In Vivo X-ray Computed Tomography Investigations of Crack Damage Evolution of Cemented Waste Rock Backfills (CWRB) under Uniaxial Deformation

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Received: 15 October 2018; Accepted: 15 November 2018; Published: 21 November 2018

Abstract: Cemented waste rock backfill (CWRB), which is a mixture of tailings, waste rock, cement, and water, is subjected to combination actions in underground mining operations and has been widely used in deep resource mining. While the strength requirement and macroscopic deformation behaviors of CWRB have been well studied, the mesoscopic damage evolution mechanisms are still not well understood. In this work, a CWRB sample with a waste rock proportion of 30% was studied with a uniaxial compression test under tomographic monitoring, using a 450 kV industrial X-ray computed tomography (CT). Clear CT images, CT value analysis, crack identification, and extraction reveal that CWRB damage evolution is extremely inhomogeneous and affected by the waste rock size, shape, and distribution. Furthermore, the crack initiation, propagation, and coalescence behaviors are limited to the existing waste rocks. When deformation grows to a certain extent, the cracks demonstrate an interlocking phenomenon and their propagation paths are affected by the waste rocks, which may improve the ability to resist compressive deformation. Volumetric dilatancy caused by the damage and cracking behavior has closed a link with the meso-structural changes, which are controlled by the interactions between the waste rocks and the cemented tailing paste.

Keywords: cemented waste rock backfill (CWRB); X-ray CT; crack damage evolution; meso-structure change

1. Introduction

Due to the exhaustion of shallow mineral resources, the exploitation of deep mining resources is in urgent need. The deep mining process involves the removal of waste material from rock mass and the recovery of economically useful minerals [1,2]. Mining activity results from formation of plenty of underground gobs. In addition, waste material (e.g., tailing, waste rocks) has serious influence on the environment. Therefore, a technology of backfilling is usually expected to fill the underground cavities by using the waste materials from the mining process [2–5]. Many backfilling technologies have been used in the mining industry, such as cemented paste backfill (CPB), hydraulic sand backfill, and waste solid backfill. The CPB mining technology refers to the method of backfilling the underground gobs by mixing waste materials and binding material together and pumping them underground [2]. It is usually characterized as a mixture of mill tailings and water. If waste rock blocks are added to the backfill material, this backfilling method is then considered to be cemented waste rock backfill (CWRB) technology. The underground dispose of backfill has many advantages in mining engineering,
such as its excellent underground pressure control, rock burst control, ground support, stope stability maintenance, ground water resource protection, less surface discharge of tailings waste, and favorable mining economics [5,6].

It is well known that strength is one of the most important factors considered in the design of backfill operation, especially when backfill plays the role of the supporting pillar, which ensures the safety of subsequent mining. For the CPB material, many scholars have conducted laboratory investigations using artificial or field CPB samples to study strength properties. In addition, large-scale numerical simulations have also been conducted for the mining stope. To ensure the safety of mining development, the required strength of CPB has been the focus for scholars and engineers all over the world. Through the laboratory uniaxial compression test, Belem and Benzaazoua [7,8] found that the uniaxial compressive strength (UCS) of the backfill, which ranged between 0.2 and 0.4 MPa. Cui and Fall [9] proposed an elasto-plastic model to consider the role of binder hydration in the evolution of CPB mechanical behaviors; the reliability of the model was verified by laboratory tests. Cayouette [10] and Fahey et al. [11] have tested the UCS of samples obtained through in-situ coring of backfill, finding that the UCS value of in-situ backfill samples is larger than the laboratory results. Chen et al. [12] performed an experiment to study the strength of CPB in a similar stope model, which revealed the change rule at different positions in the model. Cao et al. [13] studied strength and micro-structural properties of CPB, revealing CPBs strength evolution characteristics. Taheri et al. [14] performed a mechanical experiment to investigate the influence of loading histories and testing conditions on CPB stress strain behaviors. Wu et al. [15] studied the influence of particle size distribution and confining pressure on the peak strength of CPB. Their results showed that the peak strength of CPB is positively linear with confining pressure, as well as an optimal gradation of particles leading to the maximum strength of CPB.

The UCS of CPB is influenced by many factors, such as the composition, confining pressure, curing condition, curing time, and binding material characteristics [3,4,16–22]. To improve the mechanical properties of CPB, many experiments have been conducted to improve the hydraulic performance of the binder. For example, fly ash and silica fume has been added to ordinary Portland cement [23–25]. Characteristics of binder material is the determinant factor affecting the mechanical properties of CPB. Xu et al. [24] investigated the strength and microstructure evolution of CPB with different binder types and contents. However, in practical engineering, economic benefits are closed and related to the type, dosage, and acquisition. An alternative backfill technology to improve the strength and stiff of CPB is to add waste rocks into CPB [26]. CWRB has many advantages over CPB; CWRB treats waste rocks during mining, but also makes full use of the mineral resources. The issue of ore loss and dilution can be reduced by using CWRB, as the mining can achieve high efficiency, high duty, and high utilization characteristics.

Many experimental investigations have been conducted to reveal the mechanical properties of CWRB. Hane and Belem [26] performed UCS testing to reveal the influence of waste block proportion on strength and deformation characteristics. They found that the strength of the sample could be improved by adding waste rocks to the mixture. Taheri and Tatsuoka [27] conducted multiple-step triaxial compression experiments in order to study the strength and deformation characteristics of cement-mixed gravelly soil. They found that stress–strain relations during reloading became proportionally softer and observed an increase in negative axial strain increments. Wu et al. [28] conducted UCS testing to study the mechanical characteristics of cemented waste rock backfills with continuous particle gradation and found that the strength of the sample increased with the decrease of the backfill porosity. In addition, they found that the UCS of cemented waste rock backfills was larger than samples with no waste rock blocks. He et al. [29] performed uniaxial compression tests to obtain the strength of the backfills with seven different mixture ratios at different solidification durations. The strength of the backfills sample after 28 days curing was selected as a basic parameter to simulate the stability of stope. He et al. [30] also obtained the UCS of the CWRB with different curing duration and established corresponding damage theory models, as well as forming the energy
dissipation equations of cemented waste rock backfill and surrounding rock. Liu et al. [31] studied the strength characteristics of CWRB samples under a low temperature condition. The results showed that adding waste block can improve slurry density and found that lower temperatures hinder hydration. The strength of the backfill sample was strongly influenced by the curing temperature and curing duration.

The required strength of CWRB is an important parameter during mining design. Apart from the strength characteristics, the damage and deformation process of CWRB are critical to ensuring gob stability. In recent years, the failure characteristics of CWRB under different stress paths have been studied. In CWRB, the interactions between the cemented paste tailing and waste rock are known to be the main factors controlling its failed behavior [26]. The high elastic contrast between the cemented tailing paste and the waste rock indicates that damage would occur at the tailing paste-waste rock interfaces. In order to reveal the mechanical behavior of CWRB, most laboratory experiments on the sample strength and macroscopic stress–strain responses have been widely performed. Although mapping the internal strain field is impossible, the study scale of such experiments is based on the entire sample, because after the experiment, we can only observe the macroscopic failure morphology under the naked eye.

In recent years, different non-destructive techniques have successfully been used to investigate the in-situ damage cracking mechanism of CWRB. Such studies include the acoustic emission (AE) monitoring of the occurrence and location of cracking events, which reveal damage evolution and locate fracture positions. Du et al. [32] conducted uniaxial compression tests for cemented coal waste backfill samples, where the failure process of the samples was monitored using an AE positioning technique. Anay et al. [33] used the AE method to monitor the formation and coalescence of micro-cracks in cement paste samples. The AE data was further corrected by micro-CT scanning the sample after failure. Assi et al. [34] used AE technology to study the early hydration of a kind of Portland cement paste, exploring the relationship between the recording signals related to elastic stress waves and the potential mechanism related to cement hydration. Another effective and non-destructive technique is the X-ray computed tomography (CT), which can provide real-time information on the changes of some physical parameters in the samples during the experiment. This technique has been widely used in soil, rock-like, or rock material [35–38]. Although many effects have been done to explore the mechanical behaviors of CWRB, the application of X-ray CT that investigates mesoscopic damage evolution behavior is not common. After the literature review, it can be found that the studies regarding the meso-damage evolution process of CWRB are rarely reported. However, investigating the meso-structural changes during deformation and revealing the associated meso-damage characteristics of CWRB is significant to the stability prediction of pillars and ground pressure control during mining.

The main motivation of this work is to experimentally reveal the mesoscopic damage and cracking mechanism of the CWRB under uniaxial compression, using a special self-designed loading apparatus combined with the X-ray computed tomography technique. The uniaxial stress strain responses of CWRB were studied from a macroscopic perspective. In addition, meso-structural changes and the associated localized deformation of CWRB were analyzed from the mesoscopic view. This work emphatically investigates the mesoscopic damage evolution mechanism for CWRB under uniaxial compression at different loading stages.

2. Materials and Methods

2.1. Materials

2.1.1. Full Tailings and Binder

The full tailings were obtained from the iron plants located in the Lilou iron mine (Anhui province, China) (Figure 1). The relative density of the full tailings was measured according to the Chinese standard GB/T 208-94 [39]. The particle size distribution of the tailings was measured with a CILAS1064 particle size analyzer (CILAS, Orleans, France) (Figure 2). We used a series of physical and chemical
properties to measure the full tailings (Table 1). The main mineral composition of tailings found using an X-Ray Powder Diffraction analysis (Rigaku, Tokyo, Japan) is shown in Figure 3. The heterogeneity of the tailing was characterized with the ratio D60 to D10, and was ultimately found to be 15.27. This result indicated that the gradation of the tailing was poor.

The binder used in the preparation of CWRB samples was a kind of Ordinary Portland Cement (OPC, 32.5), which was in accord with the Chinese National Standard GB 175-2007 [40]. The main components of the OPC were calcium aluminate, dicalcium silicate, calcium ferroaluminates, tricalcium silicate, and gypsum. The proportion of dicalcium silicate and tricalcium silicate was roughly 25% and 51%, respectively. The material of the binder was added to the mixture to form a hydration reaction that resulted in gelation properties. In order to ensure good support capacity during mining, the cementation properties were required to make the CWRB condensed enough to enhance its mechanical behaviors. Another function of OPC was to improve lubrication of CWRB during preparation.

Figure 1. The filling plants of the Lilou iron mine.

Figure 2. Particle size distributions of the Lilou full tailings.
Table 1. The basic physical and chemical parameters of the Lilou full tailings.

<table>
<thead>
<tr>
<th>Index</th>
<th>Full Tailing (%)</th>
<th>Binder (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Physical properties</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Specific gravity (g/cm(^3))</td>
<td>2.94</td>
<td>2.89</td>
</tr>
<tr>
<td>Natural density (g/cm(^3))</td>
<td>1.351</td>
<td>N/A</td>
</tr>
<tr>
<td>Bulk density (g/cm(^3))</td>
<td>1.732</td>
<td>N/A</td>
</tr>
<tr>
<td>Natural water content (%)</td>
<td>5.42</td>
<td>N/A</td>
</tr>
<tr>
<td>Porosity (%)</td>
<td>41.09</td>
<td>N/A</td>
</tr>
<tr>
<td>Natural repose angle (°)</td>
<td>41.5</td>
<td>N/A</td>
</tr>
<tr>
<td>Coefficient of uniformity, (C_u)</td>
<td>15.27</td>
<td>N/A</td>
</tr>
<tr>
<td>Coefficient of curvature, (C_c)</td>
<td>2.01</td>
<td>N/A</td>
</tr>
</tbody>
</table>

| **Chemical composition**      |                  |            |
| TFe                          | 10.67            | N/A        |
| CaO                          | 0.19             | 44.87      |
| MgO                          | 0.85             | 6.32       |
| \(\text{Al}_2\text{O}_3\)   | 0.4              | 11.23      |
| \(\text{Fe}_2\text{O}_3\)   | 8.8              | 1.241      |
| \(\text{SiO}_2\)            | 76.85            | 27.88      |
| \(\text{SO}_3\)             | 0.045            | 6.71       |
| others                       | 2.195            | 1.749      |

\(C_c = D_{30}^2/(D_{10} \times D_{60}); C_u = D_{60}/D_{10}\).

2.1.2. Waste Rock

The waste rock was mostly composed of marble aggregates and acted as a coarse aggregate in the CWRB sample. It was sourced from the Lilou waste dump. In the test, the CWRB sample had a cylindrical shape with a size of Φ50 mm × H100 mm. According to the GB/T 50123-1999 [41], the required particle size of waste rock should be less than 10 mm. After a crushing treatment, the particle size of the waste rock was between 2 and 10 mm. The proportion of the waste rock aggregates with a size between 6 and 8 mm was 65.7%, while the proportion of crushed waste rock between 2 and 6 mm was 35.3%. The natural density of the marble block was 2.66 g/cm\(^3\). The UCS was 180.51 MPa.

2.1.3. XRD Studies

The mineral components of the Lilou iron full tailings were determined by the X-ray diffraction (XRD) method. The XRD machine was an Ultima-IV 3KW X and manufactured by the Japanese neo-confucian school. The results of the XRD testing is shown in Figure 3. Results reveals that the tailings were mainly composed of quartz, haematite, dolomite, and muscovite. By quantitative analysis, their proportions were 80.2%, 9.7%, 5.4%, and 2.6%, respectively. Because the elastic modulus of quartz crystal was relatively large, the corresponding compressibility was lower. Therefore, due to the high proportion of quartz in the tailings, the mechanical properties of the CWRB sample can be improved under compression, while the hematite, dolomite, and muscovite cause the material to be weathered easily.
The process of the full tailing paste included a series of processes, such as hydration reaction, dissolution, accumulation, and hardening. When the tailing, binder, and water were mixed together, the 3CaO·SiO₂ and 2CaO·SiO₂ had a dissolution and hydration reaction, a process that generated lots of gel. This sped up the cement formation of the tailings and binder, which was designed to be 30% and the material pulp density was about 75%. In order to ensure the homogeneity, the mixtures were mixed in a double spiral mortar mixer for some minutes. Immediately after mixing, the mixtures were poured into a cylinder cast iron module with an inside diameter of 50 mm and height of 100 mm. The produced samples were then cured in a humidity chamber at 20 ± 1 °C with a relative humidity (RH) of 90% for 24 h (sealed). The samples were then demolded and placed in the same curing chamber under the same curing conditions for 28 days until conducting the mechanical testing. In this work, a total of 15 samples were prepared to conduct the laboratory studies.

2.1.4. Sample Preparation and Mix Proportion

Tap water was used to mix the required amounts of tailings, binder, and waste rock. The ratio of the full tailings and binder was 1:8. According to the mining design, the waste rock proportion was designed to be 30% and the material pulp density was about 75%. In order to ensure the homogeneity, the mixtures were mixed in a double spiral mortar mixer for some minutes. Immediately after mixing, the mixtures were poured into a cylinder cast iron module with an inside diameter of 50 mm and height of 100 mm. The produced samples were then cured in a humidity chamber at 20 ± 1 °C with a relative humidity (RH) of 90% for 24 h (sealed). The samples were then demolded and placed in the same curing chamber under the same curing conditions for 28 days until conducting the mechanical testing. In this work, a total of 15 samples were prepared to conduct the laboratory studies.

2.1.5. SEM Analysis

For the cemented tailing paste, the scanning electron microscope (SEM) (Crossbeam 550, Zeiss, Berlin, Germany) method was used to reveal the mineral compositions and microstructure. Field emission scanning electron microscope (FE-SEM) (Crossbeam 550, Zeiss, Berlin, Germany) was used to conduct imaging. The resolution was 0.8 nm, acceleration voltage was between 0.02 and 30 kV, and the maximum magnification was 2 million times. Figure 4 shows the hydration and microstructure of the tailing paste after curing for 28 days. From the SEM results, it can be seen that three gray colors co-exist on the images and that the cemented tailing paste has strong heterogeneity. After obtaining the Electron Energy Disperse Spectroscopy (EDS) spectra for the three points on Figure 4a, we made the following conclusions: For point “1”, Fe and O elements were detected, as this region represented the Fe element in the full tailings; for point “2”, a percentage of the Si and O elements was larger than other elements, which indicated the uncemented tailing paste; for point “3”, the proportion of the Ca, S, Al, and O elements was relatively large, indicating that the cemented regions experienced hydration reaction. From the SEM results and EDS spectra analysis, we found that the consolidation process of the full tailing paste included a series of processes, such as hydration reaction, dissolution, accumulation, and hardening. When the tailing, binder, and water were mixed together, the 3CaO·SiO₂ and 2CaO·SiO₂ had a dissolution and hydration reaction, a process that generated lots of gel. This
sped up the cement reaction of the fine particle in the full tailing material, in which the strength of the cemented paste backfill will be improved accordingly.

**Figure 4.** Scanning electron microscope (SEM) results for the cemented tailing paste (a–c): the magnification is 129, 627, and 1000 times, respectively; (d–f): for the three points on Figure 4a).

### 2.2. Experimental Apparatus

#### 2.2.1. X-ray CT Device

A high energy 450 kV industrial X-ray CT machine (Made in China, by the Institute of High Energy Physics, Chinese Academy of Science) was used to obtain the CT images of the internal meso-structures in the CWRB sample subjected to uniaxial compression, as shown in Figure 5a. The working principle of the industrial X-ray CT is the same as the medical CT device. The principle of imaging is to record the X-ray radiographic images of a sample at several different angles. From these different projections, a virtual slice can be reconstructed using appropriate algorithms, either algebraic or via the in reverse projection principle. These algorithms add a few sequential slices together and then allow for reconstruction of a 3D object [35–37]. The system is composed of a focus X-ray source, an imaging intensifier detector coupled to CCD camera, a sample manipulator, and an image process unit. In this experiment, as the sample size was relatively large (Φ50 mm × H100 mm), a power setting of 420 kV and 2.0 mA was used for a 360° fan beam scan with 54,000 project views. The CWRB sample was
installed in the loading device mounted on a rotation table having high accuracy, then the detector was adjusted to fit the image within the field-of-view. Before conducting CT scans, the scanning parameters and conditions were calibrated and set to obtain high-resolution images and reduce the noise and artifacts during the image acquisition process.

Through rotating the object on a computer controlled rotation table, the X-ray attenuation equidistant records for different angles of 360°. The data is then reconstructed to create cross-sectional images of an object in the scanned plane. Each CT image is called a slice, because it can scan an object on the plane which seems to be cut off. Each slice or cross-sectional image consists of 1024 × 1024 pixels with a pixel size of 70 µm. Stacking up these slices together can create the 3D volume image of the scanned sample. The specification of the X-ray CT system is listed in Table 2.

Table 2. The detailed performance index of the 450 kV industrial X-ray computed tomography (CT) system.

<table>
<thead>
<tr>
<th>Performance Parameter of the CT Device</th>
<th>Parameter</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Effective scanning height</td>
<td>Φ1000</td>
<td>mm</td>
</tr>
<tr>
<td>Effective scanning diameter</td>
<td>Φ 800</td>
<td>mm</td>
</tr>
<tr>
<td>Maximum scanned weight</td>
<td>200</td>
<td>kg</td>
</tr>
<tr>
<td>Penetrate thickness for Fe</td>
<td>50</td>
<td>mm</td>
</tr>
<tr>
<td>Spatial resolution</td>
<td>0.07 × 0.07 × 0.07</td>
<td>mm³</td>
</tr>
<tr>
<td>Perspective of relative sensitivity</td>
<td>1</td>
<td>%</td>
</tr>
<tr>
<td>Density resolution</td>
<td>0.1</td>
<td>%</td>
</tr>
<tr>
<td>Minimum scanning thickness</td>
<td>0.03</td>
<td>mm</td>
</tr>
<tr>
<td>Fastest scanning time</td>
<td>1</td>
<td>min</td>
</tr>
<tr>
<td>Image reconstruction time</td>
<td>30</td>
<td>s</td>
</tr>
<tr>
<td>Resolution capacity for crack</td>
<td>0.05×15</td>
<td>mm²</td>
</tr>
<tr>
<td>Stomata resolution</td>
<td>Φ 0.3</td>
<td>mm</td>
</tr>
<tr>
<td>Resolution for inclusion</td>
<td>0.1</td>
<td>mm</td>
</tr>
<tr>
<td>Localization accuracy of the workbench</td>
<td>±0.02</td>
<td>mm</td>
</tr>
<tr>
<td>Accuracy of the turntable</td>
<td>±5°</td>
<td>s⁻¹</td>
</tr>
</tbody>
</table>

2.2.2. Loading Device

In order to keep track of the in-situ mechanical behaviors of the CWRB sample under the condition of the classical uniaxial test, a test apparatus is specially designed to meet the requirement of the CT machine. The loading apparatus consists of a reaction frame, a displacement measurement subsystem, and a load measuring subsystem. The reaction framework of the test apparatus should be transparent to X-rays and as a result is made of low-attenuated materials used for the reaction column, such as Poly Methyl Methacrylate (PMMA) with a density of 1.16 g/cm³. The PMMA material has good performance strength and stiff characteristics and has a kind of brittle and stiffness material. When subjected to tensile stress, the deformation is relatively small; its elongation at break is between 2% and 3%. For the PMMA material, the tensile strength, bending strength, compressive strength, and tensile modulus of PMMA range between 70 MPa, 130 MPa, 170 MPa, and 3100 MPa, respectively. The force measuring subsystem is battery-driven so as to avoid winding during the rotating of the CT rotation table. Figure 5b shows the loading apparatus used in the uniaxial compressive test.
we stopped loading in order to keep the CWRB sample in a stationary state. After the completion
of one CT scanning of a loading stage, the samples were loaded again with the same loading rate.
It is important to note that stress relaxation phenomenon occurred at each scanning point on the
stress-strain curve, as the axial displacement is fixed during the scanning process.

The determination of the CT scanning stages was referred to the macroscopic stress strain curves in
positions of the cracks. The CT X-ray data were obtained from three central cross sections on the CWRB samples: the
data were composed of the radiological densities of each pixel, which could be visualized as
a grayscale image on the grid, where each pixel was expressed with Hounsfield value. The gray scale
had a dynamic range, which was suitable for the crack variation in the CWRB sample. Changes of
the radiological density indicated the variation of the internal crack, which could be calculated from
the Hounsfield value. When cracks appeared in the CWRB sample, the color displayed as black at the
positions of the cracks.

2.3. Testing Scheme

During the uniaxial deformation testing, the loading apparatus was first placed on the rotation
table of the X-ray industrial CT machine. Then, the CWRB sample was installed on the loading
device. The axial loading was then applied at a constant speed of 0.1 kN/s until the peak strength;
after the peak strength, the loading was controlled by a displacement mode at a rate of 0.3 mm/s.
The determination of the CT scanning stages was referred to the macroscopic stress strain curves in
advances, as shown in Figure 6. Figure 6a plots the relationship between the axial stress and axial
strain for the CWRB samples numbered as 1 to 6. From the macroscopic testing results, the maximum
axial strain was 1.15%. Therefore, when performing real-time the X-ray scanning testing, five scanning
stages were chosen for the sixth CWRB sample, the corresponding axial strain is set to be 0, 0.5, 0.7,
and 1.5 times of peak strain. Thus, the axial strain at the scanning point was 0, 0.723, 1.16, 1.451, and
2.17, respectively, as shown in Figure 7.

The CT X-ray data were obtained from three central cross sections on the CWRB samples: the
initial position was at 35 mm, 50 mm, and 65 mm along the sample, from the bottom to the top of the
sample, as shown in Figure 8. It took nearly one minute to scan a single cross-section of the sample
and two minutes to reconstruct a CT image. A total of 54,000 project views and 5 accumulations
required an 18 min loading stage in the stress strain curve. When an X-ray CT scanning was performed,
we stopped loading in order to keep the CWRB sample in a stationary state. After the completion
of one CT scanning of a loading stage, the samples were loaded again with the same loading rate.
It is important to note that stress relaxation phenomenon occurred at each scanning point on the
stress-strain curve, as the axial displacement is fixed during the scanning process.

The data were composed of the radiological densities of each pixel, which could be visualized as
a grayscale image on the grid, where each pixel was expressed with Hounsfield value. The gray scale
had a dynamic range, which was suitable for the crack variation in the CWRB sample. Changes of
the radiological density indicated the variation of the internal crack, which could be calculated from
the Hounsfield value. When cracks appeared in the CWRB sample, the color displayed as black at the
positions of the cracks.
Figure 6. The macroscopic stress strain curves of CWRB sample 1–5, obtained by the GCTS RTR-2000 rock mechanics apparatus (GCTS, Tempe, AZ, USA).

Figure 7. The axial stress strain curve for the CWRB-6 sample. The determination of the CT scanning stages refers to the macroscopic stress strain curve.
3. Results and Discussion

3.1. General Observations

From the macroscopic stress strain curves (Figure 7), we did not know what happened during sample deformation. However, the meso-structural changes and the associated damage evolution was revealed using real-time X-ray CT scanning. The reconstructed CT images at different loading stages are shown in Figure 9. From the CT images, we observed that the waste rocks randomly distributed in the sample. According to the principle of CT imaging, the brighter the color, the higher density the material is. Due to the relatively high density of the waste rock, the gray level is brighter than the cemented tailing paste. Because the sample was not in a full compaction state, pores inside the sample displayed as black color and can also be seen clearly.

As the axial deformation grew, damage accumulated inside the sample. Due to the stiff contrast between the waste rock and the cemented tailing paste, when the deformation grew to a certain extent, the low-density region appeared. These regions were usually located at the interfaces of the waste rock and cemented tailing paste. From Figure 9, we can see that from the axial strain of 0.922%, the low-density region began to appear and the scale increased with an increasing sample deformation. When the axial strain reached 1.153% (peak strain), the low-density regions evolved into cracks, and could be clearly seen in the CT images. In the post-peak stage, when the axial strain was 1.729%, the cracks distributed across the sample and their morphology was influenced by the geometry and distribution of the waste rocks. It can also be seen that the location of the low-density region was affected by waste rock distribution. Regions usually distribute around waste rock and then propagate into tailing paste.
3.2. Meso-Damage Propagation

The phenomenon of strain localization signified the onset of the failure in CWRB. In this section, we will analyze the damage evolution from the changes of micro-elements in the sample using an index of the CT value that quantified the crack damage during the evolution process, revealing the mesoscopic damage mechanism.

![Figure 9](image-url)

**Figure 9.** The reconstructed CT images of the CWRB sample at the top, middle, and bottom positions, under five loading stages in the stress strain curve.
index of the CT value that quantified the crack damage during the evolution process, revealing the mesoscopic damage mechanism.

The parameter of CT value had a close relationship with the rock density. Since a proportional relationship existed between the material density and the CT value, density distribution in the sample can be well characterized by the distribution of the CT value. To reveal the meso-damage evolution characteristics and study the localized deformation in the CWRB sample, three local regions of interest (ROIs) were chosen in the images, labeled as “1”, “2”, and “3”; the fourth ROI refers to the entire region of the sample section, as shown in Figure 10a–c. For the ROI1-3, the position in the image was determined to be located in the matrix zone so that we could ignore the effect of waste rock. Figure 10d,e depicts the relationship between the mean CT value, the deviation of the CT value, and the axial strain. From Figure 10d, the mean CT value first increased and then decreased as the axial strain grew. The results show that the CWRB sample experienced a compaction stage, a damage accumulation stage, and a crack propagation stage. When low-density regions appeared in the sample, the mean CT value began to decrease; when those regions evolved into cracks, the mean CT value decreased sharply. Figure 10e reflects the anisotropic damage characteristics, implying that the damage increased sharply and the localized deformation grew quickly to sample failure.

Figure 10. Meso-damage evolution of CWRB sample during deformation. (a–c) determination of the local region of interests, labeled as ROI-1, ROI-2, and ROI-3; (d,e): Relationships between the mean CT value, deviation of the CT value, and the axial strain.

3.3. Damage Evolution Model for CWRB

As stated above, the meso-damage in the micro-elements of a material can be described with the mean CT value. The index of the mean CT value is an important parameter commonly used to define the damage factor. According to the studies of Singh and Digby [42] and Lemaitre and Chaboche [43],
if the effects of the CT value on the damage variable are taken into account \[44,45\], the expression can be rewritten as:

\[
D = \frac{1}{m^2} \frac{\Delta \rho}{\rho_0}
\]  

(1)

Where \( m \) is the space resolution of the used CT machine and \( \Delta \rho \) is the variation in sample density during the damage evolution of the material, i.e., \( \Delta \rho = \rho - \rho_0 \).

We have known that there is a direct link between the CT value and density and therefore the damage factor can be rewritten as:

\[
D = \frac{1}{m^2[H_0 - H_a] \rho_0} (H - H_0)(\rho_0 - \rho_a)
\]  

(2)

where \( H, H_a, H_0 \) are the CT value for the sample at any stress level, pore air, and initial state, respectively. For the CWRB sample, \( \rho_0 = 2.67 \text{ g/cm}^3 \), \( \rho_a = 0 \text{ g/cm}^3 \), \( H_a = -1000 \), \( m = 140 \mu\text{m} \).

The damage evolution characteristics of the CWRB sample are listed in Table 3. When the axial strain changes from 0 to 0.576%, the mean CT value increased with the increase of normal stress. In this stage, the sample was under the compressive state and soft materials such as pores and microstructures were compacted. When the strain rose to 0.922%, damage began to occur in the CWRB sample. In addition, low-density regions evolved into cracks and cracks propagated and coalesced until the failure of the sample. The relation between the axial strain and the damage factor is plotted in Figure 11. The exponential function was used to fit their relationship, as shown in Equation (3), as well as the correlation coefficient of Equation (3), which was 0.903 and indicated a strong correlation between the damage factor \( D \) and the axial strain \( \epsilon \), as expressed below:

\[
D = 0.06142 \times e^{1.54464 \epsilon_1}
\]  

(3)

Referring to the equivalent strain principle \[43,45\], the constitutive model for the CWRB sample can be obtained as below:

\[
\sigma_1 = E \left(1 - 0.06142 \times e^{1.54464 \epsilon_1}\right) \epsilon_1
\]  

(4)

where \( E \) is the elastic modulus, \( \epsilon_1 \) is the axial strain, and \( \sigma_1 \) is the axial stress.

![Figure 11](attachment:image.png)

**Figure 11.** Plot of the damage factor against the axial strain. This was plotted to attain the damage evolution equation.
Using Equation (4), the axial stress is obtained. We plot the experimental result and the fitting result in Figure 12, it can be seen that established result was closed with the experimental result. It should be noted that only the CT scan data were obtain (i.e., the top, middle, and bottom CT images) from three positions of the sample; more precise fitting results requires much more CT scan data.

3.4. Meso-Structural Changes Analysis

The CT images were reconstructed based on the sample gray range. Using a series of digital imaging methods, the cracks could be identified and extracted from the images. During extraction of the cracks and waste rock, an algorithm named median filter was first used in order to detect the object boundary. Median filtering was especially useful for reducing the speckle noise, as well as the salt and pepper noise. The edge-preserve nature of this algorithm made it effective in detecting obscure edges, such as the irregular cracks in the CWRB sample. The filtering algorithm took the value of each output pixel as the median of the neighborhood value for the corresponding input pixel. We plotted the extracted waste rocks and cracks in the same figure (Figure 13). The scale and density of the cracks increased with each increasing sample deformation. Due to the waste rock, the propagation of the cracks was limited and an interlocking phenomenon occurs. This may have caused the improvement of strength compared to the cemented tailing paste. The propagation path of the crack negotiated most of the waste rocks, which implied that the macroscopic failure plane in CWRB was not planar but curved. The morphology of the cracks was strongly influenced by the waste block distribution and positions. Furthermore, we counted the total crack area and waste rock area, as shown in Figure 14. It can be seen that not only was the crack area increased as the deformation grew, but the waste rock area changed for the same scanning position. This result indicated that the movement and rotation of the waste rocks occurred during sample deformation. Localized deformation was largely attributed to the complicated motion of the waste rocks.

<table>
<thead>
<tr>
<th>Loading Stage</th>
<th>$\epsilon_1$(%)</th>
<th>$\sigma_1$(MPa)</th>
<th>Mean CT Value</th>
<th>Damage Factor</th>
<th>Damage Behavior</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0</td>
<td>0</td>
<td>714.52</td>
<td>0</td>
<td>No</td>
</tr>
<tr>
<td>2</td>
<td>0.576</td>
<td>0.943</td>
<td>716.77</td>
<td>0.087</td>
<td>Compression</td>
</tr>
<tr>
<td>3</td>
<td>0.922</td>
<td>2.478</td>
<td>716.78</td>
<td>0.184</td>
<td>Damage</td>
</tr>
<tr>
<td>4</td>
<td>1.153</td>
<td>2.932</td>
<td>709.78</td>
<td>0.517</td>
<td>Cracking</td>
</tr>
<tr>
<td>5</td>
<td>1.729</td>
<td>1.619</td>
<td>703.78</td>
<td>0.861</td>
<td>Propagation</td>
</tr>
</tbody>
</table>

Figure 12. Comparison of the measured data and fitting data. The fitting results were obtained from the damage constitutive equation.
Figure 13. The extraction of waste rock and cracks on CT images under different deformation stages.
failure of the sample. After the inflection point, the dilatancy behavior became more and more severe to the failure of the sample.

Accordingly, volume of the sample changed from compression to expansion at the third loading stage (corresponding to the third CT scans). From the reconstructed CT images in Figure 10, it can be seen that the scale of the low-density region increased from the third loading stage. From the reconstructed CT images in Figure 10, it can be also seen that the scale of the low-density region increased from the third loading stage.

3.5. Dilatancy Characteristics

From the stress strain curve of the CWRB sample, plastic deformation increased with the increasing axial deformation. The stress strain curve in Figure 7 displays the macroscopic strain response of the entire sample scale. To study the stress dilatancy behavior of the CWRB sample, we emphatically analyzed the localized deformation from the mesoscopic view. The propagation of the low-density region in CT images indirectly reflected the volumetric change.

Table 4 shows the change of the section area for the three scanning sections at different loading stages. With the increase of deformation, especially from the third stage, the section area of CT images increased sharply under compression. Thus, the dilatation behavior became obvious. The volumetric expansion was owed to the occurrence of localized bands, which indicated the formation of a macroscopic fracture plane in the sample. From the reconstructed CT images in Figure 10, it can be also seen that the scale of the low-density region increased from the third loading stage.

Table 4. Stress-dilatancy characterization of the CWRB sample under uniaxial compression.

<table>
<thead>
<tr>
<th>Loading Stage</th>
<th>Axial Strain (%)</th>
<th>Axial Stress (%)</th>
<th>Top Slice Δs(mm²)</th>
<th>Middle Slice Δs(mm²)</th>
<th>Bottom Slice Δs(mm²)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>2</td>
<td>0.576</td>
<td>0.943</td>
<td>1.323</td>
<td>1.744</td>
<td>0.199</td>
</tr>
<tr>
<td>3</td>
<td>0.922</td>
<td>2.478</td>
<td>3.064</td>
<td>2.265</td>
<td>1.712</td>
</tr>
<tr>
<td>4</td>
<td>1.153</td>
<td>2.932</td>
<td>68.815</td>
<td>55.571</td>
<td>22.529</td>
</tr>
<tr>
<td>5</td>
<td>1.729</td>
<td>1.619</td>
<td>153.583</td>
<td>152.909</td>
<td>158.125</td>
</tr>
</tbody>
</table>

From the axial stress strain curve and the reconstructed CT images, the lateral and volumetric stress strain responses were obtained. The lateral strain and axial strain were defined as \( \varepsilon_a = \Delta L/L_0 \); \( \Delta L \) and \( L_0 \) were the initial sample height and diameter; \( \varepsilon_a \) was obtained from the change of the section area of CT images. The volumetric strain was calculated as \( \varepsilon_v = \varepsilon_a + 2\varepsilon_l \). Figure 15 plots the axial, lateral, and volumetric stress strain curves at the five scanning key points for the studied CWRB sample. It can be seen that volumetric strain changed from shear shrinkage to dilatancy. Accordingly, volume of the sample changed from compression to expansion at the third loading stage (corresponding to the third CT scans). After the inflection point, the dilatancy behavior became more and more severe to the failure of the sample.
The authors would like to thank the editors and the anonymous reviewers for their helpful and constructive comments.

4. Conclusions

This paper presented the results of in-situ uniaxial compressive experiment on cemented waste rock backfill for the Lilou mining, using X-ray computed tomography (CT). A specially designed loading device had been used to match the high energy CT machine and it was demonstrated that the transparent X-ray loading device provided an excellent non-destructive tool for investigating the mesoscopic mechanical behaviors of the CWRB sample. The main findings from this study are summarized as follow:

1) The experimental results indicated that the macroscopic mechanical behaviors of CWRB were affected by the meso-structural changes during sample deformation. The shape and distribution of the waste rocks influenced cracking distribution. Additionally, the crack propagation path was restricted by the waste rock size and shape.

2) Meso-damage evolution of CWRB using the CT value clarified the damage propagation characteristics. The most severe damaged regions were located at the interfaces because of the stiff contrast between waste rock and tailing paste. Low-density regions in the CT images evolved into cracks and dilatancy became severe as deformations grew. The strain localization phenomenon, especially at the interfaces, was strongly influenced by the relative positions of the waste rock in CWRB.

3) The X-ray CT data revealed that the damage evolution in CWRB was quite inhomogeneous. Stress dilatancy was an obvious phenomenon during the CWRB deformation because of the interactions between the waste rock and the cemented tailing paste. This may have influenced the stability of the pillar during mining activity, as its meso-mechanical behaviors were deeply studied.

4) Although many macroscopic mechanical tests have been conducted for CWRB, the in-situ CT test was performed for only one CWRB sample, with a waste rock proportion of 30%. In the further study, CWRB samples with different rock proportions should be studied, in order to compare the meso-damage characteristics and the associated stress dilatancy behaviors.

Author Contributions: Y.W. and C.L. designed the theoretical framework; Y.W. conducted the experiments and wrote the manuscript; Z.H., X.Y., and X.W. corrected the figures.

Funding: This study was supported by National key technologies Research & Development program (2018YFC0808402, 2018YFC0604601, and 2017YFC0804103), the Fundamental Research Funds for the Central Universities (2302017FRF-TP-17-027A1), and National Natural Science Foundation of China (Grants Nos. 41502294).

Acknowledgments: The authors would like to thank the editors and the anonymous reviewers for their helpful and constructive comments.

Conflicts of Interest: The authors declare no conflict of interest.
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