Estimation and Extrapolation of Tree Parameters Using Spectral Correlation between UAV and Pléiades Data

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Abstract: The latest technological advances in space-borne imagery have significantly enhanced the acquisition of high-quality data. With the availability of very high-resolution satellites, such as Pléiades, it is now possible to estimate tree parameters at the individual level with high fidelity. Despite innovative advantages on high-precision satellites, data acquisition is not yet available to the public at a reasonable cost. Unmanned aerial vehicles (UAVs) have the practical advantage of data acquisition at a higher spatial resolution than that of satellites. This study is divided into two main parts: (1) we describe the estimation of basic tree attributes, such as tree height, crown diameter, diameter at breast height (DBH), and stem volume derived from UAV data based on structure from motion (SfM) algorithms; and (2) we consider the extrapolation of the UAV data to a larger area, using correlation between satellite and UAV observations as an economically viable approach. Results have shown that UAVs can be used to predict tree characteristics with high accuracy (i.e., crown projection, stem volume, cross-sectional area (CSA), and height). We observed a significant relation between extracted data from UAV and ground data with $R^2 = 0.71$ for stem volume, $R^2 = 0.87$ for height, and $R^2 = 0.60$ for CSA. In addition, our results showed a high linear relation between spectral data from the UAV and the satellite ($R^2 = 0.94$). Overall, the accuracy of the results between UAV and Pléiades was reasonable and showed that the used methods are feasible for extrapolation of extracted data from UAV to larger areas.

Keywords: downscaling; Pléiades imagery; unmanned aerial vehicle; stem volume estimation; remote sensing

1. Introduction

Technological advances in unmanned aerial vehicles (UAVs) have made it feasible to obtain high-resolution imagery and three-dimensional (3D) data for assessing tree attributes and forest monitoring. Methods of data acquisition with remotely-sensed aerial or satellite data at high spatial resolution have partially replaced conventional methods of field measurement for forest inventory purposes [1–4]. Repeated observation from modern satellites, as well as improvements in UAV technology, have contributed significantly to our understanding of the dynamics of complex ecosystems, particularly forests. Accurate quantification of tree basic parameters, such as height, crown diameter, and diameter at breast height (DBH), is essential for decision-making and planning. Modern techniques of remote sensing can provide accurate estimations of tree height and crown area characteristics at the individual level using a series of algorithms [5]. The individual tree identification (IDS) algorithm allows for the estimation of crown diameter [6], and the smoothing of the canopy height model (CHM) using local maxima techniques [7] can provide estimates of individual tree heights.
Volume and above ground biomass (AGB) of small spatial extent areas can be derived with the help of forest inventory data. However, field measurements are typically unbiased, time-consuming, and expensive. For spatially larger areas, modelling of volume and biomass requires the use of remote sensing information for practical purposes. Additionally, remote sensing techniques are able to improve the value of inventoried data with detailed coverage at affordable costs [8,9]. The strength of remote sensing approaches based on very high resolution (VHR) images using aerial imagery or downscaling methods (i.e., calibration of satellite images based on UAVs) is that they allow for the construction of high-quality 3D digital surface models (DSMs) that can be used to estimate several forest tree attributes, such as height, DBH, and crown diameter [3].

Landsat satellites have been used to predict volume and AGB, mainly because of their long-term data record and the favorable compromise between aerial coverage, spectral sensitivity, and spatial resolution [10]. Newer satellites (e.g., GeoEye-1, IKONOS, WorldView-2, and Pléiades) have significantly increased the potential for data acquisition at higher spatial resolutions (i.e., 2 m multispectral). However, satellites are still unable to provide the desired spatial resolution needed for forestry applications; UAVs, on the other hand, can provide higher resolution data and are frequently used to capture aerial images for planning purposes [11].

Only a limited number of studies have used optical VHR sensors for image matching and estimating forest parameters. In previous studies, the IKONOS, Cartosat-1, and Worldview-2 satellites were used for estimation of structural (e.g., height, DBH, stem volume) and textural (i.e., composition, AGB) metrics with acceptable accuracy [12–15].

However, a review of past studies found that few researchers have used the Pléiades satellite for forestry purposes [16] and no studies used it for AGB estimation. While previous studies using Pléiades emphasized combining spectral derivatives and textural metrics to image matched height metrics, these studies utilized combinations of either height and textural metrics or height metrics and spectral derivatives to improve the remote sensing estimation of forest parameters.

The main objectives of this study were to: (i) evaluate UAV performance to estimate key individual tree parameters, including height, crown diameter, cross-sectional area (CSA), and stem volume; and (ii) to extrapolate the extracted UAV data to larger areas, based on spectral correlation between UAV and satellite, as a practical method for detailed information collection across a large area that would not be economically feasible with ground-based assessments.

2. Materials and Methods

2.1. Study Area

The Doksy territory lies on the shores of Lake Mácha in Northern Bohemia in the Czech Republic (Figure 1). The lake is largely surrounded by dense forests covering an area of 300 km$^2$. Geologically, the area is characterized by sandstone pseudokarst in the late stages of development, and the soils are either sandy or peaty, with shallow, peaty basins over rocky sandstone hummocks and sporadic volcanic hills. We selected three evenly-aged (managed stands) 40 × 40 m experimental plots and sampled all trees in each plot to estimate the tree heights, DBH, crown diameters, and stem volume. All plots were located northeast of the city of Doksy (−718000, −991250 NW to −717000, −991950 SE in the local coordinate system S-JTSK/Krovak East North, Figure 1). The research was carried out in a 140-year-old Pinus sylvestris L. (Scots pine) monoculture natural stand established on sandy soils (68%). The vegetative period tends to be rather warm and dry. The mean annual air temperature is 7.3 °C and the average annual maximum temperature is 31.5 °C. The mean annual precipitation is 635 mm, with only 354 mm during the growing season.
2.2. Field Survey

For the acquisition of field measurements, we used field-map technology. Field-map is a software and hardware product which combines flexible real-time geographic information system (GIS) software field-map with electronic equipment of high accuracy for mapping and dendrometric measurement. For this study, the dendrometric device which we used was the TruPulse 360/B laser range finder by Inc. Centennial, Colorado, USA. For the purpose of this study, we used the TruPulse 360/B to measure (i) the positions of individual trees by distance based on the field triangulation approach, (ii) tree heights based on the functionality of the digital relascope, and (iii) the mean of the horizontal widths of the tree crown radius in four orientations (east, west, north, and south). The coordinate system was set to S-JTSK/Krovak East North (a local coordinate system that is mainly used in the vicinity of the Czech Republic). The whole data collection process is rather ergonomic since mapped data can be seen simultaneously in the monitor of the computer in the form of layers (either point, lines, or polygons). Each layer can have a number of attributes (i.e., tree positions, heights, etc.) which are stored in a fully relational database.

In addition, the DBH of trees (1.3 m above ground) was measured by a Häglof digital caliper (Häglof Sweden AB, Långsele, Sweden) in two perpendicular directions. Diameters were then transferred via bluetooth to the field-map computer and stored in the relative database. We considered the mean of measured diameters at breast height for computing the CSA. Finally, all the data was extracted by connecting the field-mapper with a universal serial bus (USB) to the personal computer (PC) for further processing. All field measurements were taken in November, 2015. In total, we sampled 223 live trees from all three plots.

2.3. Aerial and Satellite Imagery

The UAV data were acquired in March 2016. The platform model used was an octocopter SteadiDrone EI8HT, ready to fly (RTF) embedded with an RGB (red, green, blue) high-resolution camera. The camera was a Sony Alpha 6000 with an adjusted focal length of 25 mm. The octocopter covered the entire study area and produced a set of images of the area. The octocopter was guided

![Figure 1. Location of the study area in the northern part of the Czech Republic in the local coordinate system S-JTSK/Krovak East North. Source: Google Earth (elaborated work in ArcGis for higher map detail).](image-url)
needed approximately 7 min to complete a flight for each plot based on the predefined parameters (e.g., the number of waypoints) and the flight mode was set to semi-automatic. The flight path lines covered the entire study area and produced a set of images of the area. The octocopter was guided by a DJI (Dà-Jiāng Innovations Science and Technology Company, Shen Zhen, China) ground station, which is a global positioning system (GPS) flight planning and waypoint-based autopilot software. We performed three flights in total, one flight per plot, at a height of approximately 70 m above the ground with 80% frontal overlap and 70% side overlap.

In order to improve the accuracy of the 3D model, we also set up four ground control points (GCPs) randomly distributed within each plot; these points were measured using the Leica real-time kinematic (RTK) system, model RX1250XC with centimeter accuracy. Due to the low image quality, four of the 596 original images were excluded from the alignment process. During the alignment process, we set the accuracy to high for optimization of the final 3D model. We used Agisoft Photoscan© software (V 1.2.6, St. Petersburg, Russia) to construct the digital terrain model (DTM) and DSM from the 3D model with a cell size of 0.01 × 0.01 m. The reconstructed mesh of the 3D model was based on automatic classification on certain point classes through the triangulated irregular network (TIN) method. Due to the relatively open canopy in large parts of the study area, small bushes were often abundant in the understory, and, therefore, we classified them as ground points. For setting the parameters for the automatic classification, due to the presence of small bushes near the trees, we decreased the maximum angle from 15 (default value) to 11, the maximum distance from 4 to 1.5 m, while the cell size remained the same. All of the processing was conducted by one computer operator using an Intel® Core™ i7-6700K with a base clock of 4 GHz and 32 GB random access memory (RAM) running with the Windows 10 Professional Edition 64-bit operating system.

We used the Pléiades 1A satellite (launched 16 December 2012) to acquire the space-borne image. The image was taken 27 March 2016, and it had 20 bits/pixel dynamic range of acquisition. For this study, we used one frame with a total area of 25 km² (5 × 5 km). The image consisted of four multispectral bands: RGB, infrared (IR), and one panchromatic (PAN), as can be seen in Table 1. We used six GCPs for georeferencing the satellite image. For point acquisition, we used GPS RTK Leica model RX1250XC with a maximum error of two centimeters. The RTK correction was carried out by using a base/rover set, which sends and receives fast-rate over-the-air RTK data corrections, using the PDLGFU15 radio module.

<table>
<thead>
<tr>
<th>Imagery Products</th>
<th>Panchromatic: 50-cm resolution, black and white</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2-m multispectral (RGB—red, green, blue)</td>
</tr>
<tr>
<td></td>
<td>Bundle: 50-cm black and white and 2-m multispectral</td>
</tr>
<tr>
<td>Spectral Bands</td>
<td>Panchromatic: 480–830 nm</td>
</tr>
<tr>
<td></td>
<td>Blue: 430–550 nm</td>
</tr>
<tr>
<td></td>
<td>Green: 490–610 nm</td>
</tr>
<tr>
<td></td>
<td>Red: 600–720 nm</td>
</tr>
<tr>
<td></td>
<td>Near Infrared: 750–950 nm</td>
</tr>
<tr>
<td>Image Location Accuracy</td>
<td>With ground control points: 1 m</td>
</tr>
<tr>
<td></td>
<td>Without ground control points: 3 m (CE90)</td>
</tr>
</tbody>
</table>

Also, dark object correction was used to derive atmospheric optical information for radiometric normalization using the minimum digital number (DN) value of satellite images = water.

Normalized values of each band (RGB) from the UAV and satellite were then used to compare the spectral data (DN) between the UAV and Pléiades bands (separately) at the individual tree level using Equation (1):

\[ Z_i = \frac{x_i - x_{\min}}{x_{\max} - x_{\min}} \] (1)
where $Z_i$ describes the normalized data between 0 and 1, $x_i$ describes the spectral data (for both the UAV and satellite), and $x_{\text{max}}$ and $x_{\text{min}}$ are the maximum and minimum value for each band, respectively. In addition, using the nearest neighbor method, we resampled all three multi-spectral bands from the satellite from 2 m to 1 cm to assign more weight to pixels that cover more crown area (Figure 2). For extracting the spectral data (UAV and satellite), we used zonal statistics in ArcGIS desktop V.10.4.1 (ESRI Inc., Redlands, CA, USA), with the crown area for each individual tree as the zonal layer. We considered the same weight for averaging the DN values of pixels within the zonal layer.

To evaluate the greenness of the detected trees from the UAV and eliminate the dry trees and gap areas, the normalized difference vegetation index (NDVI) was used as a detector index (Equation (2)). This index is usually used to determine the visible spectral response by defining the ratio of greenness per individual tree applied to satellite data [18]:

$$\text{NDVI} = \frac{\text{NIR} - R}{\text{NIR} + R}$$  (2)

where NIR stands for near-infrared and $R$ refers to the red band.

![Figure 2. Example of resampled pixels, from 2 m to 1 cm.](image)

### 2.4. Estimation of Height

To extract the height from the UAV, we computed the CHM, which was derived from the subtraction of the DSM from the DTM. The local maxima algorithm was then used to estimate the height; this algorithm enhances the maximum value within a specified kernel size. As a first step, we used the focal statistics tool in ArcGIS to identify the highest pixel value using the CHM as the input data layer. We performed a low-pass filter to reduce the noise effect and regulate the values of the smoothing window [19]. Among the several processing types we tested in different variances of radius using circular-shaped areas, the best results were at a kernel size with a radius of 1 m based on the average crown diameter derived from the ground measurements (Figure 3). For matching the pixel values, we used the conditional if/else statement on each of the input cells of CHM and focal statistics results, by entering the following command “Con ("CHM" = "focal statistics result", 1)” using the ArcGIS V. 10.4.1 (ESRI, Redlands, CA, United States) raster calculator.

This conditional tool performs an if/else statement on each input cell and it returns a binary layer with a value of zero assigned as no data and a value of one for data. Finally, the return value was the value when the CHM value equaled the focal statistics output.
where CSA is cross-section area, \( X_1 \) is UAV spectral data, and \( X_2 \) is satellite spectral data.

By replacing \( X_1 \) in Equation (3) with the Equation number (4), we will be able to measure the CSA directly by satellite spectral data using the below equation:

\[
CSA = A(CX_2 + D) + B
\]
2.8. Statistical Evaluation and Validation of Data

All statistical analyses were conducted in IBM SPSS V.24 (64-bit 2016) and Excel (Microsoft® Office). The linear regression was used to study correlation between the ground data and tree parameters predicted by the UAV.

Pearson correlation coefficient was computed to analyze the relationships between the spectral values of the UAV RGB bands and tree parameters derived from ground inventory and UAV in two different probability values \((p < 0.05\) and \(p < 0.01\)). In this study, due to the lack of an IR band in the UAV approach, we computed the vegetation index (VI) \(^{[22]}\), green-red vegetation index (GRVI) \(^{[22]}\), and visible atmospherically-resistant index, green (VARI g) \(^{[23]}\) using the following equations:

\[
VI = \frac{\text{Green}}{\text{Red}} \\
GRVI = \frac{(\text{Green} - \text{Red})}{(\text{Green} + \text{Red})} \\
VARI_g = \frac{(\text{Green} - \text{red})}{\text{Green} + \text{Red} - \text{Blue}}
\]

3. Results

For the sake of simplicity, we divided the results into three parts. In the first part, we presented an overview of tree characteristics in our study area. In the second part, we mainly focused on the potential of the UAV platform deployed with an RGB camera to act as an accurate, alternative field measurement technique. In the third part, we tried to extrapolate the extracted data from the UAV to a larger forested area based on spectral correlation between Pléiades and the UAV.

3.1. General Evaluation of the Study Area

The evaluation of tree attributes showed similarities between the sample plots. However, there was a difference between the variability of sample plots, whereas plot 1 had a lower amount of variability compared with the other two plots (Table 2).

<table>
<thead>
<tr>
<th>Sample</th>
<th>Index</th>
<th>Crown Projection (m²)</th>
<th>DBH (m)</th>
<th>CSA (m²)</th>
<th>Height (m)</th>
<th>Volume (m³)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Plot 1</td>
<td>Mean</td>
<td>15.24</td>
<td>0.28</td>
<td>0.06</td>
<td>21.23</td>
<td>1.30</td>
</tr>
<tr>
<td></td>
<td>Variability</td>
<td>30.61</td>
<td>0.15</td>
<td>0.06</td>
<td>7.00</td>
<td>1.30</td>
</tr>
<tr>
<td></td>
<td>Std.</td>
<td>5.20</td>
<td>0.03</td>
<td>0.06</td>
<td>1.33</td>
<td>0.30</td>
</tr>
<tr>
<td>Plot 2</td>
<td>Mean</td>
<td>14.71</td>
<td>0.28</td>
<td>0.06</td>
<td>23.03</td>
<td>1.43</td>
</tr>
<tr>
<td></td>
<td>Variability</td>
<td>41.54</td>
<td>0.17</td>
<td>0.08</td>
<td>13.80</td>
<td>2.10</td>
</tr>
<tr>
<td></td>
<td>Std.</td>
<td>7.75</td>
<td>0.04</td>
<td>0.02</td>
<td>2.24</td>
<td>0.46</td>
</tr>
<tr>
<td>Plot 3</td>
<td>Mean</td>
<td>14.94</td>
<td>0.28</td>
<td>0.06</td>
<td>24.49</td>
<td>1.56</td>
</tr>
<tr>
<td></td>
<td>Variability</td>
<td>28.67</td>
<td>0.17</td>
<td>0.08</td>
<td>8.20</td>
<td>2.13</td>
</tr>
<tr>
<td></td>
<td>Std.</td>
<td>6.45</td>
<td>0.03</td>
<td>0.02</td>
<td>2.00</td>
<td>0.45</td>
</tr>
<tr>
<td>Total</td>
<td>Mean</td>
<td>14.97</td>
<td>0.28</td>
<td>0.06</td>
<td>22.96</td>
<td>1.43</td>
</tr>
<tr>
<td></td>
<td>Variability</td>
<td>41.54</td>
<td>0.18</td>
<td>0.08</td>
<td>13.80</td>
<td>2.21</td>
</tr>
<tr>
<td></td>
<td>Std.</td>
<td>6.51</td>
<td>0.03</td>
<td>0.01</td>
<td>2.32</td>
<td>0.42</td>
</tr>
</tbody>
</table>

Std. = standard deviation; DBH = diameter at breast height; CSA = cross-sectional area.

In addition, the NDVI showed that the mean greenness of trees ranged from 0.3 to 0.55 (Figure 4); this range is associated with shrubs-grasslands and temperate forest land-cover classes \(^{[24]}\).
3.2. UAV Performance

Linear regression (Figure 5) exhibited a strong relationship in the significant level of $\alpha = 0.05$ ($R^2 = 0.78$) between the cross-section area and crown projection derived from ground data with a root mean square error percent (RMSE%) = 11.35 (Table 3; ID = 1). For the crown projections, a strong relationship ($R^2 = 0.78; \text{Figure 6a}$) between the ground and UAV data was observed with an RMSE% = 20.96 (Table 3; ID = 2). Based on these results, we were able to estimate cross-sectional areas from the UAV using adjusted $R$ and RMSE% with an $R^2 = 0.60$ (Figure 6b) and RMSE% = 15.24 (Table 3; ID = 3). Additionally, the results of the height estimation showed strong correlation between the ground and extracted data from the UAV with an $R^2 = 0.87$ (Figure 6c) and RMSE% = 3.73 (Table 3; ID = 4). Finally, we calculated the stem volume based on data from the UAV and the ground. The comparison of the stem volume between the ground and UAV data showed significant correlation with $R^2 = 0.71$ (Figure 6d) and RMSE% = 15.88 (Table 3; ID = 5).

![Figure 4](image4.jpg)

**Figure 4.** (a) The NDVI (normalized difference vegetation index) calculated for the study area and (b) the histogram of NDVI derived from Pléiades 1A satellite imagery.

![Figure 5](image5.jpg)

**Figure 5.** The correlation between crown projection and cross-sectional areas.

**Table 3.** Statistical summary table, where ID represents the simplicity of identification of each tested regression; N: number of observations; RMSE: root mean square error; and df: degrees of freedom. ID represents the simplicity of identification of each tested regression.

<table>
<thead>
<tr>
<th>ID</th>
<th>N</th>
<th>RMSE</th>
<th>RMSE%</th>
<th>Bias</th>
<th>Bias %</th>
<th>df</th>
<th>p-Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>223</td>
<td>0.0069</td>
<td>11.35</td>
<td>0.0018</td>
<td>2.96</td>
<td>222</td>
<td>0.00</td>
</tr>
<tr>
<td>2</td>
<td>223</td>
<td>3.14</td>
<td>20.96</td>
<td>-</td>
<td>-</td>
<td>222</td>
<td>0.00</td>
</tr>
<tr>
<td>3</td>
<td>223</td>
<td>0.01</td>
<td>15.24</td>
<td>-</td>
<td>-</td>
<td>222</td>
<td>0.00</td>
</tr>
<tr>
<td>4</td>
<td>223</td>
<td>0.86</td>
<td>3.73</td>
<td>-</td>
<td>-</td>
<td>222</td>
<td>0.00</td>
</tr>
<tr>
<td>5</td>
<td>223</td>
<td>0.23</td>
<td>15.88</td>
<td>-</td>
<td>-</td>
<td>222</td>
<td>0.00</td>
</tr>
</tbody>
</table>

ID 1 indicates the relation between the ground CSA versus ground crown projection; ID 2 indicates the relation between the crown projection derived from UAV and ground data; ID 3 indicates the relation between the CSA derived from the UAV and ground data; ID 4 indicates the relation between the estimated height from the UAV and ground data; and ID 5 indicates the relation between the stem volume derived from the UAV and ground data.

**Figure 6.** The correlation of (a) crown projection between the ground and UAV (unmanned aerial vehicle) data in m²; (b) cross-sectional areas (CSA) between the ground and UAV data in m²; (c) height between the ground and UAV data in m; and (d) stem volume between the ground and UAV data in m³.

Moreover, the results of the correlation coefficient indicated that the most important descriptive statistic index for all models was the sum of the spectral data as can be seen in Table 4. Finally, our results showed that vegetation indicators that were computed using RGB bands had a significant correlation at the level of α = 0.01 with the individual tree stem volume, as can be seen in Table 5.

**Table 4.** Pearson correlation between the UAV spectral descriptive data and tree attributes at the level of individual trees.

<table>
<thead>
<tr>
<th>Tree Parameter</th>
<th>Min</th>
<th>Max</th>
<th>Mean</th>
<th>Std.</th>
<th>Sum</th>
<th>Median</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSA (Ground)</td>
<td>−0.208 **</td>
<td>0.133 *</td>
<td>0.224 **</td>
<td>0.160 *</td>
<td>0.864 **</td>
<td>0.229 **</td>
</tr>
<tr>
<td>Stem volume (Ground)</td>
<td>−0.200 **</td>
<td>0.131</td>
<td>0.239 **</td>
<td>0.180 **</td>
<td>0.795 **</td>
<td>0.255 **</td>
</tr>
<tr>
<td>CSA (UAV)</td>
<td>−0.140 *</td>
<td>0.0641</td>
<td>0.055</td>
<td>0.012</td>
<td>0.821 **</td>
<td>0.049</td>
</tr>
<tr>
<td>Stem volume (UAV)</td>
<td>−0.158 *</td>
<td>0.072</td>
<td>0.107</td>
<td>0.05</td>
<td>0.795 **</td>
<td>0.115</td>
</tr>
</tbody>
</table>

**Correlation is significant at the 0.01 level (two-tailed). *Correlation is significant at the 0.05 level (two-tailed).
Table 5. The Pearson correlation coefficient between the different vegetation indicators derived from UAV and tree attributes at the level of individual trees. VI: vegetation index; GRVI: green-red vegetation index; and VARI g: vegetation atmospherically resilient index, green.

<table>
<thead>
<tr>
<th>Vegetation Index</th>
<th>CSA (Ground)</th>
<th>Stem Volume (Ground)</th>
<th>CSA (UAV)</th>
<th>Stem Volume (UAV)</th>
</tr>
</thead>
<tbody>
<tr>
<td>VI</td>
<td>0.103</td>
<td>0.208 **</td>
<td>0.110</td>
<td>0.218 **</td>
</tr>
<tr>
<td>GRVI</td>
<td>−0.106</td>
<td>−0.210 **</td>
<td>−0.113</td>
<td>−0.221 **</td>
</tr>
<tr>
<td>VARI g</td>
<td>−0.106</td>
<td>−0.211 **</td>
<td>−0.112</td>
<td>−0.221 **</td>
</tr>
</tbody>
</table>

** Correlation is significant at the 0.01 level (two-tailed).

The selection process for the best independent variable for further analysis was based on the largest positive or negative correlations with the dependent variables. Based on our results, we chose the sum of pixel values as an independent variable to calculate the regression between the spectral data and tree attributes (Figure 7). Our results showed there was high correlation between the UAV main bands and tree attributes based on $R^2$ (Figure 7).

Figure 7. The relationship between spectral data of the UAV bands ($\times10^9$) and tree characteristics: (a) UAV cross-section area in m$^2$; (b) ground cross-section area in m$^2$; (c) UAV stem volume in m$^3$; and (d) ground stem volume in m$^3$.

3.3. UAV-Pléiades Extrapolation

Overall, our findings indicated that there is a strong relationship between the UAV and Pléiades spectral data with an $R^2 = 0.94$ (Figure 8). Based on the regression model equations (Figures 7 and 8), we were able to calculate calibrated formulas that could determine tree attributes, such as CSA and stem volume (Table 6), directly by using the spectral information from Pléiades for each tree. Formulas presented in Table 6 were used to extrapolate the extracted data from the UAV to a larger area based on satellite spectral information.
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Our results showed that the computed formulas (9 and 10) could be used for the extrapolation of CSA and volume at the individual tree level with significant accuracy, as can be seen in Table 6. In addition, Figure 9 indicates that there were no significant differences between the mean of CSA and volume derived from UAV and ground data. Also, the same figure and Table 6 show that the extrapolation method has estimated the tree parameters with reasonable accuracy.

$$\text{CSA} = 1 \times 10^{-9} (0.4525x - 19208) + 0.0392$$ \hspace{1cm} (9)

$$\text{Volume} = 4 \times 10^{-8} (0.4525x - 19208) + 0.7398$$ \hspace{1cm} (10)

where the x is the spectral information derived from satellite imagery.

Figure 8. The relationship between spectral data between the UAV and Pléiades bands ($\times 10^9$).

Table 6. Basic errors of CSA and volume based on extrapolated data.

<table>
<thead>
<tr>
<th>Index</th>
<th>CSA</th>
<th>Volume</th>
</tr>
</thead>
<tbody>
<tr>
<td>RMSE</td>
<td>0.018</td>
<td>0.21</td>
</tr>
<tr>
<td>RMSE%</td>
<td>30.56</td>
<td>31.01</td>
</tr>
<tr>
<td>Bias</td>
<td>−0.015</td>
<td>−0.39</td>
</tr>
</tbody>
</table>

Figure 9. Box-and-whisker plots for comparison of the differences between the means of tree parameters: (a) CSA (m²) (b) Volume (m³) derived from different approaches. The medians of the measured values are marked by vertical lines inside the boxes. The ends of the boxes are the interquartile range (upper: Q3 and lower: Q1). The whiskers show the highest and lowest observations.

4. Discussion

Many studies have provided good examples of 3D model reconstructions of VHR DSMs from UAV-based imagery to systematically observe forest attributes, such as tree crown, tree height, and DBH [25,26]. Structural forest attributes are commonly extracted from the CHM using regression
models to predict tree characteristics for forest inventory purposes [27]. Detailed CHMs from remote sensing data have recently gained more attention because they can be used to efficiently predict key forest parameters, such as tree height, crown projection, and stem volume. In this study, it was demonstrated that a consumer-grade camera deployed on a UAV platform generated a 3D scene of the entire study area, which was used to quantify single-tree parameters based on automatic and semi-automatic methods that can be used to support detailed construction or update existing forest inventories. Of course, this is just one of the basic reasons why UAVs are gradually replacing conventional field measurements. However, some applications require more accurate results in terms of absolute means, and this low-cost aerial approach would, therefore, require the collection of ground truth data to ensure this.

Based on the linear regression and RMSE results, we concluded that there is generally a high correlation between estimated (UAV) and observed data (ground) (Table 3; Figures 5 and 6). In this particular study, the methodological approach and the algorithms that were used to determine tree heights and crown diameters were influenced by the relative homogeneity of the study area; all trees were of similar age and had similar morphological characteristics (Table 2). Aslo, as it can be seen in Figure 9, the amount of CSA and volume for 50% of the trees distributed around the median, but the remaining 50% were distributed in a wider range.

In general, estimation of forest parameters in homogeneous forests is preferable for the application of algorithms, such as local maxima and IWS, because homogeneous forests allow for higher precision using a single kernel size method for smoothing the CHM [20,28,29]. It is evident that the UAV can be used to efficiently estimate heights and crown diameters (Figure 6a–c, and Table 3).

Since there was a high correlation between the crown and DBH from the ground measurements (Figure 5), and due to the fact that UAVs can be used to estimate both heights and crown diameters, there is a possibility for indirect measurement of stem diameters (Figure 6b and Table 3; ID 3) and volume (Figure 6d and Table 3; ID 5) [30].

Additionally, we evaluated the relationship between the tree parameters (CSA and stem volume of individual trees) and the spectral information that was extracted from the UAV imagery. Our results showed that there is a significant correlation between the CSA and stem volume and spectral/textural values derived from the UAV. These results are similar to the results of several studies that have emphasized the possibility of the estimation of tree/stand parameters, such as basal area (BA) and volume, using spectral (main bands) and textural (vegetation indicators) values of aerial/satellite images [31–33]. For the vegetation index (VI), a low value for this attribute implies lower density and stem volume, while higher values indicate dense canopy and higher stem volumes. This explains the positive correlation that we found between the VI, CSA, and stem volume in our study. This result is in accordance with the results of Wallner et al. [31].

The inverse relation between the stem volume and VARI g and GRVI indicators (Table 5) can be explained as follows: due to the fact that vegetation absorbs more red light and reflects more green light, a high value in the red band indicates less vegetation occurrence, which can be explained by the negative correlation between (i) VARI g and GRVI, and (ii) CSA and stem volume.

Finally, our results showed that spectral reflection of individual trees from both Pléiades and the UAV had a high correlation of more than 90% (Figure 8). Based on this finding, high-resolution satellite imagery can be used to extrapolate areas that cannot be reached with a UAV. However, the described methodology can be applied only in the case where the areas present similar structural characteristics. Although the extrapolation process in our study caused underestimation of tree parameters compared to that of ground data and UAV (Figure 9), the suggested methodology can be used for practical forestry and can open up new scientific areas of extrapolation methods to inspire other researchers in the forest community. In future work, we plan to use auxiliary data such as environmental data (edaphic, climatic, and topographic) in order to be able to enhance the methodology for homogeneous areas and to calibrate and assess the methodology in the case of diverse forested areas. For areas with higher variability, one suggestion is to divide the whole area into more homogenous areas, through the
construction of homogenous groups or classes. We also assume that the use of hyperspectral sensors can lead to a better result with higher accuracy.

5. Conclusions

In this study, we proposed a method to test the performance of UAV image-based point clouds to accurately estimate tree attributes. For this purpose, detection algorithms based on high-quality CHM were used. To potentially improve the results of the 3D image reconstruction model and ensure the integrity of the results based on CHM, we used four GCPs, measured with RTK GPS. Many studies have previously treated estimates of tree parameters, such as tree crown delineation and treetop detection, as two separate procedures [28]. We extrapolated the estimated data from the UAV to a larger area based on a significant correlation (R-squared values and Pearson correlation percentages were greater than 0.90) between the spectral data from UAV and Pléiades. This study demonstrated that it is possible to use calibrated (linear regression) formulas (Table 6) to extrapolate data into larger forested areas (downscaling). Precision forestry is focusing on the use of high-resolution data to support site-specific tactical and operational decision-making (e.g., area productivity) over large forest areas. Therefore, the application of this study, as well as other similar studies, should be explored in the context of the European Common Policy to assess the full potential of these methods for covering larger forested areas.

Regarding the performance of remote sensing versus field measurements, based on our empirical data, we can conclude that the positive comparisons between reference ground measurements and remote sensing estimation of tree attributes confirmed the potential of the workflow process that can be applied as a quick and effective alternative technique to characterize forest tree parameters.

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Author Contributions: Azadeh Abdollahnejad and Dimitrios Panagiotidis designed the experiment, wrote the manuscript, and led the image processing and evaluation. Azadeh Abdollahnejad performed the statistical analyses. Peter Surový and Dimitrios Panagiotidis acquired the UAV data. Peter Surový supervised the manuscript.

Conflicts of Interest: The authors declare no conflict of interest.

References


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