2(0, T; V^*)} : n \in \mathbb{N} \} < +\infty.
\]

Therefore, without loss of generality, we can assume that
\[
\bar{y}_n \text{ converges to } \bar{y} \text{ weakly in } L^2(0, T; V) \text{ as } n \to +\infty, \tag{33}
\]
\[
\bar{y}_n \text{ converges to } \bar{y} \text{ strongly in } L^2(0, T; H) \text{ as } n \to +\infty, \tag{34}
\]
\[
\mathcal{E}_n \text{ converges to } \mathcal{E} \text{ weakly in } L^2(0, T; L^2(O, \mathbb{R}^{2\times 2}_{sym})) \text{ as } n \to +\infty, \tag{35}
\]

for some $\bar{y}$ and $\mathcal{E}$.

We multiply (24) by an arbitrary function $\xi \in \mathcal{D}(0, T)$ and integrate with respect to $t$ from 0 to $T$. By integrating by parts the first term in the left-hand side of the obtained equality, we find that
\[
- \Re \int_0^T (\bar{y}_n, \xi') dt + \Re \int_0^T \left( y_n \partial_t \bar{y}_n, \xi \right) dt + \Re \int_0^T \left( y_{n2} \partial_{x_2} \bar{y}_n, \xi \right) dt + \int_0^T \left( \mathcal{E}_n, \nabla(\xi) \right) dt + \int_0^T (\nabla \mathcal{E}_n, \nabla \xi') dt = 0. \tag{36}
\]

Next, we multiply (25) by function $\xi$ and integrate with respect to $t$ from 0 to $T$. By integrating by parts the first term in the left-hand side of the obtained equality, we get
\[
- \operatorname{Wi} \int_0^T \left( \mathcal{E}_n, \xi \right) dt + \int_0^T \left( \mathcal{E}_n, \xi \right) dt = 2a \int_0^T \left( \nabla(\bar{y}_n), \xi \right) dt. \tag{37}
\]
Taking into account (33)–(35), we pass to the limit \( n \to +\infty \) in equalities (36) and (37); this gives
\[
- \Re \int_0^T (\bar{y}_t, \bar{z}_k) \xi' \, dt + \Re \int_0^T (y_1 \partial_y \bar{y}, \bar{z}_k) \xi \, dt + \Re \int_0^T (y_2 \partial_z \bar{y}, \bar{z}_k) \xi \, dt
+ \int_0^T (\mathcal{E}(\bar{z}_k)) \xi \, dt + (1 - a) \int_0^T (\text{grad} \, \bar{y}, \text{grad} \, \bar{z}_k) \xi \, dt = 0, \tag{38}
\]
\[
- \Re \int_0^T (\bar{y}, \mathcal{F}_k) \xi' \, dt + \int_0^T (\mathcal{E}, \mathcal{F}_k) \xi \, dt = 2a \int_0^T (\mathcal{D}(\bar{y}), \mathcal{F}_k) \xi \, dt, \tag{39}
\]
for each \( k \in \mathbb{N} \).

Since the sequence \( \{z_k\}_{k \in \mathbb{N}} \) is total in the space \( V \) and the sequence \( \{\mathcal{F}_k\}_{k \in \mathbb{N}} \) is total in \( L_2(\mathcal{O}, \mathcal{M}^{2 \times 2}_{\text{sym}}) \), we see that equalities (38) and (39) remain valid if we replace \( z_k \) and \( \mathcal{F}_k \) with arbitrary functions \( \bar{z} \in V \) and \( \mathcal{F} \in L_2(\mathcal{O}, \mathcal{M}^{2 \times 2}_{\text{sym}}) \), respectively.

Moreover, in view of relations (26) and (27), we arrive at both equalities from (8).

Thus, we have established that \( (\bar{u}, \bar{y}, \mathcal{E}) \in \Xi(U_{\text{ad}}) \).

The uniqueness of the pair \( (\bar{y}, \mathcal{E}) \) satisfying the conditions of this proposition follows directly from Proposition 1. The proof is completed. \( \square \)

4. The Operator Setting of Optimization Problem (6) and the Main Result

In the previous section, it is shown that, for any choice of control \( \bar{u} \) from the set \( U_{\text{ad}} \), there exists a unique triplet \( (\bar{u}, \bar{y}, \mathcal{E}) \) belonging to the set \( \Xi(U_{\text{ad}}) \). This allows us to interpret control model (1)–(5) as a continuous evolution system in the Cartesian product \( H \times L_2(\mathcal{O}, \mathcal{M}^{2 \times 2}_{\text{sym}}) \) and correctly define the control operators for both the velocity and stress fields.

Definition 2. The velocity control operator \( \Phi_{\text{vel}} \) is a map from \( [0, T] \times U_{\text{ad}} \) into \( H \) that is defined by the following formula:
\[
\Phi_{\text{vel}}(t, \bar{u}) \triangleq \bar{y}(t),
\]
where the vector-valued function \( \bar{y} \) is the second component of the admissible triplet \( (\bar{u}, \bar{y}, \mathcal{E}) \).

Definition 3. The stress control operator \( \Phi_{\text{stress}} \) is a map from \( [0, T] \times U_{\text{ad}} \) into \( L_2(\mathcal{O}, \mathcal{M}^{2 \times 2}_{\text{sym}}) \) that is defined by the following formula:
\[
\Phi_{\text{stress}}(t, \bar{u}) \triangleq \mathcal{E}(t),
\]
where the matrix-valued function \( \mathcal{E} \) is the third component of the admissible triplet \( (\bar{u}, \bar{y}, \mathcal{E}) \).

From Definitions 2 and 3 it follows that
\[
\Gamma(\Phi_{\text{vel}}(\tau, \cdot) \times \Phi_{\text{stress}}(\tau, \cdot)) = \Xi(U_{\text{ad}})_{|t=\tau}, \quad \forall \tau \in [0, T].
\]

The next statement is an important consequence of Proposition 1.

Proposition 3. Under conditions (i) and (iii) from Section 3, we have
\[
\| \Phi_{\text{vel}}(t, \bar{u}_1) - \Phi_{\text{vel}}(t, \bar{u}_2) \|_{L_2} \leq \sqrt{\frac{2\Pi(\|\bar{u}_1 - \bar{u}_2\|_{L_2})}{\Re}}, \tag{40}
\]
\[
\| \Phi_{\text{stress}}(t, \bar{u}_1) - \Phi_{\text{stress}}(t, \bar{u}_2) \|_{L_2} \leq 2\sqrt{\frac{a\Pi(\|\bar{u}_1 - \bar{u}_2\|_{L_2})}{\Re}},
\]
for any \( \bar{u}_1, \bar{u}_2 \in U_{\text{ad}} \) and \( t \in [0, T] \).
Let us consider the cost functional $\mathcal{J}_{T, \bar{b}}: U_{ad} \to \mathbb{R}$ defined as follows:

$$\mathcal{J}_{T, \bar{b}}(\bar{u}) \triangleq \|\Phi_{vel}(T, \bar{u}) - \bar{b}\|_{L_2}.$$ 

**Definition 4.** The vector function $\bar{u}_*$ from the admissible controls set $U_{ad}$ is called an optimal control for integro-differential system (1)–(5) (or in other words, $\bar{u}_*$ is a solution of optimization problem (6)) if

$$\bar{u}_* = \arg\min_{\bar{u} \in U_{ad}} \mathcal{J}_{T, \bar{b}}(\bar{u}).$$ 

(41)

By $U_{opt}$ we denote the set of all optimal controls for (1)–(5).

Now we are ready to formulate and prove the main result of this work.

**Theorem 1.** Suppose conditions (i)–(iii) from Section 3 hold; then there exists at least one optimal control for integro-differential system (1)–(5)—that is, the set $U_{opt}$ is not empty.

**Proof.** First, let us show that the admissible controls set $U_{ad}$ is compact in the space $H$.

From condition (iii) and Lemma 2, it follows that $U_{ad}$ is relatively compact in $H$.

We claim that $U_{ad}$ is closed in $H$. Indeed, consider a sequence $\{\bar{u}_n\}_{n \in \mathbb{N}} \subset U_{ad}$ such that

$$\bar{u}_n \text{ converges to } \bar{u}_0 \text{ strongly in } H \text{ as } n \to +\infty.$$ 

(42)

Since the sequence $\{\bar{u}_n\}_{n \in \mathbb{N}}$ is bounded in $V$, without loss of generality, we can assume that there exists a vector function $\bar{u}_0 \in V$ such that

$$\bar{u}_n \text{ converges to } \bar{u}_0 \text{ weakly in } V \text{ as } n \to +\infty.$$ 

(43)

In view of (iii), the set $U_{ad}$ is convex and closed in $V$, and hence this set is weakly closed in $V$. Therefore, from (43) it follows that the inclusion $\bar{u}_0 \in U_{ad}$ holds. On the other hand, using Lemma 2 and (43), we deduce that

$$\bar{u}_n \text{ converges to } \bar{u}_0 \text{ strongly in } H \text{ as } n \to +\infty.$$ 

(44)

Then, comparing (42) and (44), we obtain that $\bar{u}_0 = \bar{u}_n$, and hence $\bar{u}_0 \in U_{ad}$.

From inequality (40) it follows that the operator $\Phi_{vel}(T, \cdot)$ is a continuous map from $U_{ad} \subset H$ into $H$. Consequently, the set of final states $\Phi_{vel}(T, U_{ad})$ is compact in $H$. From the Weierstrass extreme value theorem, it follows that there exists an element $\bar{q}_* \in \Phi_{vel}(T, U_{ad})$ such that

$$\|\bar{q}_* - \bar{b}\|_{L_2} = \inf \{ \|\bar{q} - \bar{b}\|_{L_2} : \bar{q} \in \Phi_{vel}(T, U_{ad}) \}. $$ 

(45)

Consider a vector function $\bar{u}_* \in U_{ad}$ that satisfies the equality $\Phi_{vel}(T, \bar{u}_*) = \bar{q}_*$ and rewrite (45) as follows:

$$\|\Phi_{vel}(T, \bar{u}_*) - \bar{b}\|_{L_2} = \inf \{ \|\bar{q} - \bar{b}\|_{L_2} : \bar{q} \in \Phi_{vel}(T, U_{ad}) \}. $$ 

(46)

It is clear that equality (46) is equivalent to (41). Therefore, we deduce that $\bar{u}_*$ is an optimal control in model (1)–(5), which completes the proof. \hfill \Box

**Remark 2.** The solvability of an optimization problem for the Navier–Stokes equations (in our notation, the particular case $a = 0$) with an initial control was established in [35] under the assumption that $U_{ad} = V$.

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Abbreviations
For the reader’s convenience, we collect here the main notation used in this paper.

<table>
<thead>
<tr>
<th>Symbols</th>
<th>Meaning</th>
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<tbody>
<tr>
<td>$x_1, x_2$</td>
<td>space variables</td>
</tr>
<tr>
<td>$t$</td>
<td>time</td>
</tr>
<tr>
<td>$T$</td>
<td>final moment of time</td>
</tr>
<tr>
<td>$O$</td>
<td>flow region</td>
</tr>
<tr>
<td>$O_T$</td>
<td>cylinder $O \times (0, T)$</td>
</tr>
<tr>
<td>$\vec{y}$</td>
<td>velocity field</td>
</tr>
<tr>
<td>$\vec{b}$</td>
<td>target function</td>
</tr>
<tr>
<td>$\vec{u}$</td>
<td>control function</td>
</tr>
<tr>
<td>$E$</td>
<td>“elastic part” of the stress tensor</td>
</tr>
<tr>
<td>$\nabla(y)$</td>
<td>strain velocity tensor</td>
</tr>
<tr>
<td>$\pi$</td>
<td>pressure function</td>
</tr>
<tr>
<td>$Re$</td>
<td>Reynolds number</td>
</tr>
<tr>
<td>$Wi$</td>
<td>Weissenberg number</td>
</tr>
<tr>
<td>$a$</td>
<td>coupling parameter</td>
</tr>
<tr>
<td>$U_{ad}$</td>
<td>admissible controls set</td>
</tr>
<tr>
<td>$\mathcal{X}(U_{ad})$</td>
<td>set of all admissible triplets</td>
</tr>
<tr>
<td>$J_{T, \vec{b}}$</td>
<td>cost functional</td>
</tr>
<tr>
<td>$C_k$</td>
<td>positive constants that depend only on data of model (1)--(5)</td>
</tr>
<tr>
<td>$\Gamma(A)$</td>
<td>graph of $A$</td>
</tr>
<tr>
<td>$\text{grad}$</td>
<td>gradient with respect to the space variables $x_1, x_2$</td>
</tr>
<tr>
<td>$\text{div}$</td>
<td>divergence with respect to the space variables $x_1, x_2$</td>
</tr>
<tr>
<td>$\Delta$</td>
<td>Laplacian with respect to the space variables $x_1, x_2$</td>
</tr>
<tr>
<td>$\hookrightarrow$</td>
<td>continuous dense embedding</td>
</tr>
<tr>
<td>$M_{sym}^{n \times n}$</td>
<td>space of symmetric matrices of dimension $n \times n$</td>
</tr>
<tr>
<td>$L_P$</td>
<td>Lebesgue space</td>
</tr>
<tr>
<td>$W_q^k$</td>
<td>Sobolev space</td>
</tr>
<tr>
<td>$\mathcal{V}$</td>
<td>${ \vec{q} \in C^\infty(O, \mathbb{R}^2) : \text{div} \vec{q} = 0 \text{ and } \text{supp} \vec{q} \subset O }$</td>
</tr>
<tr>
<td>$H$</td>
<td>closure of $\mathcal{V}$ in $L_2(O, \mathbb{R}^2)$</td>
</tr>
<tr>
<td>$V$</td>
<td>closure of $\mathcal{V}$ in $W_2^q(O, \mathbb{R}^2)$</td>
</tr>
<tr>
<td>$C([0, T]; X)$</td>
<td>space of continuous functions from $[0, T]$ into $X$</td>
</tr>
<tr>
<td>$L_q([0, T]; X)$</td>
<td>space of $L_q$-integrable functions from $[0, T]$ into $X$</td>
</tr>
<tr>
<td>$\mathcal{Z}, F$</td>
<td>test functions</td>
</tr>
<tr>
<td>$\vec{y}<em>{en}, \vec{F}</em>{en}$</td>
<td>Galerkin solutions</td>
</tr>
<tr>
<td>$\mathcal{A}, \mathcal{B}, \mathcal{C}, \mathcal{N}$</td>
<td>auxiliary operators</td>
</tr>
<tr>
<td>$\Phi_{vel}$</td>
<td>velocity control operator</td>
</tr>
<tr>
<td>$\Phi_{stress}$</td>
<td>stress control operator</td>
</tr>
</tbody>
</table>


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