A Novel Composite Equalizer Based on an Additional Cell for Series-Connected Lithium-Ion Cells

Xin Lai *, Chun Jiang, Yuejiu Zheng *, Han Gao, Peng Huang and Long Zhou

College of Mechanical Engineering, University of Shanghai for Science and Technology, Shanghai 200093, China; jiangchun621@126.com (C.J.); gaohanmail@gmail.com (H.G.); peng_huang@foxmail.com (P.H.); zhoulong925@126.com (L.Z.)

* Correspondence: laixin@usst.edu.cn (X.L.); yuejiu_zheng@163.com (Y.Z.); Tel.: +86-139-183-94287 (X.L.)

Received: 1 October 2018; Accepted: 20 November 2018; Published: 1 December 2018

Abstract: Cell inconsistency can lead to poor performance and safety hazards. Therefore, cell equalizer is essentially required to prevent the series-connected cells from overcharging, undercharging, and overdischarging. Among current equalization schemes, passive equalizer has a continuously wasting energy with low equalization efficiency, and active equalizer has high cost with complex circuit structure. In this study, a novel composite equalizer based on an additional cell with low complexity is presented. This method combines a passive equalizer and an active equalizer. Firstly, the configuration and circuit of our proposed composite equalizer are introduced, and the equalization principle is analyzed. On this basis, the control strategy and algorithm of the composite equalizer are further proposed. Finally, the composite equalizer is verified through simulation and experiment in various cases. The study results show that this method improves both the consistency level and the available capacity of the battery pack. Moreover, our proposed equalizer can overcome the shortcomings of commonly used equalizer and combining the advantages of different equalizer to maximize the equalization efficiency with a simpler equalizer structure.

Keywords: lithium-ion power battery pack; composite equalizer; active equalization; passive equalization; control strategy and algorithm

1. Introduction

Energy crisis and environmental problem are two major challenges facing humankind in recent years, and energy savings and emission reduction have become real priorities all over the world. New technologies and methods for saving energy and reducing emissions have become an important research focus in modern automobiles [1–5]. In this situation, the development and popularization of battery electric vehicles (BEVs) and hybrid electric vehicles (HEVs) have entered a high-speed period. As energy storage and supply components, batteries directly determine the safety and mileage of electric vehicles (EVs). Therefore, battery and its management system are one of the core technologies of EVs [6–8]. Various power batteries, such as lead acid, nickel-metal hydride (NiMH), and lithium-ion batteries (LIBs) have been used in EVs. Among them, LIBs are widely used due to their high energy density, long cycle life, and high efficiency [4,9–11]. In order to meet high-energy and high-power application requirements for EVs, hundreds or thousands of cells are connected in series and parallel to form battery packs [12–14]. Factors such as the manufacturing process and the actual working environment cause inconsistencies in the performance of these LIBs. The most intuitive manifestation is voltage inconsistency, while the other is internal resistance inconsistency. These inconsistencies cause the actual capacity of the battery packs to be less than the theoretical capacity, which greatly reduces their service life [5,15–17].
In order to reduce cell inconsistency, it is necessary to screen cells before combining them into a battery pack. Cells in the same battery pack should have the same chemical properties and physical sizes. However, the consistency level among cells is already higher even after screening. Cell inconsistency in a battery pack can lead a cell or some cells to be overcharged, undercharged, or even overdischarged, causing serious security problems [5,16,18]. In order to maximize battery life and utilization, battery equalization technology is widely used to reduce the impact of battery inconsistencies. Therefore, equalization technology is critical for improving battery performance.

There are two main ways to equalize lithium-ion batteries. One is chemical equalization, i.e., to achieve an equalization target through the chemical reaction inside the battery [19]. This method is easy to implement and does not require complex circuit connections, but this method is presently in its infancy. The other is physical equalization, i.e., to achieve an equalization target by means of mechanics or electronics. Physical equalization mainly includes passive and active equalization [20,21]. Passive equalization, also known as energy dissipation equalization, can realize equalization by passing the excess energy of the cell through a bypass resistor. Passive equalization is advantageous in terms of its simple structure and low cost, but is disadvantageous due to its low equalization current and large energy loss [22–24]. However, energy is dissipated in the form of heat by the bypass resistor, which greatly reduces the energy utilization of the whole battery and simultaneously increases the temperature. Due to the demands of controlling the temperature within a certain range, the equalization current is usually low and the equalization time is long. In addition, the temperature difference may worsen the battery inconsistency [25].

Active equalization, also called energy transfer equalization, can realize energy transfer between cells in the battery packs by means of energy storage elements or other driving circuit configurations. Various active equalization configurations are summarized in Refs. [26–28]. The common energy storage elements are capacitors, inductors, and transformers. The advantages of active equalization include low energy loss and high efficiency. However, the disadvantages include complex control algorithms and high cost. In addition to the equalization configuration, the equalization algorithm also has a significant impact on the equalization efficiency improvement. It can be divided into state of charge (SOC)-, capacity-, and voltage-based equalization algorithms [22,29–31]. Generally, the energy transfer between the cells is a function of the voltage difference between cells. As a result, when the voltage difference is low, the equalization speed decreases and cells can remain unbalanced [22,30,32], and the balancing efficiency needs to be further improved [33]. Through the analysis above, we know that both active and passive equalizers have their own advantages and disadvantages.

In this study, a novel composite equalization method based on an additional cell which combines both passive and active equalizations is proposed, according to the characteristics of different equalization methods. The principle, circuit, and control strategy and algorithm are studied, and the effectiveness is verified by simulation in various cases. This method has the advantages of simple structure, low cost, simple control, and high efficiency compared to common passive and active equalization methods. Moreover, an additional cell is used in this method as the energy storage element, which allows energy storage and transfer between the battery and the additional cell. This increases the capacity of the battery pack to a certain degree while also realizing the equalization function.

The remainder of this paper is structured as follows: Section 2 gives a description of the circuit of the composite equalization. Section 3 presents its operation principles and control strategy, respectively. Modeling and simulation analysis are reported in Section 4. The effectiveness of the proposed equalizer is validated by experimental results in Section 5. Finally, conclusions and final remarks are presented in Section 6.
2. Scheme of Composite Equalizer

2.1. Configuration of the Composite Equalization Circuit

To improve the equalization efficiency, we propose a composite equalization configuration based on an additional cell that combines both passive and active equalization. This method makes full use of the advantages of different equalizations, as shown in Figure 1a. The equalization circuit is primarily composed of the main controller, the voltage acquisition module, the composite equalization module, and so on.

Recently, the widely used passive equalization method uses a bypass resistor in parallel with the cell to consume the excess energy of the cell, as shown in Figure 1b. The active equalization in this paper uses an additional cell as the energy storage element, thus allowing for energy storage and transfer between the battery and the additional cell. This increases the capacity of the battery pack to a certain degree while also enabling the equalization function. As shown in Figure 1c, the active equalization circuit mainly includes a cell selection circuit, a commutation circuit, a freewheeling circuit, and so on. The cell selection circuit is used to select the cell to be equalized in the battery pack. The function of the commutation circuit is to make the positive and negative polarity of the cell which is to be equalized the same as the additional cell. The circuit includes four parallel branches. The freewheeling circuit consists of diodes, MOSFETs, and an inductor, and is used to buffer the current of the circuit and protect the circuit elements from being damaged when the circuit is switched on or off.

![Diagram](image-url)
2.2. Principle of the Composite Equalization Circuit

The basic principle of composite equalization is to choose the best equalization mode according to the relative voltage state between the cell in the battery pack and the additional cell. Equalization modes are generally divided into active and passive equalization modes. The active equalization mode can also be divided into the first and second active equalization modes. The equalization modes are explained separately as follows: (a) First active equalization mode: the high voltage cell charges the additional cell when this mode is in operation. As shown in Figure 2a, it is assumed that C1 is the cell with the maximum voltage in the battery pack. The corresponding MOSFETs are controlled to turn on, and C1 then charges the additional cell C0. (b) Second active equalization mode: the additional cell charges the low voltage cell when this mode is in operation. As shown in Figure 2b, it is assumed that C2 is the cell with the minimum voltage in the battery pack. The corresponding MOSFETs are controlled to turn on, and the additional cell C0 then charges C2. (c) Passive equalization mode: the high voltage cell battery is discharged through the bypass resistor when this mode is in operation. As shown in Figure 2c, it is assumed that C1 is the cell with the maximum voltage in the battery pack. The corresponding MOSFETs are controlled to turn on and C1 is then discharged through the bypass resistor. Therefore, through designing the control strategy and algorithm, each of the three equalization modes is activated according to the cell voltage change during the equalization process.

Figure 1. Configuration and circuit of composite equalization. (a) Configuration; (b) passive equalization circuit; and (c) active equalization circuit.
3. Control Strategy and Algorithm

The voltage-based equalization algorithm is adopted in this paper. First, a threshold of voltage range (5 mV in this paper) is chosen. Then the voltages of cells in the battery pack are collected, and the maximum and minimum voltage are selected. If the difference between the maximum and minimum voltage is greater than the threshold, equalization control will be applied to the battery pack, otherwise not.

We first need to determine the critical voltage difference value $\Delta U$ between the additional cell and the cell to be equalized in the battery pack. When the active and passive equalization currents are equal, the voltage difference between the cell to be equalized in the battery pack and the additional cell is equal to the critical value $\Delta U$.

It is assumed that the voltage of the cell $i$ is $U_i$, where $i = 1, 2, 3, \ldots, n$. The maximum voltage is $U_{\text{max}}$, and the minimum voltage is $U_{\text{min}}$. The voltage range between the cells is $u$. The voltage of the additional cell is $U_0$. The difference between the maximum voltage and the additional cell voltage is $u_1$. The difference between the additional cell voltage and the minimum voltage is $u_2$. The relationship between these parameters can be expressed as follows:

$$U_{\text{max}} = \text{Max}\{U_1, U_2, \ldots, U_n\}$$  \hspace{1cm} (1)

$$U_{\text{min}} = \text{Min}\{U_1, U_2, \ldots, U_n\}$$  \hspace{1cm} (2)

$$u = U_{\text{max}} - U_{\text{min}}$$  \hspace{1cm} (3)

Figure 2. Principle of composite equalization. (a) First active equalization mode; (b) second active equalization mode; and (c) passive equalization mode.
Based on the analysis above, the composite equalization control algorithm designed in this paper is shown in Figure 3a, and can be specifically described as:

1. When the voltage $U_0$ of the additional cell $C_0$ is detected to be less than the minimum voltage $U_{\text{min}}$ in the battery pack and $u_1$ is greater than $\Delta U$, the first active equalization mode is used. Otherwise, passive equalization is used.
2. When the voltage $U_0$ of the additional cell $C_0$ is detected to be greater than the maximum voltage $U_{\text{max}}$ in the battery pack and $u_2$ is greater than $\Delta U$, the second active equalization mode is used. Otherwise passive equalization is used.
3. When the voltage $U_0$ of the additional cell $C_0$ is between the minimum voltage $U_{\text{min}}$ and the maximum voltage $U_{\text{max}}$ and $u_1$ is greater than $u_2$, return to (1). Otherwise, return to (2).
4. When the battery pack is charged or discharged (dynamic equalization), equalization will stop once charging or discharging has completed. When the battery pack is not charged or discharged (static equalization), equalization will stop if the difference between the maximum and the minimum voltage in the battery pack is less than the threshold (5 mV in this paper).

The passive equalization control algorithm is shown in Figure 3b. To avoid frequent activation of the switches, the cell’s single equalization time $T$ must reach $t_0$. The active equalization control algorithm is shown in Figure 3c. To avoid frequent activation of the switches, the cell’s single equalization time $T$ must reach $t_0$. The switch of the cell selection circuit is turned off immediately when the active equalization current $I_L$ is greater than 2 A, thus suspending equalization. When the active equalization current $I_L$ is less than 1 A, the switch is turned on to continue equalization. In dynamic equalization, $t_0$ should not be too large; we take 60 s in this paper. In static equalization, $t_0$ should not be too small; we take 600 s in this paper.

![Flowchart](image-url)

**Figure 3. Cont.**
4. Modeling and Simulation Analysis

In this section, we use the Simscape module in MATLAB/Simulink (MathWorks, Natick, MA, USA) to build a physical model of the composite equalization circuit, and we model the equalization control strategy and algorithm in Simulink/Stateflow. The simulation model is shown in Figure 4. Based on the simulation model, the equalization process is simulated in various cases, to verify the effectiveness of the proposed composite equalization.
Figure 4. Simulation model of composite equalization. (a) Circuit configuration using Simscape in MATLAB; (b) passive equalization mode; and (c) active equalization mode.

The parameters set in the simulation are as follows: four lithium-ion cells with nominal voltage 3.7 V and rated capacity 24 Ah are connected in series to form a battery pack. The additional cell is also a lithium-ion cell with nominal voltage 3.7 V and rated capacity 24 Ah. The resistance of the
bypass resistor is 30 Ω. According to the control strategy in the last section, we need to determine the size of ΔI. Preliminary simulation results show that the size of passive equalization current is 0.13 A. When the active equalization current reaches 0.13 A, the voltage difference between the additional cell and the cell which is being equalized in the battery pack is about 10 mV, thus the size of ΔI is 10 mV. The equalization process is simulated under three different states named charging, discharging and static to verify the effectiveness of the proposed composite equalization method. Simulation is carried out in MATLAB 2014a on a computer with a 3.1 GHz processor, 4 GB memory, and 64-bit operating system.

4.1. Equalization during the Charging Process

During the entire charging equalization process, there are three different conditions on the relative voltage state between the cell to be equalized in the battery pack and the additional cell, which are described as follows:

(1) The relative voltage state between the cell to be equalized in the battery pack and the additional cell stays constant, and the voltage $U_0$ of the additional cell is always less than the minimum voltage $U_{min}$.

(2) The relative voltage state between the cell to be equalized in the battery pack and the additional cell undergoes a dynamic change process. At first, the voltage $U_0$ of the additional cell is greater than the maximum voltage $U_{max}$. Then it takes a value between the minimum voltage $U_{min}$ and the maximum voltage $U_{max}$. Finally, it is less than the minimum voltage $U_{min}$.

(3) The relative voltage state between the cell to be equalized in the battery pack and the additional cell stays constant, and the voltage $U_0$ of the additional cell is always greater than the maximum voltage $U_{max}$.

Assuming that the SOC of C1 to C4 are set to 5%, 8%, 11%, and 14%, respectively. Before charging, the SOC of the additional cell is set to 2%, 50%, and 98% under the three conditions above, respectively. A constant current power supply with 8 A of current is used to charge the battery pack. When the voltage of any cell in the battery pack reaches 4.2 V, the constant current power supply will stop charging the battery pack.

In this study, four cases marked as case A, B, C, and D are set to simulate the equalization effect under charging conditions, and the simulation results are shown in Figure 5 and Table 1. As shown in Figure 5, the voltage difference among cells during the charging process without equalization is 43.20 mV, but it decreases to 27.50 mV, 27.70 mV, and 14.20 mV after equalization.

![Figure 5](image-url)
Equation (6), as shown in Figure 6, the battery pack capacity under four cases after charging is 21.70 Ah, 22.63 Ah, 23.42 Ah, and 23.04 Ah. The voltage range and the SOC extremum after charging are shown in Table 2. According to Table 1, the charging time increases when charging with equalization, indicating that the capacity of the battery pack increases after charging with equalization. Our previous studies [33,34] show the relationship between pack capacity and cell capacities is:

$$C_{\text{pack}} = \min(\text{SOC} \cdot C) + \min((1-\text{SOC}) \cdot C)$$

(6)

where $C_{\text{pack}}$ is pack capacity, SOC is the state of charge of the cell, and C is the cell capacity.

The voltage range and the SOC extremum after charging are shown in Table 2. According to Equation (6), as shown in Figure 6, the battery pack capacity under four cases after charging is 21.70 Ah, 22.63 Ah, 23.42 Ah, and 23.04 Ah.

Table 2. Voltage range and SOC (State of Charge) extremum after charging.

<table>
<thead>
<tr>
<th>Case</th>
<th>Voltage Range (mV)</th>
<th>Maximum of SOC (%)</th>
<th>Minimum of SOC (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>43.20</td>
<td>78.9709</td>
<td>69.4039</td>
</tr>
<tr>
<td>B</td>
<td>27.50</td>
<td>78.9935</td>
<td>73.2911</td>
</tr>
<tr>
<td>C</td>
<td>27.70</td>
<td>79.1225</td>
<td>76.7093</td>
</tr>
<tr>
<td>D</td>
<td>14.20</td>
<td>80.1918</td>
<td>76.2118</td>
</tr>
</tbody>
</table>

As shown in Table 1, the charging time increases when charging with equalization, indicating that the capacity of the battery pack increases after charging with equalization. Our previous studies [33,34] show the relationship between pack capacity and cell capacities is:

$$C_{\text{pack}} = \min(\text{SOC} \cdot C) + \min((1-\text{SOC}) \cdot C)$$

(6)

where $C_{\text{pack}}$ is pack capacity, SOC is the state of charge of the cell, and C is the cell capacity.

The voltage range and the SOC extremum after charging are shown in Table 2. According to Equation (6), as shown in Figure 6, the battery pack capacity under four cases after charging is 21.70 Ah, 22.63 Ah, 23.42 Ah, and 23.04 Ah.

Table 2. Voltage range and SOC (State of Charge) extremum after charging.

<table>
<thead>
<tr>
<th>Case</th>
<th>Voltage Range (mV)</th>
<th>Maximum of SOC (%)</th>
<th>Minimum of SOC (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>43.20</td>
<td>78.9709</td>
<td>69.4039</td>
</tr>
<tr>
<td>B</td>
<td>27.50</td>
<td>78.9935</td>
<td>73.2911</td>
</tr>
<tr>
<td>C</td>
<td>27.70</td>
<td>79.1225</td>
<td>76.7093</td>
</tr>
<tr>
<td>D</td>
<td>14.20</td>
<td>80.1918</td>
<td>76.2118</td>
</tr>
</tbody>
</table>

As shown in Table 1, the charging time increases when charging with equalization, indicating that the capacity of the battery pack increases after charging with equalization. Our previous studies [33,34] show the relationship between pack capacity and cell capacities is:

$$C_{\text{pack}} = \min(\text{SOC} \cdot C) + \min((1-\text{SOC}) \cdot C)$$

(6)

where $C_{\text{pack}}$ is pack capacity, SOC is the state of charge of the cell, and C is the cell capacity.

The voltage range and the SOC extremum after charging are shown in Table 2. According to Equation (6), as shown in Figure 6, the battery pack capacity under four cases after charging is 21.70 Ah, 22.63 Ah, 23.42 Ah, and 23.04 Ah.

Table 2. Voltage range and SOC (State of Charge) extremum after charging.

<table>
<thead>
<tr>
<th>Case</th>
<th>Voltage Range (mV)</th>
<th>Maximum of SOC (%)</th>
<th>Minimum of SOC (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>43.20</td>
<td>78.9709</td>
<td>69.4039</td>
</tr>
<tr>
<td>B</td>
<td>27.50</td>
<td>78.9935</td>
<td>73.2911</td>
</tr>
<tr>
<td>C</td>
<td>27.70</td>
<td>79.1225</td>
<td>76.7093</td>
</tr>
<tr>
<td>D</td>
<td>14.20</td>
<td>80.1918</td>
<td>76.2118</td>
</tr>
</tbody>
</table>
4.2. Equalization during the Discharging Process

During the entire discharging equalization process, there are three different conditions on the relative voltage state between the cell to be equalized in the battery pack and the additional cell, which are as follows:

(1) The relative voltage state between the cell to be equalized in the battery pack and the additional cell stays constant, and the voltage $U_0$ of the additional cell is always less than the minimum voltage $U_{\text{min}}$.

(2) The relative voltage state between the cell to be equalized in the battery pack and the additional cell undergoes a dynamic change process. At first, the voltage $U_0$ of the additional cell is less than the minimum voltage $U_{\text{min}}$. Then, it takes a value between the minimum voltage $U_{\text{min}}$ and the maximum voltage $U_{\text{max}}$. Finally, it is greater than the maximum voltage $U_{\text{max}}$.

(3) The relative voltage state between the cell to be equalized in the battery pack and the additional cell stays constant, and the voltage $U_0$ of the additional cell is always greater than the maximum voltage $U_{\text{max}}$.

Assuming that the SOC of $C_1$–$C_4$ is set to 90%, 92%, 94%, and 96%, respectively, before discharging, the SOC of the additional cell is set to 2%, 50%, and 98%, respectively, under the three conditions above. A 2 $\Omega$ resistor is used to discharge the battery pack. When the voltage of any cell in the battery pack reaches 2.6 V, the battery pack will stop discharging. The voltage change trajectory of the cells in the battery pack is shown in Figure 7 and the discharging time is shown in Table 3. As shown in Figure 7, the voltage difference among cells during the discharging process without equalization is 789.10 mV, but it decreases to 95.10 mV, 40.30 mV, and 21.80 mV after equalization.

![Figure 7](image-url)  
*Figure 7. Simulation results of discharging equalization. (a) Case E: no equalization; (b) Case F: SOC of $C_0 = 2\%$; (c) Case G: SOC of $C_0 = 50\%$; and (d) Case H: SOC of $C_0 = 98\%$.***
Table 3. Discharging time comparison.

<table>
<thead>
<tr>
<th>Case</th>
<th>Discharging Time (s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>E</td>
<td>9948</td>
</tr>
<tr>
<td>F</td>
<td>10,189</td>
</tr>
<tr>
<td>G</td>
<td>10,610</td>
</tr>
<tr>
<td>H</td>
<td>10,841</td>
</tr>
</tbody>
</table>

The voltage range and the SOC extremum after discharging are shown in Table 4. According to (6), as shown in Figure 8, the battery pack capacity under four cases after discharging is 22.47 Ah, 23.83 Ah, 23.99 Ah, and 23.99 Ah.

Table 4. Voltage range and SOC extremum after discharging.

<table>
<thead>
<tr>
<th>Case</th>
<th>Voltage Range (mV)</th>
<th>Maximum of SOC (%)</th>
<th>Minimum of SOC (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>E</td>
<td>789.10</td>
<td>6.3843</td>
<td>0.0063</td>
</tr>
<tr>
<td>F</td>
<td>95.10</td>
<td>0.6933</td>
<td>0.0019</td>
</tr>
<tr>
<td>G</td>
<td>40.30</td>
<td>0.0477</td>
<td>0.0008</td>
</tr>
<tr>
<td>H</td>
<td>21.80</td>
<td>0.0482</td>
<td>0.0046</td>
</tr>
</tbody>
</table>

Figure 8. Battery pack capacity after discharging.

4.3. Equalization during the Static Process

During the entire static equalization process, the relative voltage state between the cell to be equalized in the battery pack and the additional cell stays constant. There are three different conditions, which are as follows:

1. The voltage $U_0$ of the additional cell is always less than the minimum voltage $U_{\text{min}}$.
2. The voltage $U_0$ of the additional cell is always between the minimum voltage $U_{\text{min}}$ and the maximum voltage $U_{\text{max}}$.
3. The voltage $U_0$ of the additional cell is always greater than the maximum voltage $U_{\text{max}}$.

Assuming that the SOC of $C_1$–$C_4$ is set to 46%, 50%, 54%, and 58%, respectively, the SOC of the additional cell is set to 36%, 52%, and 68% under the three conditions above, respectively. Equalization will only begin if the voltage range of the cells in the battery pack is greater than 5 mV. The voltage change trajectory of the cells in the battery pack is shown in Figure 9, and the discharging time is shown in Table 5. As shown in Figure 9, the voltage difference among cells without equalization is 36.60 mV, but it decreases to about 5 mV after equalization.
4.3. Equalization during the Static Process

During the entire static equalization process, the relative voltage state between the cell to be equalized in the battery pack and the additional cell stays constant. There are three different conditions, which are as follows:

(1) The voltage $U_0$ of the additional cell is always less than the minimum voltage $U_{\text{min}}$.

(2) The voltage $U_0$ of the additional cell is always between the minimum voltage $U_{\text{min}}$ and the maximum voltage $U_{\text{max}}$.

(3) The voltage $U_0$ of the additional cell is always greater than the maximum voltage $U_{\text{max}}$.

Assuming that the SOC of $C_1$–$C_4$ is set to 46%, 50%, 54%, and 58%, respectively, the SOC of the additional cell is set to 36%, 52%, and 68% under the three conditions above, respectively. Equalization will only begin if the voltage range of the cells in the battery pack is greater than 5 mV. The voltage change trajectory of the cells in the battery pack is shown in Figure 9, and the discharging time is shown in Table 5. As shown in Figure 9, the voltage difference among cells without equalization is 36.60 mV, but it decreases to about 5 mV after equalization.

Table 5. Equalization time comparison.

<table>
<thead>
<tr>
<th>Case</th>
<th>Equalization Time (s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>I $^1$</td>
<td>None</td>
</tr>
<tr>
<td>J</td>
<td>86,400</td>
</tr>
<tr>
<td>K</td>
<td>86,800</td>
</tr>
<tr>
<td>L</td>
<td>48,300</td>
</tr>
</tbody>
</table>

$^1$ No equalization.

The voltage range and the SOC extremum after static equalization are shown in Table 6. According to (6), as shown in Figure 10, the battery pack capacity under four cases after static equalization is 20.86 Ah, 23.64 Ah, 23.52 Ah, and 23.42 Ah.

Table 6. Voltage range and SOC extremum after static equalization.

<table>
<thead>
<tr>
<th>Case</th>
<th>Voltage Range (mV)</th>
<th>Maximum of SOC (%)</th>
<th>Minimum of SOC (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>I $^1$</td>
<td>36.60</td>
<td>55.3540</td>
<td>42.5980</td>
</tr>
<tr>
<td>J</td>
<td>5.10</td>
<td>44.0755</td>
<td>42.5843</td>
</tr>
<tr>
<td>K</td>
<td>5.00</td>
<td>47.7993</td>
<td>45.7882</td>
</tr>
<tr>
<td>L</td>
<td>5.10</td>
<td>52.8665</td>
<td>50.5495</td>
</tr>
</tbody>
</table>

$^1$ No equalization.
The equalization during other process (charge and discharge) is similar, so there is no detail. Therefore, the initial conditions of cells in this section is consistent with that in Section 4.3. As for the comparison results, the end voltage and capacity of the cells are not very different because the equalization target (5 mV) of different equalizers are the same. In the previous sections, the end voltage and capacity of the cells are used to compare results between no equalization and equalization, so the comparison in this section is mainly through the equalization time. The shorter the equalization time, the better the equalization effect.

The voltage change of the cells in the battery pack during the different equalization methods is shown in Figure 11, and the equalization time of the different equalization methods is listed in Figure 12. It can be seen that the equalization time of the active and passive equalizers is longer than that of the proposed composite equalizer in the case of the additional cell with various SOCs, indicating the proposed method has higher equalization efficiency. Moreover, the equalization efficiency increases with the increase of the SOC of the additional cell.
5. Experiment Verification

To verify the effectiveness of the proposed equalizer, experimental verification is investigated in this section. The composite equalization system is mainly divided into three parts. The first part is the microcontroller unit (MCU) based on XC164CS (Infineon Technologies, Neubiberg, Germany). The function of this part is to receive the voltage information of all single cells in the battery pack, to drive the corresponding relay switch, and to communicate with the host computer. The second part is the cell voltage acquisition circuit based on LTC6804 (Analog Devices, Norwood, MA, USA). The function of this part is to detect and collect all cell voltage information in the battery pack, and then transmit it to the MCU. The third part is the core equalization circuit, including passive and active equalization. The function of this part is to adjust the voltage level of cells in the battery pack so as to keep the voltage level of cells in good consistency.

Figure 13 shows the experimental platform. The equalization circuit is the proposed circuit, and the control algorithm is realized in the controller. The data can be read by the human machine interface, and experimental data is recorded by the personal computer (PC). In this experiment, 12 cells are used as test cells for the equalizer, and a cell is used as additional cell. The parameters of the experimental cells are as follows: nominal capacity is 3200 mAh; nominal voltage is 3.6 V, lower and upper cut-off voltage are 2.5 V and 4.2 V, respectively. In the experiment, each cell has different initial SOC, and the SOC of the additional cell is the highest.

Figure 14 shows the experiment results under static condition. It can be seen that the test cells are charged by additional cell. Except Cell 8, the maximum voltage difference between cells is reduced from 32 mV to 6 mV in 3500 s. Moreover, it is obvious that passive equalizer is running (Cell 8) while passive equalizer is turned on, which greatly improves the equalization efficiency. The maximum voltage difference of all cells is reduced from 72 mV to 18 mV.

It is necessary to point out that the speed of passive equalization is slow (Cell 8), which is caused by the smaller voltage difference between cells, which is caused by the smaller equalization current, which is the disadvantage of passive equalization. However, our proposed composite equalization method combines active and passive equalizers to improve equalization efficiency, and our designed active equalizer based on an additional cell has a simpler structure than the traditional active equalizer.
will be used. When the voltage difference between the cell to be equalized and the additional cell is large, active equalization is adopted. In contrast, passive equalization is used when the difference is small. The equalization mode can be switched automatically according to the state of the cells. When the voltage difference is large, the active equalization method is chosen, as it achieves a higher equalization efficiency. Conversely, passive equalization is preferred for smaller differences, leveraging its non-dissipative nature.

Active equalization methods typically use a bypass resistor to dissipate energy, whereas passive methods rely on the internal resistance of the cells to equalize the voltage levels. These methods are designed to work synergistically, combining the strengths of both approaches. Passive equalization is effective in mitigating the voltage differences between cells by utilizing the natural resistance of the battery components, whereas active methods actively adjust the voltage by driving corresponding relay switches.

The algorithm developed for this study is a composite equalization method based on an additional cell that combines both active and passive equalization parts. This approach aims to optimize the energy management system by ensuring high efficiency and safety in battery operations.

6. Conclusions

In order to improve the consistency of cells in lithium-ion powered battery packs for EVs, a composite equalization method based on an additional cell that combines both active and passive equalizers is proposed. The equalization principle, circuit, control strategy and algorithm are studied through simulation and experiment in many cases. The main conclusions of this study are as follows:

(1) A novel composite equalization method is proposed, and its configuration and circuit are designed. The composite equalization includes passive and active equalization parts. These are mutually independent in structure and are mutually coordinated in function. Passive equalization adopts common energy dissipation equalization by using a bypass resistor. Active equalizer adopts non-dissipative equalization by using an additional cell, which has a simpler structure than the traditional active equalizer.

(2) A composite equalization algorithm containing three equalization modes is proposed. The equalization mode can be switched automatically according to the state of the cells. When the voltage difference between the cell to be equalized and the additional cell is large, active equalization will be used. When the voltage difference between the cell to be equalized and the additional cell is small, passive equalization will be used. The algorithm combines the advantages of the different equalization methods.
The Simscape module in MATLAB/Simulink is used to build a physical model of the composite equalization circuit, and the equalization control strategy and algorithm are modeled in Simulink/Stateflow. The simulation results show that the consistency level of the battery pack improved and the available capacity increased. Moreover, the equalization time of the composite equalization during the static equalization process is obviously shortened compared to single equalization.

Experimental results have shown the proposed equalizer demonstrates a good comprehensive performance of active and passive equalizers.

The proposed method is not sufficient tested and verified in a real battery management system for various cases. Further works include: (a) More intensive verification of the proposed method and algorithm in battery packs for various cases; (b) a more advanced equalization algorithm based on composite equalizer; and (c) equalization control of parallel-connected battery based on our proposed method.

Author Contributions: All authors contributed to the paper. Conceptualization: X.L. and Y.Z.; data curation: C.J. and P.H.; investigation: H.G. and X.L.; methodology: X.L. and L.Z.; project administration: X.L. and Y.Z.; software: C.J.; validation: H.G.; writing (original draft): X.L. and C.J.; writing (review and editing): X.L., C.J., and P.H. All the authors read and approved the final manuscript.

Funding: This research was funded by the National Natural Science Foundation of China (NSFC) under the grant number of 51505290 and 51877138.

Conflicts of Interest: The authors declare no conflict of interest.

References
6. Zheng, Y.J.; Ouyang, M.G.; Han, X.B.; Lu, L.G.; Li, J.Q. Investigating the error sources of the online state of charge estimation methods for lithium-ion batteries in electric vehicles. J. Power Sources 2018, 377, 161–188. [CrossRef]
9. Xiong, R.; Cao, J.Y.; Yu, Q.Q.; He, H.W.; Sun, F.C. Critical review on the battery state of charge estimation methods for electric vehicles. IEEE Access 2018, 6, 1832–1843. [CrossRef]
10. Xiong, R.; Cao, J.Y.; Yu, Q.Q. Reinforcement learning-based real-time power management for hybrid energy storage system in the plug-in hybrid electric vehicle. Appl. Energy 2018, 211, 538–548. [CrossRef]

15. Lv, J.; Song, W.J.; Lin, S.L.; Chen, M.B.; Feng, Z.P.; Li, Y.L.; Ding, Y.L. Influence of equalization on LiFePO4 battery inconsistency. *Int. J. Energy Res.* **2017**, *41*, 1171–1181. [CrossRef]


21. Lu, L.G.; Han, X.B.; Li, J.Q.; Hua, J.F.; Ouyang, M.G. A review on the key issues for lithium-ion battery management in electric vehicles. *J. Power Sources* **2013**, *226*, 272–288. [CrossRef]


