Article

Components Sizing and Performance Analysis of Hydro-Mechanical Power Split Transmission Applied to a Wheel Loader

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Abstract: The powertrain efficiency deeply affects the performance of off-road vehicles like wheel loaders in terms of fuel economy, load capability, smooth control, etc. The hydrostatic transmission (HST) systems have been widely adopted in off-road vehicles for providing large power density and continuous variable control, yet using relatively low efficiency hydraulic components. This paper presents a hydrostatic-mechanical power split transmission (PST) solution for a 10-ton wheel loader for improving the fuel economy of a wheel loader. A directly-engine-coupled HST solution for the same wheel loader is also presented for comparison. This work introduced a sizing approach for both PST and HST, which helps to make proper selections of key powertrain components. Furthermore, this work also presented a multi-domain modeling approach for the powertrain of a wheel loader, that integrates the modeling of internal combustion (IC) engine, hydraulic systems, mechanical transmission, vehicle(wheel) dynamics, and relevant control systems. In this modeling, an engine torque evaluation method with a throttle position control system was developed to describe the engine dynamics; a method to express the hydraulic loss of the axial piston hydraulic pump/motor was developed for modeling the hydraulic transmission; and a vehicle velocity control system was developed based on altering the displacement of a hydraulic unit. Two powertrain models were developed, respectively, for the PST and HST systems of a wheel loader using MATLAB/Simulink. The simulation on a predefined wheel loader drive cycle was conducted on both powertrain models to evaluate and compare the performance of wheel loader using different systems, including vehicle velocity, hydraulic displacement control, hydraulic torque, powertrain efficiency, and engine power consumption. The simulation results indicate that the vehicle velocity controller developed functions well for both the PST and HST systems; a wheel loader using the proposed PST solution can overall save about 8% energy consumption compared using an HST solution in one drive cycle. The sizing method and simulation models developed in this work should facilitate the development of the powertrains for wheel loaders and other wheeled heavy vehicles.

Keywords: wheel loader; power split transmission; component sizing; simulation model; performance evaluation

1. Introduction

The energy consumptions of off-road vehicles such as wheel loaders mainly depend on the energy efficiency of their transmission systems, which usually adopts continuous variable transmission (CVT) to improve the comfort. Current technologies allow following CVT solutions: hydrodynamic
transmission (torque converter), hydrostatic transmission (HST) (hydraulic pump/motor), mechanical transmission, and electric transmission. The hydrodynamic and hydrostatic solutions have been commonly used in off-road vehicle applications when comprehensively considering efficiency, power density, speed range, cost, etc. However, the efficiency of an HST is still relatively low due to the repeated energy conversion, and the hydrodynamic transmission usually can only achieve high efficiency at high speed scenarios [1,2]. It has been realized that a satisfying efficiency performance cannot be achieved by a pure CVT. Therefore, researchers have proposed a novel type of transmission, usually named as power split transmission [3,4]. In a power split transmission (PST), the transmitted power is shared by two different transmission paths: a mechanical path with normally high efficiency, and a hydrostatic or hydrodynamic path for CVT. The power from the two paths will be combined through a planetary gear set. This PST has been expected to become a more efficient CVT compared to a directly coupled CVT. Blake et al. studied different power-split architecture: input coupled, output coupled, and complex solutions, which show advantage in heavy vehicle applications [5]. Specifically for the wheel loader application, Nilsson et al. presented a multi-mode hydrostatic-mechanical power split transmission, which achieved at least 15% better fuel saving potential than the present torque converter solution [6]. Liu et al. replaced the existing torque converter with a hydrodynamic-mechanical PST for a wheel loader, which shows 3.38% fuel saving rate [7].

In order to evaluate the performance of newly proposed powertrain architecture, researchers also developed various modeling methods particularly for the hydraulic system and internal combustion (IC) engine. For analyzing the efficiency of HST for off-road vehicles, Comellas et al. applied constant parameters to linear and quadratic coefficients, respectively, for the hydraulic flow and pressure loss [2]; Schulte developed hydraulic pump/motor flow expression as a function of input current to the control servo-valve and introduced a pressure dependent coefficient to evaluate flow leakage [8]. When studying the fuel consumption of urban buses using PSTs, Macro and Rossetti modeled the behavior of the hydraulic units by applying to an ideal unit two loss coefficients: friction coefficient for pressure loss and orifice coefficient for flow leakage, which were expressed in polynomial functions of unit speed, load pressure and displacement position [9]. For engine modeling, apart from developing an engine efficiency map from experimental testing [7,9,10], Nilsson et al. expressed engine torque as a function of engine speed and fuel injection using quadratic efficiency model when predicting the fuel potential of power split CVT for a wheel loader [6]. As for the system modeling tool, Kim et al. created subsystem models for all function components of a hydrostatic powertrain and integrated them as a whole system model using MATLAB/Simulink, which was experimentally validated when analyzing the energy flow of a wheel loader [10]. Besides, commercial software Amesim with embedded time-dependent analytical equations for a hydraulic, pneumatic, thermal, electric, or mechanical system has also been frequently adopted to investigate powertrains as multi-domain systems [9,11].

There is more literature on investigating the efficiency and control strategy of heavy vehicles’ powertrains by means of simulations [12,13]. However, these works above rarely reveal the design procedure of the transmission system, particularly when it involves hydraulic components. Cundiff introduced a sizing process for a hydrostatic powertrain of a harvester focusing on the sizing of hydraulic units, in which the gear ratios of mechanical transmission were pre-selected based on some off-the-shelf products [14]. Although such an approach may facilitate the sizing process, a sizing method that combines hydraulic units and mechanical components should be able to recommend more accurate and flexible options.

The purpose of this work was to design and analyze a hydrostatic-mechanical PST for a wheel loader given a set of design specifications and assumptions. One contribution of this work is to present a fundamental design procedure which included sizing and selections of key hydraulic and mechanical components. For comparison, this work also presented a design solution to a directly-engine-coupled HST for the same wheel loader. Section 3 will detail the sizing procedure of the key components of the HST and PST. Another contribution of this work is to introduce a multi-domain modeling approach to describe the powertrain system of a wheel loader which integrates combustion engine
system, hydraulic system, wheel dynamics, and mechanical transmission, wheel dynamics, and control systems. Section 4 will detail the modeling development, which includes the mathematical modeling for general physics and unique modeling techniques comparing to other state-of-the-art modeling methods. Based on the proposed modeling approach, this work developed two powertrain models, respectively, for designed PST and HST systems, and simulations were conducted to predict and compare their performances under specified operation conditions in Section 5.

2. Powertrain Schematic

Figure 1 shows basic schematics of HST and PST powertrains for a wheel loader. In this project, an output coupled PST operation mode was adopted for wheel loader PST design. An HST starts out with the combustion engine (CE), which first serially coupled with a hydraulic system and then a mechanical transmission set in sequence. The hydraulic system includes two variable displacement pump/motors: one as pump Unit 1 to directly transmit the engine power through the hydraulic lines and another as motor Unit 2 to transmit hydraulic power to following mechanical gear sets. The mechanical transmission includes a two-stage gearbox allow the gear ratio to shift between two levels \((i_I \text{ and } i_{II})\) for different load situations and an axle gear set with fixed ratio \(i_{axle}\) to adapt required wheel loader speed. In a PST powertrain, the engine power was firstly transmitted to a planetary gear set through the input shaft. The planetary gear set allows the input shaft drive the carrier \(C\) and divide the input power into two parts: one ring gear \(R\) to Unit 1 transmits the power through the hydraulic path by a gear set with ratio \(i_1\); another from sun gear \(S\) to two-stage gearbox through the mechanical path. In order to combine the split power, the Unit 2 was connected the sun gear shaft by the gear set with ratio \(i_2\). In this work, the hydraulic transmission of PST shared the same circuit (component size may be different) with the one of HST, as shown in Figure 2. Moreover, the PST and HST powertrain adopted the same gear ratio settings for both two-stage gearbox and axle gear set.

(a) Hydrostatic transmission (HST)

Figure 1. Cont.
3. Powertrain Sizing

3.1. Maximum Load Requirements

Table 1 lists the all design specifications and assumptions for wheel loader powertrain design.

<table>
<thead>
<tr>
<th>Design Specifications</th>
<th>Symbol</th>
<th>Value/Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maximum traction force for pulling effort</td>
<td>$F_{\text{pull}}$</td>
<td>25 kN</td>
</tr>
<tr>
<td>Axle gear ratio</td>
<td>$i_{\text{axle}}$</td>
<td>4.25</td>
</tr>
<tr>
<td>Rated engine power</td>
<td>$P_{E}$</td>
<td>90 kW</td>
</tr>
<tr>
<td>Wheel loader vehicle mass</td>
<td>$m_{\text{veh}}$</td>
<td>10,000 kg</td>
</tr>
<tr>
<td>Maximum cargo mass</td>
<td>$m_{\text{cargo}}$</td>
<td>3000 kg</td>
</tr>
<tr>
<td>Rated engine speed</td>
<td>$n_{E}$</td>
<td>2200 rpm</td>
</tr>
<tr>
<td>Max. system differential pressure</td>
<td>$\Delta p_{\text{max}}$</td>
<td>380 bar</td>
</tr>
<tr>
<td>Dynamic roll radius</td>
<td>$r$</td>
<td>0.617 m</td>
</tr>
<tr>
<td>Coefficient of rolling resistance (soil)</td>
<td>$R_{\text{soil}}$</td>
<td>0.08</td>
</tr>
<tr>
<td>Coefficient of rolling resistance (asphalt)</td>
<td>$R_{\text{asphalt}}$</td>
<td>0.015</td>
</tr>
<tr>
<td>Maximum wheel loader speed</td>
<td>$v_{\text{max}}$</td>
<td>40 km/h</td>
</tr>
<tr>
<td>Two-stage gearbox shifting speed</td>
<td>$v_{\text{shift}}$</td>
<td>10 km/h</td>
</tr>
<tr>
<td>Maximum Climbing slope with payload %</td>
<td>$\theta$</td>
<td>30%</td>
</tr>
<tr>
<td>Design Assumptions *</td>
<td>Symbol</td>
<td>Value/units</td>
</tr>
</tbody>
</table>

(b) Power split transmission (PST)

Figure 1. Schematics of the proposed wheel loader powertrains.

Figure 2. Hydraulic circuit of the wheel loader powertrain.
The three scenarios are subjected to corresponding operation conditions shown in Table 2, which also shows the resulting wheel torque values, which are, respectively, represented by applied wheel torque. The following three possible scenarios during the wheel loader operation were investigated here to find the maximum torque applied to the wheels:

i. Driving on flat asphalt road accelerating to maximum speed;

ii. Driving on flat ground of soil with maximum pulling effort;

iii. Driving on a maximum climbing slope of soil road.

The powertrain for a wheel loader (as shown in Figure 3) should be able to handle the maximum applied wheel torque. The following three possible scenarios during the wheel loader operation were investigated here to find the maximum torque applied to the wheels:

i. Driving on flat asphalt road accelerating to maximum speed;

ii. Driving on flat ground of soil with maximum pulling effort;

iii. Driving on a maximum climbing slope of soil road.

Table 1. Cont.

<table>
<thead>
<tr>
<th>Design Specifications</th>
<th>Symbol</th>
<th>Value/Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unit 1 torque efficiency</td>
<td>$\eta_{t1}$</td>
<td>0.90</td>
</tr>
<tr>
<td>Unit 1 volumetric efficiency</td>
<td>$\eta_{v1}$</td>
<td>0.95</td>
</tr>
<tr>
<td>Unit 2 torque efficiency</td>
<td>$\eta_{t2}$</td>
<td>0.90</td>
</tr>
<tr>
<td>Unit 2 volumetric efficiency</td>
<td>$\eta_{v2}$</td>
<td>0.95</td>
</tr>
<tr>
<td>Two-stage gearbox efficiency</td>
<td>$\eta_{g}$</td>
<td>0.98</td>
</tr>
<tr>
<td>Axle gear efficiency</td>
<td>$\eta_{axle}$</td>
<td>0.98</td>
</tr>
<tr>
<td>Planetary gear efficiency</td>
<td>$\eta_{o}$</td>
<td>0.98</td>
</tr>
<tr>
<td>Power split gears ($i_1$, $i_2$) efficiency</td>
<td>$\eta_{gi1}$, $\eta_{gi2}$</td>
<td>0.98</td>
</tr>
</tbody>
</table>

* Note: The assumed efficiency values of hydraulic units only apply to the component sizing sections, and they will be evaluated using a more sophisticated method during the powertrain modeling sections.

The powertrain for a wheel loader (as shown in Figure 3) should be able to handle the maximum applied wheel torque. The following three possible scenarios during the wheel loader operation were investigated here to find the maximum torque applied to the wheels:

i. Driving on flat asphalt road accelerating to maximum speed;

ii. Driving on flat ground of soil with maximum pulling effort;

iii. Driving on a maximum climbing slope of soil road.

The load torque applied to the wheel loader $T_W$ can be calculated based on following equations:

$$T_W = (m_{veh} + m_{cargo})(gR \cdot \cos \theta + g \cdot \sin \theta + a_{veh}).$$  \hspace{1cm} (1)

Here, $R$ represents the rolling resistance along the travel path, which can be asphalt road or soil ground. The vehicle acceleration $a_{veh}$ is assumed to be constant for its calculation in this sizing study. The three scenarios are subjected to corresponding operation conditions shown in Table 2, which also shows the resulting wheel torque values, which are, respectively, represented by $M_I$, $M_{II}$, $M_{III}$. It can be found that the maximum applied wheel load occurs in scenario III, which is 30,446 Nm.

Table 2. Possible operation scenarios with maximum wheel load.

<table>
<thead>
<tr>
<th>Scenarios</th>
<th>Acceleration</th>
<th>Payload</th>
<th>Pull Effort</th>
<th>Slope</th>
<th>Wheel Torque</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>40 km/h in 25 s</td>
<td>3000 kg</td>
<td>0</td>
<td>0</td>
<td>4732 Nm</td>
</tr>
<tr>
<td>II</td>
<td>5 km/h in 10 s</td>
<td>3000 kg</td>
<td>25,000 N</td>
<td>0</td>
<td>23,525 Nm</td>
</tr>
<tr>
<td>III</td>
<td>5 km/h in 10 s</td>
<td>3000 kg</td>
<td>0</td>
<td>30%</td>
<td>30,446 Nm</td>
</tr>
</tbody>
</table>

Figure 3. Wheel loader with payload on a 30% slope.
3.2. HST Sizing

The design of the HST drivetrain involves with the sizing and selection of hydraulic Unit 1 and Unit 2’s displacement ($V_1$ and $V_2$) and gear ratio for the two-stage gearbox. In order to facilitate gear ratio sizing, the gearbox ratio $i_g$ and the axle ratio $i_{axle}$ can be combined into one ratio:

$$\begin{cases} i_{low} = i_I \cdot i_{axle} \\ i_{high} = i_{II} \cdot i_{axle} \end{cases}$$

(2)

3.2.1. Unit 2 and Gearbox Sizing

The speed norm and the torque norm will be combined to size Unit 2 and gearbox.

(1) Speed Norm

In the norm of speed requirements, the maximum low speed gear ratio $i_{low}$ should be able to handle the vehicle’s shifting speed $v_{shift}$ and the maximum high-speed gear ratio $i_{high}$ should help to reach a wheel load speed $v_{max}$. Moreover, the speed norm also requires Unit 2 run at its maximum operating speed. The gear ratios should be subject to following equation:

$$\begin{cases} v_{shift} \leq \frac{2\pi n_{2\text{max}}}{60i_{low}} \\ v_{max} \leq \frac{2\pi n_{2\text{max}}}{60i_{high}} \end{cases}$$

(3)

(2) Torque Norm

The torque transmitted to wheel from Unit 2 can be calculated using Equation (4):

$$\begin{cases} M_{III} \leq \frac{\Delta p_{max}}{\eta_2} \frac{V_i}{2\pi} i_{low} \eta_t \eta_{g} \eta_{axle} \\ M_I \leq \frac{\Delta p_{max}}{\eta_2} \frac{V_i}{2\pi} i_{high} \eta_t \eta_{g} \eta_{axle} \end{cases}$$

(4)

In the norm of torque requirements, the minimum low speed gear ratio $i_{low}$ should help to provide a wheel torque of 30,446 Nm, and the minimum high-speed gear ratio $i_{high}$ should help to provide a wheel torque of 4732 Nm, according to Table 3.

Table 3. HST Unit 2 selection lookup table [15].

<table>
<thead>
<tr>
<th>Displacement (Full)</th>
<th>Max. Unit Speed</th>
<th>Min. $i_{low}$ @ Max. Torque</th>
<th>Max. $i_{low}$ @ Shifting Speed</th>
<th>Min. $i_{high}$ @ Max. Acceleration</th>
<th>Max. $i_{high}$ @ Max. Speed</th>
</tr>
</thead>
<tbody>
<tr>
<td>42 cc</td>
<td>4600 rpm</td>
<td>138.6</td>
<td>106.9</td>
<td>21.5</td>
<td>26.7</td>
</tr>
<tr>
<td>55 cc</td>
<td>4250 rpm</td>
<td>105.8</td>
<td>98.8</td>
<td>16.4</td>
<td>24.7</td>
</tr>
<tr>
<td>75 cc</td>
<td>3950 rpm</td>
<td>77.6</td>
<td>91.8</td>
<td>12.1</td>
<td>23.0</td>
</tr>
<tr>
<td>100 cc</td>
<td>3650 rpm</td>
<td>58.2</td>
<td>84.9</td>
<td>9.0</td>
<td>21.2</td>
</tr>
</tbody>
</table>

Based on above sizing equations, Unit 2 selection lookup table can be developed. This work selected Danfoss Series 90 variable displacement pump/motors for Units 1 and 2. The ones with 75 cc and 100 cc displacement meet design requirements (min. $i_{low} < \text{max. } i_{low}$; min. $i_{high} < \text{max. } i_{high}$). To avoid extra costs and power wasting, the 75 cc machine is selected as HST Unit 2. Accordingly, the low speed gear ratio $i_{low}$ could be set as 85 the high-speed gear ratio $i_{high}$ could be set as 17. Since the axle gear ratio is specified as 4.25, the low speed gear ratio $i_I$ and high speed 2 gear ratio $i_{II}$ of two-stage gearbox is, respectively, set as 20 and 4.

3.2.2. Unit 1 Sizing

The sizing of HST Unit 1 displacement $V_1$ should be able to handle all 90 kW from engine and $V_1$ should be at least:

(1) Speed Norm
Therefore, a 75 cc Danfoss Series 90 variable displacement pump should be sufficient [16]. The information of key components of wheel loader HST is included in Table 4.

Table 4. Major components of wheel loader HST.

<table>
<thead>
<tr>
<th>Description</th>
<th>Size</th>
</tr>
</thead>
<tbody>
<tr>
<td>Two-stage Gearbox</td>
<td>Level I ratio 4:1; Level II ratio 20:1</td>
</tr>
<tr>
<td>Unit 1</td>
<td>Danfoss Series 90 75 cc Axial Piston Machine</td>
</tr>
<tr>
<td>Unit 2</td>
<td>Danfoss Series 90 75 cc Axial Piston Machine</td>
</tr>
</tbody>
</table>

3.3. PST Sizing

3.3.1. Planetary Gearing Sizing

In this work, the PST powertrain used the same gear ratios for two-stage gearbox. The design of the PST drivetrain involves with the sizing and selection of hydraulic Unit 1 and Unit 2's displacement ($V_{i1}$ and $V_{i2}$), the gear ratio $i_o$ for the planetary gear set and gear ratios of two power-split gear sets ($i_1$ and $i_2$). A planetary gear ratio is subjected to following equation:

$$n_S + i_o n_R - (1 + i_o)n_C = 0.$$  \hspace{1cm} (6)

The vehicle speed at full mechanical point ($n_s = 0$) helps to determine the planetary gear ratio, and it can be calculated using Equation (7):

$$i_o = \max \left\{ \frac{60v_{\text{max}}^\text{shift} \cdot i_{\text{high}}}{n_{CE} \cdot 2\pi r} - 1, \frac{60v_{\text{max}} \cdot i_{\text{high}}}{n_{CE} \cdot 2\pi r} - 1 \right\} = 0.66.$$  \hspace{1cm} (7)

3.3.2. Unit 2 and Power Split Gear 2 Sizing

The sizing of Unit 2 displacement $V_2$ and gear ratio $i_2$ sizing for PST was subjected to following sizing norms:

(1) Speed Norm:

$$i_2 = \frac{n_2}{n_S} \leq \min \left\{ \frac{2\pi r \cdot n_{2\text{max}}}{60v_{\text{shift}}}, \frac{2\pi r \cdot n_{2\text{max}}}{60v_{\text{max}}^\text{shift}} \right\}. \hspace{1cm} (8)$$

(2) Torque Norm:

$$i_2 \geq \max \left\{ \frac{M_{I}}{V_2^2 \cdot i_{\text{low}} \cdot \Delta P_{\text{max}} \cdot \eta_2 \cdot \eta_2 \cdot \eta_2 \cdot \eta_{\text{axle}}}, \frac{M_{III}}{V_2^2 \cdot i_{\text{high}} \cdot \Delta P_{\text{max}} \cdot \eta_2 \cdot \eta_2 \cdot \eta_2 \cdot \eta_{\text{axle}}} \right\}. \hspace{1cm} (9)$$

Then based on above sizing equations, a Unit 2 selection lookup table was built up in Table 5. Note that the sizing of PST still selected the Danfoss Series 90 variable displacement machines for both Units 1 and 2.

Table 5. PST Unit 2 selection lookup table [15].

<table>
<thead>
<tr>
<th>Displacement (Full)</th>
<th>Max. Unit Speed/rpm</th>
<th>Min. $i_2$ @ Torque Norm</th>
<th>Max. $i_2$ @ Speed Norm</th>
</tr>
</thead>
<tbody>
<tr>
<td>42</td>
<td>4600</td>
<td>1.66</td>
<td>1.26</td>
</tr>
<tr>
<td>55</td>
<td>4250</td>
<td>1.27</td>
<td>1.16</td>
</tr>
<tr>
<td>75</td>
<td>3950</td>
<td>0.93</td>
<td>1.08</td>
</tr>
<tr>
<td>100</td>
<td>3650</td>
<td>0.70</td>
<td>1.00</td>
</tr>
</tbody>
</table>
It can be found that only the ones with 75.0 cc and 100.0 cc maximum displacement meet design requirements ($i_{2\text{min}} < i_{2\text{max}}$). To avoid extra costs and power wasting, the 75 cc Danfoss Series 90 machine is selected as PST Unit 2. Accordingly, this work selects 1 for the gear ratio $i_2$ ($0.93 < i_2 < 1.08$).

### 3.3.3. Unit 1 and Power Split Gear 1 Sizing

The sizing of Unit 1 displacement $V_1$ and gear ratio $i_1$ sizing for PST was subjected to following sizing norms:

1. **Speed Norm:**
   
   $$i_1 = \left| \frac{n_R}{n_1} \right| \geq \frac{n_E}{n_{1\text{max}}}n_{i_0} \cdot (i_{o} + 1),$$
   
   **Torque Norm:**
   
   $$i_1 \leq \frac{\Delta p_{\text{max}} V_1}{n_{E} \cdot \eta_{g1} \eta_{r1} \cdot i_{o}} \cdot (i_{o} + 1) \cdot \eta_{o} \cdot \eta_{t1} \cdot 60P_{E}.$$

Then based on above sizing equations, the PST Unit 1 displacement $V_1$ and gear ratio $i_1$ can be selected using Table 6.

<table>
<thead>
<tr>
<th>Displacement (Full)</th>
<th>Max. unit Speed/rpm</th>
<th>Min. $i_1$ @ Speed Norm</th>
<th>Max. $i_1$ @ Torque Norm</th>
</tr>
</thead>
<tbody>
<tr>
<td>42</td>
<td>4600</td>
<td>1.20</td>
<td>1.89</td>
</tr>
<tr>
<td>55</td>
<td>4250</td>
<td>1.30</td>
<td>2.28</td>
</tr>
<tr>
<td>75</td>
<td>3950</td>
<td>1.40</td>
<td>3.11</td>
</tr>
<tr>
<td>100</td>
<td>3650</td>
<td>1.52</td>
<td>4.15</td>
</tr>
</tbody>
</table>

It was found available displacement machine all satisfies design requirements (min. $i_1 < \text{max. } i_1$) and the 42 cc variable displacement machine was selected. Accordingly, the gear ratio $i_1$ was set as 1.4 ($1.2 < i_2 < 1.74$). Therefore, information of major components of wheel loader PST is included in Table 7.

<table>
<thead>
<tr>
<th>Description</th>
<th>Size</th>
</tr>
</thead>
<tbody>
<tr>
<td>Planetary gearbox</td>
<td>Gear ratio $i_o$: 0.66</td>
</tr>
<tr>
<td>Power split gearing 1</td>
<td>Gear ratio $i_1$: 1.4</td>
</tr>
<tr>
<td>Power split gearing 2</td>
<td>Gear ratio $i_2$: 1.0</td>
</tr>
<tr>
<td>Two-stage gearbox</td>
<td>Level I ratio $i_1$: 20; Level II ratio $i_2$: 4</td>
</tr>
<tr>
<td>Unit 1</td>
<td>Danfoss Series 90 42 cc Axial Piston Machine</td>
</tr>
<tr>
<td>Unit 2</td>
<td>Danfoss Series 90 75 cc Axial Piston Machine</td>
</tr>
</tbody>
</table>

### 4. Modeling Development

The HST and PST powertrains proposed in Section 3 belong to multi-domain systems that include combustion engine, hydraulic system, mechanical transmission, vehicle dynamics, and control system. An effective powertrain model should describe the physics of each domain and integrate them together as a whole.

#### 4.1. Engine Model

The engine shaft dynamics is subjected to following equation:

$$J_E \cdot \frac{d\omega_E}{dt} = T_E - T_L - T_f,$$

**Equation (12)**
where \( J_E \) is the engine rotor’s moment inertia; \( T_E, T_L, \) and \( T_f, \) respectively, represents torque from combustion, engine load torque, and friction torque.

The engine torque against different operation conditions can be acquired using an engine map [10]. This work developed a curve of engine torque \( T_E_{100\%} \) at full throttle against different engine speed based on the experimental data provided by Purdue University [17], as shown in Figure 4.

![IC Engine Torque at 100% WOT (wide open throttle)](image)

**Figure 4.** Internal combustion (IC) engine torque curve at 100% wide open throttle.

This modeling assumes the engine torque at certain speed linearly changes with throttle position \( \beta_E \) (%), so the engine torque \( T_E \) can be evaluated using following equation:

\[
T_E = \beta_E T_{E,100\%}.
\]  

(13)

The throttle position is typically modeled as preset condition of engine model [10]. This work created a proportional–integral–derivative (PID) controller to maintain the engine running at a target speed and the throttle position was modeled as the direct output of this engine speed controller, as shown in Figure 5. Note that a first order transfer function with time constant \( t_E \) was utilized to describe the dynamic response of throttle position control.

![Engine Speed Controller](image)

**Figure 5.** Engine Speed Controller.

As for the engine friction torque, this model assumes the \( T_f \) is linearly dependent on engine speed with a linear coefficient \( k_f, \) which can be expressed in Equation (14):

\[
T_f = k_f n_E.
\]  

(14)
4.2. Hydraulic System Model

The hydraulic system shown in Figure 2 can be described by following equations. Hydraulic Unit 1 and Unit 2 dynamics:

\[
\begin{align*}
J_1 \frac{d \omega_1}{dt} &= T_1 - \frac{\Delta p_1 V_1}{2\pi} - T_s1 \\
J_2 \frac{d \omega_2}{dt} &= \frac{\Delta p_2 V_2}{2\pi} - T_s2 - T_2,
\end{align*}
\]

where \(J_1\) and \(J_2\) represent the moment inertia of Unit 1 and Unit 2; \(T_1\) and \(T_2\) represent the torque transmitted to Unit 1 directly from engine and output torque from Unit 2; the swash plate angle position (displacement percent) \(\beta_1\) and \(\beta_2\) of Unit 1 and Unit 2 both range from \(-100\%\) to \(100\\%\) (positive value means the unit runs as pump, otherwise as motor) and they will be controlled by feeding back value of vehicle velocity to keep the wheel loader running at commanded velocity; \(p_A\) and \(p_B\) represents pressure of line A and Line B, which can be determined by following equations.

Hydraulic transmission line pressure buildup equations:

\[
\begin{align*}
\dot{p}_A &= \frac{K}{V_A} (n_1 \beta_1 V_1 - Q_{s1} + n_2 \beta_2 V_2 - Q_{s2} - Q_r + Q_c) \\
\dot{p}_B &= \frac{K}{V_B} (-n_1 \beta_1 V_1 - Q_{s1} - n_2 \beta_2 V_2 - Q_{s2} - Q_r + Q_c),
\end{align*}
\]

where \(K\) is the bulk modulus of hydraulic fluid; \(V_A\) and \(V_B\) represent the fluid volume stored in hydraulic line A and B; \(Q_r\) and \(Q_c\) represents flow through the relief valve and check valve, which can be calculated using the following equations.

(3) High pressure relief valve and low pressure check valve’s characteristics [13]:

\[
\begin{align*}
Q_r &= \begin{cases} 
K_r (p_r - p_A) & \text{if } \Delta p > 0 \\
K_r (p_r - p_B) & \text{if } \Delta p \leq 0
\end{cases}, \\
Q_c &= \begin{cases} 
K_c (p_A - p_c) & \text{if } \Delta p \leq 0 \\
K_c (p_B - p_c) & \text{if } \Delta p > 0
\end{cases},
\end{align*}
\]

where \(K_r\) and \(K_c\) represent the flow coefficient of relief valves and check valves; \(p_r\) and \(p_c\) represent threshold pressure settings of relief valves and check valves and are, respectively, set as 400 bar and 20 bar in this work.

The key inputs to above hydraulic system modeling are the torque loss \((T_{s1} \text{ and } T_{s2})\) and flow loss \((Q_{s1} \text{ and } Q_{s2})\) of Unit 1 and Unit 2. Some study may use a constant value [9] or a pressure-dependent function [10] to describe the torque and volumetric efficiency. Instead of using one constant for efficiencies in sizing of Section 3, this modeling developed expressions (as expressed in Equation (19)) for torque loss and flow loss at certain combination of pump/motor speed, differential pressure, and swash plate angle position by implementing fifth-order polynomial interpolations of the testing data of an axial piston machine [17].

\[
\begin{align*}
T_s &= \sum_{i=0}^{5} \sum_{j=0}^{5} a_{ij} \Delta p^i \beta^{5-i-j} \\
Q_s &= \sum_{i=0}^{5} \sum_{j=0}^{5} b_{ij} \Delta p^i \beta^{5-i-j},
\end{align*}
\]

4.3. Mechanical Transmission Model

The torque and speed transmitted through mechanical gearings are subjected to following equations.
(1) For HST:
\[
\begin{align*}
T_W &= i_{g_{axle}}T_{2}\eta_{g_{axle}}, \\
T_L &= T_1,
\end{align*}
\]
(20)
\[
\begin{align*}
n_1 &= n_E, \\
n_2 &= n_{two_{axle}}n_W.
\end{align*}
\]
(21)

(2) For PST:
\[
\begin{align*}
T_W &= i_{g_{axle}}(T_S + i_{2}\eta_2 T_2)\eta_g\eta_{axle}, \\
T_S &= T_R/\alpha, \\
T_L &= T_C = (T_S + T_R)/\eta_S, \\
T_R &= \frac{T_1}{n_1\eta_i}, \\
\begin{align*}
n_C &= n_E, \\
n_S &= n_{two_{axle}}n_W, \\
n_1 &= \frac{(1+i_{2})n_E - n_S}{\alpha}, \\
n_2 &= i_{2}n_S.
\end{align*}
\]
(22)
\[
\begin{align*}
T_W &= i_{g_{axle}}T_{2}\eta_{g_{axle}}, \\
T_L &= T_1 = \frac{1}{n_1\eta_i}, \\
\begin{align*}
n_1 &= n_E, \\
n_2 &= n_{two_{axle}}n_W.
\end{align*}
\]
(23)

Here, \(T_W\) and \(T_L\) represent the torque applied to the wheels and the load torque to the engine shaft.

4.4. Vehicle Dynamics and Control

The vehicle dynamics is subject to following equations:
\[
\begin{align*}
m_{total}\frac{dv_{veh}}{dt} &= T_W - Rm_{total}\cos\theta r - n_{total}\sin\theta r - F_{pull}, \\
m_{total} &= m_{veh} + m_{cargo}, \\
v_{veh} &= \frac{\omega_{vr}}{\omega_d}.
\end{align*}
\]
(24)

The calculated vehicle velocity \(v_{veh}\) will be feedback to a brake controller to keep the vehicle running at commanded velocity \(v_{com}\) by adjusting the displacement \(\beta_1\) and \(\beta_2\) of either hydraulic unit, as shown in Figure 6. A sequential state flow algorithm with a selection parameter \(S_B\) ensures that Units 1 and 2 will not control the velocity simultaneously. Note that the first order transfer functions with time constant \(t_1\) and \(t_2\) were utilized to describe the dynamic response of swash plate angle control.

![Figure 6. Wheel loader velocity controller.](image)

5. Simulation Analysis

In this study, the powertrain models were developed based on a MATLAB/Simulink programming environment. This allows developers to create subsystem models for the powertrains' functional parts and then link them together as a model for the whole powertrain systems. The Simulink models for HST and PST powertrains were developed as shown in Figure 7. The HST and PST models share the same subsystems for modeling combustion engine, vehicle dynamics, and two-stage gearbox. The hydraulic modules in HST and PST adopt the same architecture and only use different parameter setting for Unit 1. The modules of planetary gearbox and power split gearing sets have been specifically created.
for the PST powertrain model. The HST and PST powertrain models will run at the same operating conditions, including vehicle velocity profiles, gear selection profiles, slope grade, cargo mass, rolling resistance, and pulling effort, as shown in Figures 8 and 9.

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Figure 7. MATLAB/Simulink models of wheel loader powertrains.

In this study, the wheel loader was commanded to follow a predefined 2 min drive cycle. The defined drive cycle includes two phases. The commanded drive cycle starts from phase I: driving without payload. Initially, a velocity command is given to the hydrostatic units, which then bring the vehicle up to 40 km/h in 25 s. Note that the two-stage gearbox will shift from level I to level II when the vehicle reaches the shifting velocity 10 km/h. The wheel loader will keep traveling on the asphalt road at 40 km/h for about 10 s, and then the wheel loader is controlled to slow down to standstill in 25 s when it enters the soil ground field.

After 5 s standstill preparation for cargo loading: Shifting two-stage gearbox to level I, the drive cycle enters into phase II: driving with payload. The wheel loader is firstly commanded to bring the vehicle up to 5 km/h in 10 s with specified maximum 25 kN pulling effort and keep driving at 5 km/h for 10 s; then it will slow down to standstill. Then the wheel loader gradually starts to climbs up on a 30% slope and unloads the 25 kN pulling effort. Lastly, the vehicle is commanded to drive on the 30% slope at 5 km/h and then slow down to standstill at 120 s to finish this drive cycle.

Figure 8. Commanded wheel loader motion profile.

Figure 9. Wheel loader operation condition profiles of the predefined drive cycle.

In this study, this simulation will analyze the following variables to evaluate and compare the performance of the wheel loader powertrains:

- Vehicle Velocity
- Hydraulic Units’ Displacement Position
- Hydraulic Torque
- Engine Power and Powertrain Efficiency

5.1. Vehicle Velocity

As shown in Figure 10, the designed HST and PST powertrains enables the wheel loader meet the speed and acceleration requirements. The wheel loader reached maximum 40 km/h in 25 s in Phase I; it also successfully worked with 25 kN pulling effort at 5 km/h and climbed up onto a 30% slope with 5 km/h in Phase II. Moreover, the designed controller successfully makes the wheel loader...
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Figure 10. Commanded vehicle speed versus actual vehicle speed.

5.2. Hydraulic Units’ Displacement Position

As shown in Figure 11, this study defines the displacement percent as positive (+) while the hydraulic unit runs as a pump and negative (—) while one runs as a motor. In a PST model, Unit 1 starts at zero displacement and ramps up as a pump to full displacement. Meanwhile, Unit 2 starts as a motor with full displacement and then de-strokes as the vehicle running faster. Once the vehicle velocity passes the switching speed of 10 km/h, the two-stage gearbox is commanded to switch to the high-speed gear ratio. This is where Unit 2 runs back to −100% displacement and Unit 1 begins to de-stroke to a point where the vehicle speed matches the commanded speed. After 35 s, the vehicle starts to slow down, Unit 2 runs from −16% displacement to −100% displacement, where Unit 1 starts to de-stroke until it reaches zero displacement and the vehicle stops. In Phase II, Unit 1 pumps to full displacement again; meanwhile, Unit 2, running as a motor, de-strokes to about −66%, until the vehicle reaches the maximum velocity 5 km/h. Then, during wheel loader climbing up 30% slope, Unit 1’s pumping displacement keeps increasing as far as the engine operates without stalling. In order to maintain 5 km/h velocity, Unit 1 operates at full displacement while Unit 2’s displacement only needs to operate at −67% of full displacement.

In the HST model, Unit 2’s displacement is much larger than that in the PST model at steady driving, and it de-strokes later than that in PST model. Particularly in Phase II, Unit 2 only needs to operate around 67% of full displacement in the PST model, while it kept operating at full displacement in the HST model. In a PST powertrain, the planetary gearing allows the engine power being partially transmitted to the hydraulic system, so Unit 2 can operate at a relatively smaller percent of motoring displacement.
5.3. Hydraulic Torque

Figure 12 shows the hydraulic torque output from Unit 2 throughout the drive cycle. Unlike staying constant in the HST model, the hydraulic torque in the PST model keeps changing with the vehicle speed during the acceleration or deceleration period. It can be also found that the hydraulic torque in the PST model is generally smaller than that in the HST model. Again, this is because there is some torque being transmitted through the planetary gearing in a PST powertrain, while the hydraulic system in an HST powertrain has to deal with all torque applied to the wheels.

5.4. Engine Power and Powertrain Efficiency

The effective power from fuel combustion of engine $P_E$ are plotted out in Figure 13. The modeled engine successfully provided the powertrain with maximum rated 90 kW, and the engine power in the PST model is generally less than that in the HST model.
The efficiency of entire powertrain can be calculated using Equation (25):

\[
\eta = \frac{P_W}{P_E} = \frac{T_W\omega_W}{T_E\omega_E} \times 100\%. \tag{25}
\]

Figure 14 shows the simulated efficiency results of the HST and PST powertrain. It shows that the peak efficiency value of the PST when driving at maximum speed on asphalt road and driving on 30% slope are both close to 84%. When the wheel loader decelerates on the asphalt road in Phase I, the simulated powertrain efficiency values are beyond 100%. This is because rolling resistance force in this phase is able to slow down the wheel loader at the commanded deceleration rate.

Moreover, it is clear that the efficiency achieved using the PST is generally higher than using an HST, particularly when the wheel loader operates at a steady motion status. For example, when the wheel loader is driving at 5 km/h on flat soil ground, the peak efficiency of the PST powertrain is 83.5%, which is higher than the 77.7% of the HST by 7.5%.
In addition to transient efficiency plots, average powertrain efficiency for entire drive cycle was also investigated in this study, based on Equation (26):

\[
\eta_{\text{ave}} = \frac{E_{\text{CW}}}{E_{\text{CE}}} = \left( \frac{\int_0^{t_e} P_W dt}{\int_0^{t_e} P_E dt} \right) \times 100\%. \tag{26}
\]

By integrating simulated power values over time, the energy consumption through the powertrain can be obtained as shown in Table 8. It can be found that the average efficiency of the PST is 76.6%, higher than the 70.9% of the HST by about 8%.

Table 8. Energy analysis of entire drive cycle.

<table>
<thead>
<tr>
<th>Description</th>
<th>(E_{\text{CW}})</th>
<th>(E_{\text{CE}})</th>
<th>(\eta_{\text{ave}})</th>
</tr>
</thead>
<tbody>
<tr>
<td>HST Model</td>
<td>3100 kJ</td>
<td>4367 kJ</td>
<td>70.9%</td>
</tr>
<tr>
<td>PST Model</td>
<td>3019 kJ</td>
<td>3941 kJ</td>
<td>76.6%</td>
</tr>
</tbody>
</table>

6. Conclusions

The directly-coupled HST and the output-coupled PST were both properly sized and modeled. The simulations show that the design solutions meet the given design requirements. The comparison of simulation results between the PST model and HST model shows that the torque or power provided by PST hydraulic system is less than by HST hydraulic system, and the efficiency of the PST is generally higher than that of the HST. The overall efficiency of designed PST and HST powertrains are, respectively, around 84% and 78%. Moreover, the proposed PST saves around 8% on energy from engine fuel combustion, compared to the HST system.

This study aimed to help create a fundamental sizing procedure and modeling tool in designing efficient powertrains for a wheel loader. The model developed in this project can be an effective tool to investigate the powertrain system of more other wheeled heavy vehicles that involve a multi domain system of IC engine, hydraulic system, mechanical transmission, vehicle dynamics, control system, etc. Moreover, this project can also serve as the groundwork for future investigation of a more sophisticated hydraulic hybrid system of the wheel loader, which includes accumulators to harvest the energy and high-speed valves to control the displacement of axial piston pump/motors.

Author Contributions: S.X. contributed the major part of this work: literature review, the development of sizing and modeling, simulation analysis and manuscript drafting. G.W. provided some advices on the system architecture and modeling, and also checks the theoretical derivation and figures. J.L.J. provided the research sources from Purdue University and gives an overview of the manuscript.

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Conflicts of Interest: The authors declare no conflict of interest.

Nomenclature

- \(a_{\text{veh}}\): Acceleration value of vehicle (wheel loader) \(\text{m/s}^2\)
- \(E_{\text{CE}}\): Energy from engine fuel combustion of entire drive cycle \(\text{kJ}\)
- \(E_{\text{CW}}\): Energy applied to wheel driving of entire drive cycle \(\text{kJ}\)
- \(i_{\text{axle}}\): Axle gear ratio
- \(i_o\): Planetary gear ratio
- \(i_g\): Gear ratio of two stage gearbox
- \(i_1\): Level I gear ratio of two stage gearbox
- \(i_{\text{II}}\): Level II gear ratio of two stage gearbox
- \(i_1\): Gear ratio between ring gear and Unit 1
- \(i_2\): Gear ratio between Unit 2 and sun gear
- \(I_E\): Engine moment of inertia \(\text{kg.m}^2\)
<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>$I_1$</td>
<td>Unit 1 moment of inertia (kg·m²)</td>
</tr>
<tr>
<td>$I_2$</td>
<td>Unit 2 moment of inertia (kg·m²)</td>
</tr>
<tr>
<td>$K_c$</td>
<td>Flow coefficient of check valve (–)</td>
</tr>
<tr>
<td>$K_r$</td>
<td>Flow coefficient of relief valve (–)</td>
</tr>
<tr>
<td>$m_{cargo}$</td>
<td>Cargo or payload mass (kg)</td>
</tr>
<tr>
<td>$m_{total}$</td>
<td>Total mass of vehicle and payload (kg)</td>
</tr>
<tr>
<td>$m_{veh}$</td>
<td>Vehicle (wheel loader) mass (kg)</td>
</tr>
<tr>
<td>$T_E$</td>
<td>Engine fuel combustion torque (N·m)</td>
</tr>
<tr>
<td>$T_f$</td>
<td>Engine friction torque (N·m)</td>
</tr>
<tr>
<td>$T_L$</td>
<td>Load torque applied to engine shaft (N·m)</td>
</tr>
<tr>
<td>$T_R$</td>
<td>Torque transmitted to ring gear (N·m)</td>
</tr>
<tr>
<td>$T_S$</td>
<td>Torque transmitted to sun gear (N·m)</td>
</tr>
<tr>
<td>$T_s$</td>
<td>Torque loss of a hydraulic pump/motor (N·m)</td>
</tr>
<tr>
<td>$T_{s1}$</td>
<td>Torque loss of Unit 1 (N·m)</td>
</tr>
<tr>
<td>$T_{s2}$</td>
<td>Torque loss of Unit 2 (N·m)</td>
</tr>
<tr>
<td>$T_W$</td>
<td>Torque applied to the wheels (N·m)</td>
</tr>
<tr>
<td>$T_1$</td>
<td>Torque applied to drive Unit 1 shaft (N·m)</td>
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<tr>
<td>$T_2$</td>
<td>Hydraulic torque applied to Unit 2 shaft (N·m)</td>
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<td>$n_E$</td>
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<td>Maximum engine speed (rpm)</td>
</tr>
<tr>
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<td>Ring gear speed (rpm)</td>
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<td>Sun gear speed (rpm)</td>
</tr>
<tr>
<td>$n_W$</td>
<td>Wheel rotation speed (rpm)</td>
</tr>
<tr>
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<td>Maximum Unit 1 speed (rpm)</td>
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<tr>
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<td>Unit 2 speed (rpm)</td>
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<tr>
<td>$n_{2_{max}}$</td>
<td>Maximum Unit 2 speed (rpm)</td>
</tr>
<tr>
<td>$P_E$</td>
<td>Power from fuel combustion of engine (kW)</td>
</tr>
<tr>
<td>$P_W$</td>
<td>Power transmitted to wheels (kW)</td>
</tr>
<tr>
<td>$p_A$</td>
<td>Pressure in line A (bar)</td>
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<tr>
<td>$p_B$</td>
<td>Pressure in line B (bar)</td>
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<tr>
<td>$p_c$</td>
<td>Pressure setting of low pressure check valve (bar)</td>
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<tr>
<td>$p_r$</td>
<td>Pressure setting of high pressure relief valve (bar)</td>
</tr>
<tr>
<td>$\Delta p$</td>
<td>Differential pressure between line A and line B (bar)</td>
</tr>
<tr>
<td>$Q_c$</td>
<td>Flow through low pressure check valve (m³/s)</td>
</tr>
<tr>
<td>$Q_r$</td>
<td>Flow through high pressure relief valve (m³/s)</td>
</tr>
<tr>
<td>$Q_{s1}$</td>
<td>Unit 1 flow loss (m³/s)</td>
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<tr>
<td>$Q_{s2}$</td>
<td>Unit 2 flow loss (m³/s)</td>
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<td>$R$</td>
<td>Rolling resistance coefficient of field (–)</td>
</tr>
<tr>
<td>$r$</td>
<td>Dynamic roll radius (m)</td>
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<tr>
<td>$V_1$</td>
<td>Unit 1 displacement (cc)</td>
</tr>
<tr>
<td>$V_2$</td>
<td>Unit 2 displacement (cc)</td>
</tr>
<tr>
<td>$\beta_1$</td>
<td>Unit 1 swash plate angle position (%)</td>
</tr>
<tr>
<td>$\beta_2$</td>
<td>Unit 2 swash plate angle position (%)</td>
</tr>
<tr>
<td>$\beta_E$</td>
<td>Engine throttle position (%)</td>
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<tr>
<td>$\eta_{ave}$</td>
<td>Average transmission efficiency (%)</td>
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<tr>
<td>$\eta$</td>
<td>Efficiency of a powertrain (%)</td>
</tr>
<tr>
<td>$\omega_E$</td>
<td>Engine shaft radian speed (rad/s)</td>
</tr>
<tr>
<td>$\omega_W$</td>
<td>Wheel rotation radian speed (rad/s)</td>
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<td>$\omega_1$</td>
<td>Unit 1 shaft radian speed (rad/s)</td>
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<tr>
<td>$\omega_2$</td>
<td>Unit 2 shaft radian speed (rad/s)</td>
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References


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